

NYS Claims Release 3 Match Data Table

The Match Data Table is designed to convey which data elements should be used as primary or secondary "match" data elements. It is used to identify a transaction as a new claim to create, or match to an existing claim for duplicate checking, updating and processing. On a specific claim, a primary "match" data element value may change and prevent a match. When there is no match on one of the primary "match" data elements (usually on a change or correction transaction), secondary "match" data elements are used to match a claim. Refer to the Match Data Rules in the instructions. A jurisdiction should provide Claim Administrators with primary match data element(s) and two or more secondary match data elements.

Match Data Elements can only be changed on a MTC 02 Change transaction. Only one Match Data Element can be changed on the same MTC 02 Change transaction. If more than one Match Data Element is changed on the same MTC 02 Change transaction, an error message 117- Match data value not consistent with value previously reported will be returned resulting in a TR-Transaction Rejected acknowledgment. Note: Data Elements within the 'Transaction Grouping' are not applicable to the MTC 02 Change transaction.

GROUPING	DN	DATA ELEMENT NAME	New Claims	Existing Claims
			(FROI-00/04/AQ/AU/UR)	(all others)
Claim	0004	Jurisdiction Code		
	0005	Jurisdiction Claim Number	*	P
	0015	Claim Administrator Claim Number	S	S
Claimant	0270	Employee ID	P	S
		▪ Employee SSN – Preferred (DN0042)		
		▪ Employee ID Assigned by Jurisdiction (DN0154)		
	0031	Date of Injury	P	S
	0043	Employee Last Name	S	S
	0044	Employee First Name	S	S
	0052	Employee Date of Birth	S	S
	Claim Administrator	0187	Claim Administrator FEIN	P
0014		Claim Administrator Postal Code		
Employer	0026	Insured Report Number		
	0016	Employer FEIN	P	S
	0023	Employer Physical Postal Code		
	0028	Policy Number Identifier		
Insurer	0006	Insurer FEIN	P	P
Transaction	0295	Maintenance Type Correction Code (DN0002-From Original Transaction)		
	0296	Maintenance Type Correction Code Date (DN0003-From Original Transaction)		
	0002	Maintenance Type Code		P
	0003	Maintenance Type Code Date		

*When a JCN is given on a new claim it will be validated against the Board's case to make sure it has at least one match for the following: Claim Administrator Claim Number (DN0015), Employee SSN (DN0042), Date of Injury (DN0031), Employee Last Name (DN0043), and Employee Date of Birth (DN0052). If none of them match, then error message 117 (Match data value not consistent with value previously reported) will be returned on JCN (DN0005) with the Element Error Text (DN0291) of "is WCB Case but not DN15/31/42/43/52. Check eCase."

NYS Claims Release 3 Match Data Table

Additional Match Data Elements for Duplicate Claim ID:

When a match is found on the primary or secondary "match" data elements per the table above, the following data elements can be used as 'additional' confirmation that the claim is a duplicate for situations where there may be multiple injuries for the same Date of Injury. When these fields are evaluated and a match is found then a duplicate error message will be returned on Element Number 0002 Maintenance Type Code with Element Error Number 057-Duplicate Transmission/Transaction. If the duplicate is not identified the claim will be processed.

Limitations: The limitation of changing one match data element does not apply to these 'Additional' match data elements. See *Match Data Rules in Section 4*.

The suggested optional data element names are listed below. Place an "A" (Additional) in the appropriate column in order to identify the additional match data. Jurisdictions should not use the "additional" match data elements when processing an 02 change transaction because the 02 could be filed to change one or more of these elements.

			New	Existing
Injury	0035	Nature of Injury		
	0036	Part of Body		
	0037	Cause of Injury		

**NYS Claims Release 3
Population Restrictions Table**

DN	Data Element Name	Error Message Number	Error Message Text	Population Restriction	Element Error Text
	All Data Elements that are defined as Date	111	Must be valid content	If a Date value is sent on a Data Element that NYS WCB has asked for, then its year must be between the past 150 years and the next 50 years. For example, on transactions processed in 2014, the earliest acceptable value would be January 1st, 1864 and the latest acceptable Date value would December 31, 2064.	cannot be > 150 years ago or 50 years in future
0000	Entire Batch	111	Must be valid content	When NYS WCB detects a file structure problem or other severe errors, then all the FROI/SROIs in the entire batch are not even loaded by NYS WCB. This generic error (or more detailed errors) will occur. NYS WCB will contact the Sender to discuss what the severe errors are and how to resolve them.	has been rejected with severe error to discuss
0002	Maintenance Type Code (for FROI)	042	Not statutorily valid	A) Greyed out values in NYS WCB's Value Table cannot be used for this DN.	for FROI must be 00, 01, 02, 04, AQ, AU, or UR
0002	Maintenance Type Code (for SROI)	042	Not statutorily valid	B) Greyed out values in NYS WCB's Value Table cannot be used for this DN.	not = AB,CO,FN,Px,S3,S6,S8,S9,UI,VE,AN,BM,BW,MN,QT
0002	Maintenance Type Code	064	Invalid data relationship	A) DN0002 (Maintenance Type Code) in the Benefits Segment of the R22 record must either be blank (to indicate a sweep) or be the DN0002 (Maintenance Type Code) of the A49 record (to indicate an event). See Section 4's Variable Segment Population Rules in IA Implementation Guide for more details about "sweep" versus "event" reporting.	in Benefit Segment must be MTC in A49 for event
0002	Maintenance Type Code	064	Invalid data relationship	B) If DN0002 (Maintenance Type Code) is IP, then at least one DN0085 (Benefit Type Code) must be 0xx.	of IP must have at least one BTC 0xx

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DN	Data Element Name	Error Message Number	Error Message Text	Population Restriction	Element Error Text
0002	Maintenance Type Code	064	Invalid data relationship	C) If DN0002 (Maintenance Type Code) is AP, then at least one DN0085 (Benefit Type Code) must be 0xx.	of AP must have at least one BTC 0xx
0002	Maintenance Type Code	064	Invalid data relationship	D) DN0002 (Maintenance Type Code) of SA or UR is not allowed in the benefit segment. The SA and UR should only have sweep benefit segments.	in Benefit Segment cannot be SA or UR
0002	Maintenance Type Code	064	Invalid data relationship	E) If DN0002(Maintenance Type Code) is SROI-UR then DN0031 (Injury Date) cannot be after 05/23/14.	injury date cannot be after 05/23/14 on SROI-UR
0002	Maintenance Type Code	065	Corresponding report/data not found	A) If SROI-PY has a Payment Segment with latest DN0195 (Payment Issue Date) having a DN0222 (Payment Reason Code) of 0xx, then there must be at least one Benefit Segment with its DN0002 (Maintenance Type Code) populated with A49 record's DN0002 (MTC) of PY.	in at least 1 Benefit must=PY when 0xx Pymnt Code
0002	Maintenance Type Code	065	Corresponding report/data not found	B) If SROI-CB has a Benefit Segment with DN0202 (Reduced Benefit Amount Code) of R (Reclassification of Benefit) or D (Decrease in Indemnity), then there must be at least one Benefit Segment with its DN0002 (Maintenance Type Code) populated with A49 record's DN0002 (MTC) of CB.	in at least 1 Ben must=CB when Reduced Ben has R/D
0002	Maintenance Type Code	065	Corresponding report/data not found	C) If SROI-CB does not have a Benefit Segment with DN0202 (Reduced Benefit Amount Code) of R (Reclassification of Benefit) or D (Decrease in Indemnity), then there must be at least two Benefit Segments with its DN0002 (Maintenance Type Code) populated with A49 record's DN0002 (MTC) of CB.	in at least 2 Bens must=CB when no Reduced Ben R/D

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DN	Data Element Name	Error Message Number	Error Message Text	Population Restriction	Element Error Text
0002	Maintenance Type Code	065	Corresponding report/data not found	D) SROI-AP/CA/EP/ER/IP/RB/RE/S1/S2/S4/S5/S7/SD/SJ must always have at least one Benefit Segment with its DN0002 (Maintenance Type Code) populated with the A49 record's DN0002 (MTC).	in at least 1 Benefit must = MTC of this SROI
0002	Maintenance Type Code	117	Match data value not consistent with value previously reported	If MTC is 02, then only up to one Match Data Element can be changed, except for the following combinations (which is why some 117 errors have 1@time* in their Element Error Text): (a) DN0043 (Employee Last Name) and DN0044 (Employee First Name) can be changed at the same time OR (b) DN0270 (Employee ID Type Qualifier), DN0042 (Employee SSN), and DN0154 (Employee ID Assigned by Jurisdiction) can be changed at the same time.	of 02 can only change Match Data Element 1@time*
0004	Jurisdiction Code	042	Not statutorily valid	Jurisdiction Code must be NY.	for New York State Workers' Comp. Board must be NY
0005	Jurisdiction Claim Number	111	Must be valid content	DN0005 (Jurisdiction Claim Number) is what NYS WCB refers to as its Case ID, which must be 8 characters long, where the 1st and 2nd characters must be A-Z or 0-9 and the 3rd through 8th characters must be 0-9.	must be @@##### with # = 0-9 and @ = A-Z or 0-9
0005	Jurisdiction Claim Number	117	Match data value not consistent with value previously reported	Must be the same DN0005 (Jurisdiction Claim Number) that was returned when past MTC was accepted or the Case ID that it was cancelled and combined into, as stated by NYS WCB notice.	can only be changed by NYS WCB for cancel&combine

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Population Restrictions Table**

DN	Data Element Name	Error Message Number	Error Message Text	Population Restriction	Element Error Text
0006	Insurer FEIN	117	Match data value not consistent with value previously reported	A) If DN0002 (Maintenance Type Code) is not 02, then this value must be the same as what was accepted on last MTC.	can only be changed on 02 (1@time* for Match Data)
0006	Insurer FEIN	117	Match data value not consistent with value previously reported	B) If DN0002 (Maintenance Type Code) is 02 and is having Error Message 117 occurring on it, then this Error Message 117 also occurs on this DN when its value has changed so it is easy to tell what Match Data Elements have changed.	is a Match Data Element being changed more 1@time*
0014	Claim Administrator Postal Code	064	Invalid data relationship	If DN0136 (Claim Administrator Country Code) is blank or USA, then DN0014 (Claim Administrator Postal Code) must be 5 or 9 digits and not be all zeros.	in USA must be 5 or 9 digits and not all zeros
0015	Claim Administrator Claim Number	064	Invalid data relationship	DN0015 (Claim Administrator Claim Number) must be the same on the two records for a FROI (whose two records are 148 and R21) or a SROI (whose two records are A49 and R22).	must be the same on the 2 records for a FROI/SROI
0015	Claim Administrator Claim Number	111	Must be valid content	DN0015 (Claim Administrator Claim Number) must start with an alpha (A-Z or a-z) or a digit (0-9).	must start with an alpha (A-Z,a-z) or digit (0-9)
0015	Claim Administrator Claim Number	117	Match data value not consistent with value previously reported	A) If DN0002 (Maintenance Type Code) is not 02, then this value must be the same as what was accepted on last MTC.	can only be changed on 02 (1@time* for Match Data)
0015	Claim Administrator Claim Number	117	Match data value not consistent with value previously reported	B) If DN0002 (Maintenance Type Code) is 02 and is having Error Message 117 occurring on it, then this Error Message 117 also occurs on this DN when its value has changed so it is easy to tell what Match Data Elements have changed.	is a Match Data Element being changed more 1@time*

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DN	Data Element Name	Error Message Number	Error Message Text	Population Restriction	Element Error Text
0016	Employer FEIN	111	Must be valid content	DN0016 (Employer FEIN) must be 9 digits long and pass data validation tests.	must be 9 digits and pass data validation tests
0016	Employer FEIN	117	Match data value not consistent with value previously reported	A) If DN0002 (Maintenance Type Code) is not 02, then this value must be the same as what was accepted on last MTC.	can only be changed on 02 (1@time* for Match Data)
0016	Employer FEIN	117	Match data value not consistent with value previously reported	B) If DN0002 (Maintenance Type Code) is 02 and is having Error Message 117 occurring on it, then this Error Message 117 also occurs on this DN when its value has changed so it is easy to tell what Match Data Elements have changed.	is a Match Data Element being changed more 1@time*
0018	Employer Name	111	Must be valid content	DN0018 (Employer Name) cannot have any UNKNOWN or NOT IDENTIFIED (or any variation of that with upper and lower case letters) as part of its value.	must not have UNKNOWN as part of its value
0023	Employer Physical Postal Code	064	Invalid data relationship	If DN0164 (Employer Physical Country Code) is blank or USA, then DN0023 (Employer Physical Postal Code) must be 5 or 9 digits and not be all zeros.	in USA must be 5 or 9 digits and not all zeros
0025	Industry Code	111	Must be valid content	DN0025 (Industry Code) must be sent as 2, 3, 4, 5 or 6 characters NAICS Code from 2002-2017	must be 2, 3, 4, 5 or 6 characters NAICS code
0028	Policy Number Identifier	111	Must be valid content	A) DN0028 (Policy Number Identifier) cannot have any embedded spaces effective 6/2/2017	must not have any embedded spaces
0028	Policy Number Identifier	111	Must be valid content	B) DN0028 (Policy Number Identifier) must be A-Z, a-z, 0-9, or spaces.	must be A-Z, a-z, 0-9, or spaces

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DN	Data Element Name	Error Message Number	Error Message Text	Population Restriction	Element Error Text
0031	Date of Injury	064	Invalid data relationship	A) When DN0290 (Type of Loss Code) is 01 (Traumatic Injury), DN0031 (Date of Injury) must be a valid date (using all 8 digits in the format of CCYYMMDD).	must be a valid date when Type of Loss Code is 01
0031	Date of Injury	064	Invalid data relationship	B) If DN0146 (Death Result of Injury Code) is Y (Yes), then DN0031 (Date of Injury) must be the same as DN0057 (Employee Date of Death).	must =EE Date of Death since Death Result of Inj=Y
0031	Date of Injury	064	Invalid data relationship	C) If DN0146 (Death Result of Injury Code) is "N" for No and DN0290 (Type of Loss Code) is 01 (Traumatic Injury), then DN0031 (Date of Injury) must be on or before DN0040 (Date Employer Had Knowledge of the Injury).	must<=Date ER Knew of Injury when not due to Death
0031	Date of Injury	064	Invalid data relationship	D) If DN0146 (Death Result of Injury Code) is "N" for No and DN0290 (Type of Loss Code) is 01 (Traumatic Injury), then DN0031 (Date of Injury) must be on or before DN0041 (Date Claim Administrator Had Knowledge of the Injury).	must<=Date CA Knew of Injury when not due to Death
0031	Date of Injury	064	Invalid data relationship	E) Date of Injury (DN0031) year must be on or after 2019 if Nature of Injury (DN0035) is COVID-19 (83) or Cause of Injury (DN0037) is Pandemic. Edit applied effective 10/1/2020.	must be >= 2019 if DN0035 is 83 or DN0037 is 83
0031	Date of Injury	111	Must be valid content	A) When DN0031 (Date of Injury) has all 8 digits (in the format of CCYYMMDD showing 4 digit year, followed by 2 digit month, and followed by 2 digit day), it must be a valid date.	must be a valid date in the format of CCYYMMDD

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DN	Data Element Name	Error Message Number	Error Message Text	Population Restriction	Element Error Text
0031	Date of Injury	111	Must be valid content	B) When DN0031 (Date of Injury) does not have all 8 digits (in the format CCYYMMDD showing 4 digit year, followed by 2 digit month, and followed by 2 digit day), it must have a year and 2 spaces for the month and two spaces for the day or 2 spaces for the day when unknown.	must be in the format of CCYYMMDD and have a year
0031	Date of Injury	117	Match data value not consistent with value previously reported	A) If DN0002 (Maintenance Type Code) is not 02, then this value must be the same as what was accepted on last MTC.	can only be changed on 02 (1@time* for Match Data)
0031	Date of Injury	117	Match data value not consistent with value previously reported	B) If DN0002 (Maintenance Type Code) is 02 and is having Error Message 117 occurring on it, then this Error Message 117 also occurs on this DN when its value has changed so it is easy to tell what Match Data Elements have changed.	is a Match Data Element being changed more 1@time*
0033	Accident Site Postal Code	064	Invalid data relationship	If DN0280 (Accident Site Country Code) is blank or USA, then DN0033 (Accident Site Postal Code) must be 5 or 9 digits and not be all zeros.	in USA must be 5 or 9 digits and not all zeros
0040	Date Employer Had Knowledge of the Injury	064	Invalid data relationship	If DN0146 (Death Result of Injury Code) is "N" for No and DN0290 (Type of Loss Code) is 01 (Traumatic Injury), then DN0040 (Date Employer Had Knowledge of the Injury) must be on or after DN0031 (Date of Injury).	must >=Date of Injury when Injury not due to Death
0041	Date Claim Administrator Had Knowledge of the Injury	064	Invalid data relationship	If DN0146 (Death Result of Injury Code) is "N" for No and DN0290 (Type of Loss Code) is 01 (Traumatic Injury), then DN0041 (Date Claim Administrator Had Knowledge of the Injury) must be on or after DN0031 (Date of Injury).	must >=Date of Injury when Injury not due to Death
0042	Employee SSN	111	Must be valid content	DN0042 (Employee SSN) must be 9 digits long and pass data validation tests.	must be 9 digits and pass data validation tests

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DN	Data Element Name	Error Message Number	Error Message Text	Population Restriction	Element Error Text
0042	Employee SSN	117	Match data value not consistent with value previously reported	A) If DN0002 (Maintenance Type Code) is not 02, then this value must be the same as what was accepted on last MTC.	can only be changed on 02 (1@time* for Match Data)
0042	Employee SSN	117	Match data value not consistent with value previously reported	B) If DN0002 (Maintenance Type Code) is 02 and is having Error Message 117 occurring on it, then this Error Message 117 also occurs on this DN when DN0270 (Employee ID Type Qualifier) has not changed but DN0042 (Employee SSN) has changed so it is easy to tell what Match Data Elements have changed.	is a Match Data Element being changed more 1@time*
0043	Employee Last Name	111	Must be valid content	DN0043 (Employee Last Name) can only contain hyphen, apostrophe, or multiple words if contained in legally recognized last name. Since they should be DN0255 (Employee Last Name Suffix), the last word in DN0043 (Employee Last Name) cannot be "Jr", "Sr", or any combination of "I", "V", and "X" unless that combination is the only word.	must only contain alpha, apostrophe, space, or -
0043	Employee Last Name	117	Match data value not consistent with value previously reported	A) If DN0002 (Maintenance Type Code) is not 02, then this value must be the same as what was accepted on last MTC.	can only be changed on 02 (1@time* for Match Data)
0043	Employee Last Name	117	Match data value not consistent with value previously reported	B) If DN0002 (Maintenance Type Code) is 02 and is having Error Message 117 occurring on it, then this Error Message 117 also occurs on this DN when its value has changed so it is easy to tell what Match Data Elements have changed.	is a Match Data Element being changed more 1@time*
0044	Employee First Name	111	Must be valid content	DN0044 (Employee First Name) can only contain hyphen, apostrophe, or multiple words if contained in legally recognized first name. Effective 1/15/2018	must only contain alpha, apostrophe, space, or -

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DN	Data Element Name	Error Message Number	Error Message Text	Population Restriction	Element Error Text
0044	Employee First Name	117	Match data value not consistent with value previously reported	A) If DN0002 (Maintenance Type Code) is not 02, then this value must be the same as what was accepted on last MTC.	can only be changed on 02 (1@time* for Match Data)
0044	Employee First Name	117	Match data value not consistent with value previously reported	B) If DN0002 (Maintenance Type Code) is 02 and is having Error Message 117 occurring on it, then this Error Message 117 also occurs on this DN when its value has changed so it is easy to tell what Match Data Elements have changed.	is a Match Data Element being changed more 1@time*
0045	Employee Middle Name/Initial	111	Must be valid content	DN0045 (Employee Middle Name/Initial) can only contain hyphen, apostrophe, or multiple words if contained in legally recognized middle name. Effective 1/15/2018.	must only contain alpha, apostrophe, or -
0046	Employee Mailing Primary Address	111	Must be valid content	DN0046 (Employee Mailing Primary Address) cannot be the value "NOT IDENTIFIED", "ADDRESS NOT PROVIDED", "UNKNOWN", "UNK", or "UNK." (or any variation of them with upper and lower case letters).	must not be value of UNKNOWN, its abbr. or like it
0048	Employee Mailing City	111	Must be valid content	DN0048 (Employee Mailing City) cannot be the value "NOT IDENTIFIED", "UNKNOWN", "UNK", or "UNK." (or any variation of them with upper and lower case letters).	must not be value of UNKNOWN or its abbreviation
0050	Employee Mailing Postal Code	064	Invalid data relationship	If DN0155 (Employee Mailing Country Code) is blank or USA, then DN0050 (Employee Mailing Postal Code) must be 5 or 9 digits and not be all zeros.	in USA must be 5 or 9 digits and not all zeros
0051	Employee Phone Number	111	Must be valid content	Phone #s must be between 10 and 15 digits long.	must be between 10 and 15 digits long
0052	Employee Date of Birth	045	Value is < required by jurisdiction	DN0052 (Employee Date of Birth) cannot be before 1/1/1900.	must be >= 1/1/1900

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DN	Data Element Name	Error Message Number	Error Message Text	Population Restriction	Element Error Text
0052	Employee Date of Birth	111	Must be valid content	A) DN0052 (Employee Date of Birth) cannot be more than 120 years before DN0031 (Date of Injury).	must not be > 120 years before Date of Injury
0052	Employee Date of Birth	111	Must be valid content	B) DN0052 (Employee Date of Birth) cannot be less than 5 years before DN0031 (Date of Injury).	must not be < 5 years before Date of Injury
0052	Employee Date of Birth	117	Match data value not consistent with value previously reported	A) If DN0002 (Maintenance Type Code) is not 02, then this value must be the same as what was accepted on last MTC.	can only be changed on 02 (1@time* for Match Data)
0052	Employee Date of Birth	117	Match data value not consistent with value previously reported	B) If DN0002 (Maintenance Type Code) is 02 and is having Error Message 117 occurring on it, then this Error Message 117 also occurs on this DN when its value has changed so it is easy to tell what Match Data Elements have changed.	is a Match Data Element being changed more 1@time*
0056	Initial Date Disability Began	059	Non-match data value not consistent with value previously reported	If value was previously reported and this DN0002 (Maintenance Type Code) is not 02, then the same value must be reported. If you want to change the value or remove it, then it must be sent on an DN0002 (Maintenance Type Code) is 02.	must be same as previously reported unless MTC 02
0056	Initial Date Disability Began	064	Invalid data relationship	A) If DN0056 (Initial Date Disability Began) is present, then all DN0088 (Benefit Period Start Dates) being sent and/or on file with a DN0085 (Benefit Type Code) other than 030 or 5xx must be equal to or after it.	cannot be after Benefit Period Start Date
0056	Initial Date Disability Began	064	Invalid data relationship	B) DN0056 (Initial Date Disability Began) must be before DN0144 (Current Date Disability Began) Effective 5/11/2018.	must be before Current Date Disability Began

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DN	Data Element Name	Error Message Number	Error Message Text	Population Restriction	Element Error Text
0063	Wage Period Code	042	Not statutorily valid	Greyed out values in NYS WCB's Value Table cannot be used for this DN.	must be 01 (Weekly)
0064	Number of Days Worked Per Week	045	Value is < required by jurisdiction	DN0064 (Number of Days Worked Per Week) must not be 0.	must be 1-7
0068	Initial Return to Work Date	059	Non-match data value not consistent with value previously reported	If value was previously reported and this DN0002 (Maintenance Type Code) is not 02, then the same value must be reported. If you want to change the value or remove it, then it must be sent on an DN0002 (Maintenance Type Code) is 02.	must be same as previously reported unless MTC 02
0072	Latest Return to Work Status Date	064	Invalid data relationship	A) Must be after DN0065 (Initial Date Last Day Worked) and DN0068 (Initial Return to Work Date) effective 5/11/2018. Modified 1/31/19 to remove edit for DN0056 (Initial Date Disability Began) and created 0072-064 B.	must be after DNs 0065/0068
0072	Latest Return to Work Status Date	064	Invalid data relationship	B) Must be after DN0056 (Initial Date Disability Began) unless DN0189 (Return to Work Type Code) = R effective 1/31/19.	must be after after DN0056 unless DN0189=R
0074	Claim Type Code	059	Non-match data value not consistent with value previously reported	If Claim Type Code has been accepted on this case with the value other than N (Notification of an Incident Only) and reporting a different value, then that different value cannot be N (Notification of an Incident Only) since that can only be sent as the initial value on a case. Effective 10/7/2017.	of Notification Only can only be initial value
0074	Claim Type Code	064	Invalid data relationship	A) If no DN0074 (Claim Type Code) has been accepted yet, then initial value must be I (Lost Time/Indemnity), M (Medical Only) or N (Notification of an Incident Only). Effective 1/1/2019 this edit is modified to include only those dates of accident prior to 1/1/2019. See eClaims change log ID#315.	can only be initially sent as I, M, or N

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DN	Data Element Name	Error Message Number	Error Message Text	Population Restriction	Element Error Text
0074	Claim Type Code	064	Invalid data relationship	B) If DN0074 (Claim Type Code) has been accepted with a value other than N (Notification of an Incident Only) and reporting a different value, then that different value cannot be N (Notification of an Incident Only) since that can only be sent as the initial value.	of Notification Only can only be initial value
0074	Claim Type Code	064	Invalid data relationship	C) SROI-CA/CB/EP/ER then DN0074 (Claim Type Code) must be I (Lost time/Indemnity), L (Became Lost Time), or B (Became Medical Only). This applies to all dates of accident effective 1/1/2019.	On SROI-CA/CB/EP/ER must be I, L, or B
0074	Claim Type Code	064	Invalid data relationship	D) If no DN0074 (Claim Type Code) has been accepted yet, then initial value must be I (Indemnity for Lost Time/Indemnity), M (Medical Only), N (Notification of an Incident Only), W (Lost Time with no paid Indemnity), or P (Indemnity with No Lost Time Beyond Waiting Period) on a case. This applies to dates of accident on or after 1/1/2019.	must be I/M/N/W/P as no Claim Type Code accepted
0074	Claim Type Code	064	Invalid data relationship	E) Claim Type Code cannot be N (Notification of an Incident Only) if DN0068 (Initial Return to Work Date) is not equal to or greater than one day DN0056 (Initial Date Disability Began) or DN0056 (Initial Date Disability Began) is present. This applies to all dates of accident effective 1/1/2019.	cannot be N if one day or more of lost time
0074	Claim Type Code	064	Invalid data relationship	F) SROI-AP/IP/Sx then DN0074 (Claim Type Code) must be I (Lost time/Indemnity), L (Became Lost Time), B (Became Medical Only) or P (Indemnity with No Lost Time Beyond Waiting Period). This applies to all dates of accident effective 1/1/2019.	On SROI-AP/IP/Sx must be I, L, B or P
0075	Agreement to Compensate Code	064	Invalid data relationship	A) If SROI-PY and no benefit segments have been accepted to date, Agreement to Compensate Code must be L (with liability). Effective 11/7/2016.	On SROI-PY with no ben seg must be L

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DN	Data Element Name	Error Message Number	Error Message Text	Population Restriction	Element Error Text
0075	Agreement to Compensate Code	064	Invalid data relationship	B) If the earliest DN0192 (Benefit Payment Issue Date) reported on the SROIs accepted on that case is more than 365 days in the past then Agreement to Compensate Code must be L (with liability) Effective 8/10/2017, and modified 3/22/2019. Effective 11/17/19 this edit will not be applied if DN0059 (Manual Classification Code) has been accepted as 7711 or 7370.	must be L since Ben Pay Issue Dt is>365 days past
0075	Agreement to Compensate Code	064	Invalid data relationship	C) SROI (exc SROI-SA) cannot list Agreement to Compensate Code = W if ANCR/ODNCR has been established (excluding 25(1)(f) cases or ANCR/ODNCR finding pending appeal). Effective 3/15/2018. Effective 11/17/19, this edit will not be applied if DN0059 (Manual Classification Code) has been accepted as 7711 or 7370.	must be L since ANCR/ODNCR has been established
0075	Agreement to Compensate Code	064	Invalid data relationship	D) Agreement to Compensate Code must be L (With Liability) if Claim Type Code is M (Medical Only) or W (Lost Time with No Paid Indemnity). This applies to all dates of accident effective 1/1/2019.	must be L if Claim Type Code is M or W
0075	Agreement to Compensate Code	064	Invalid data relationship	E) Agreement to Compensate Code must be L (With Liability) if Claim Type Code is P (Indemnity with No Lost Time Beyond Waiting Period) if only BTC accepted to date is 030, 090, 530, or 590. This applies to all dates of accident effective 1/1/2019.	must be L if Claim Type Code is P based on BTC
0075	Agreement to Compensate Code	064	Invalid data relationship	F) Value on FROI-00/AQ/AU must be null if DN0074 (Claim Type Code) is N (Notification of Incident Only). This applies to all dates of accident effective 1/1/2019.	must be null when Claim Type Code is N
0075	Agreement to Compensate Code	064	Invalid data relationship	G) Agreement to Compensate Code cannot be W (Without Liability) on FROI 00/UR. Agreement to Compensate Code allowed as W (Without Liability) on a FROI-02 if accepted on a previous transaction. This applies to all dates of accident effective 1/1/2019. Relaxed edit for FROI-02 was implemented on 1/31/2019.	cannot be Without Liability on FROI

**NYS Claims Release 3
Population Restrictions Table**

DN	Data Element Name	Error Message Number	Error Message Text	Population Restriction	Element Error Text
0075	Agreement to Compensate Code	064	Invalid data relationship	H) Agreement to Compensate Code on SROI-PD must be L (With Liability) if no benefit segment has been accepted to date. This applies to all dates of accident effective 1/1/2019.	on SROI-PD with no ben seg must be L
0078	Number of Permanent Impairments	044	Value is > required by jurisdiction	If SROI-04/CD, then DN0078 (Number of Permanent Impairments) must be 0.	must be 0 since SROI-04/CD
0078	Number of Permanent Impairments	045	Value is < required by jurisdiction	If DER requires DN0083 (Permanent Impairment Body Part Code) for this MTC, then DN0078 (Number of Permanent Impairments) must not be 0.	must > 0 since BTC is 020,030,040,090,530,540,590
0078	Number of Permanent Impairments	064	Invalid data relationship	If DN0083 (Permanent Impairment Body Part Code) is 99 (Whole Body), then DN0078 (Number of Permanent Impairments) must be 1.	must =1 since Perm Impair Body Part 99(Whole Body)
0082	Number of Death Dependent/Payee Relationships	044	Value is > required by jurisdiction	If SROI-CD/RE, then DN0082 (Number of Death Dependent/Payee Relationships) must be 0.	must be 0 since SROI-CD/RE
0082	Number of Death Dependent/Payee Relationships	045	Value is < required by jurisdiction	If DER requires DN0097 (Dependent/Payee Relationship Code), then DN0082 (Number of Death Dependent/Payee Relationships) must not be 0.	>0 if BTC 010,210 or Dth Rslt of Inj=Y w/ EE Dep>0
0084	Permanent Impairment Percentage	111	Must be valid content	DN0084 (Permanent Impairment Percentage) must be between 00000 and 10000 (which is 0% to 100%).	must be between 0 and 100 (which is sent as 10000)
0085	Benefit Type Code	042	Not statutorily valid	Greyed out values in NYS WCB's Value Table cannot be used for this DN.	must<>021,050,242,251,380-422,510-524,541,551,580

**NYS Claims Release 3
Population Restrictions Table**

DN	Data Element Name	Error Message Number	Error Message Text	Population Restriction	Element Error Text
0085	Benefit Type Code	059	Non-match data value not consistent with value previously reported	A) If SROI-02 and DN0085 (Benefit Type Code) is not 2xx or 5xx and DN0089 (Benefit Period Through Date) is on or after the maximum DN0089 (Benefit Period Through Date) accepted so far for any DN0085 (Benefit Type Code), then DN0085 (Benefit Type Code) must have been on the last accepted SROI with Benefit segments since it is not possible to introduce a new Benefit Type Code on a SROI-02 other than 2xx or 5xx.	on SROI-02 must be value from last accepted SROI
0085	Benefit Type Code	059	Non-match data value not consistent with value previously reported	B) If SROI-SA and DN0085 (Benefit Type Code) is not 2xx or 5xx, then DN0085 (Benefit Type Code) must have been previously reported since it is not possible to introduce a new Benefit Type Code on a SROI-SA other than 2xx or 5xx unless no SROI has been accepted or only SROI-SA and/or SROI-UR has been accepted.	on SROI-SA must have been previously reported
0085	Benefit Type Code	064	Invalid data relationship	A) If DN0002 (Maintenance Type Code) is not UR, then DN0085 (Benefit Type Code) other than 2xx or 5xx cannot be sent on a sweep benefit unless previously sent on any prior SROI unless no SROI has been accepted or if there have been only SROI-SA or SROI-UR accepted.	sweep BTC must have event BTC or on UR/SA
0085	Benefit Type Code	064	Invalid data relationship	B) If DN0002 (Maintenance Type Code) is CA, then DN0085 (Benefit Type Code) of 240 must include DN0087 (Net Weekly Amount).	on SROI-CA with BTC240 Net Weekly Amt required
0085	Benefit Type Code	064	Invalid data relationship	C) If DN0002 (Maintenance Type Code) is RE, then DN0085 (Benefit Type Code) must be 070 (Temporary Partial) only effective 3/15/2018.	on SROI-RE BTC must be 070 only
0087	Net Weekly Amount	059	Non-match data value not consistent with value previously reported	If MTC is not AP/CA/CB/ER/IP/PY/RB/RE, then DN0087 (Net Weekly Amount) must match value previously reported for this DN0085 (Benefit Type Code).	can only be changed on SROI-AP/CA/CB/ER/IP/PY/RB/RE

**NYS Claims Release 3
Population Restrictions Table**

DN	Data Element Name	Error Message Number	Error Message Text	Population Restriction	Element Error Text
0088	Benefit Period Start Date	064	Invalid data relationship	If DN0056 (Initial Date Disability Began) and DN0088 (Benefit Period Start Date) are present and DN0085 (Benefit Type Code) is other than 030 or 5xx, then DN0088 (Benefit Period Start Date) must be equal to or after DN0056 (Initial Date Disability Began).	cannot be before Initial Date Disability Began
0090	Benefit Type Claim Weeks	064	Invalid data relationship	If DN0091 (Benefit Type Claim Days) is 0, then DN0090 (Benefit Type Claim Weeks) must not be 0.	must be > 0 when Benefit Type Claims Days = 0
0091	Benefit Type Claim Days	064	Invalid data relationship	If DN0090 (Benefit Type Claim Weeks) is 0, then DN0091 (Benefit Type Claim Days) must not be 0.	must be > 0 when Benefit Type Claims Weeks = 0
0092	Benefit Adjustment Code	042	Not statutorily valid	Greyed out values in NYS WCB's Value Table cannot be used for this DN.	must start with A, B, J, Q, T, W, X, 1, or 2
0092	Benefit Adjustment Code	064	Invalid data relationship	DN0092 (Benefit Adjustment Code) must contain a DN0085 (Benefit Type Code) that is being reported.	must contain Benefit Type Code being reported
0093	Benefit Adjustment Weekly Amount	059	Non-match data value not consistent with value previously reported	If MTC is 02, then DN0093 (Benefit Adjustment Weekly Amount) must match the value previously reported for this DN0092 (Benefit Adjustment Code).	cannot be changed on SROI-02
0094	Benefit Adjustment Start Date	045	Value is < required by jurisdiction	DN0094 (Benefit Adjustment Start Date) must be >= DN0088 (Benefit Period Start Date) for the DN0085 (Benefit Type Code) being offset.	must be >= Ben Period Start Date for BTC offset
0105	Interchange Version ID	064	Invalid data relationship	A) If DN0105 (Interchange Version ID) = 14830, then the only valid values for the DN0001 (Transaction Set ID) for the detail records must be 148 or R21.	of 14830 can only have detail records of 148 & R21

**NYS Claims Release 3
Population Restrictions Table**

DN	Data Element Name	Error Message Number	Error Message Text	Population Restriction	Element Error Text
0105	Interchange Version ID	064	Invalid data relationship	A) If DN0105 (Interchange Version ID) = A4930, then the only valid values for the DN0001 (Transaction Set ID) for the detail records must be A49 or R22.	of A4930 can only have detail records of A49 & R22
0118	Accident Site County/Parish	111	Must be valid content	DN0118 (Accident Site County/Parish) must be "Unknown", "Out of state", "Out-of-state", or in the following list of New York counties: "Albany", "Allegany", "Bronx", "Broome", "Cattaraugus", "Cayuga", "Chautauqua", "Chemung", "Chenango", "Clinton", "Columbia", "Cortland", "Delaware", "Dutchess", "Erie", "Essex", "Franklin", "Fulton", "Genesee", "Greene", "Hamilton", "Herkimer", "Jefferson", "Kings", "Lewis", "Livingston", "Madison", "Monroe", "Montgomery", "Nassau", "New York", "Niagara", "Oneida", "Onondaga", "Ontario", "Orange", "Orleans", "Oswego", "Otsego", "Putnam", "Queens", "Rensselaer", "Richmond", "Rockland", "Saint Lawrence", "Saratoga", "Schenectady", "Schoharie", "Schuyler", "Seneca", "St. Lawrence", "Steuben", "Suffolk", "Sullivan", "Tioga", "Tompkins", "Ulster", "Warren", "Washington", "Wayne", "Westchester", "Wyoming", and "Yates".	is not found in the list of acceptable NY counties
0125	Benefit Adjustment End Date	044	Value is > required by jurisdiction	DN0125 (Benefit Adjustment End Date) must be <= DN0089 (Benefit Period Through Date) for the DN0085 (Benefit Type Code) being offset.	must be <= Ben Period Through Date for BTC offset
0126	Benefit Credit Code	064	Invalid data relationship	DN0126 (Benefit Credit Code) must contain a DN0085 (Benefit Type Code) that is being reported.	must contain Benefit Type Code being reported
0127	Benefit Credit Start Date	045	Value is < required by jurisdiction	DN0127 (Benefit Credit Start Date) must be >= DN0088 (Benefit Period Start Date) for the DN0085 (Benefit Type Code) being offset.	must be >= Ben Period Start Date for BTC offset

**NYS Claims Release 3
Population Restrictions Table**

DN	Data Element Name	Error Message Number	Error Message Text	Population Restriction	Element Error Text
0128	Benefit Credit End Date	044	Value is > required by jurisdiction	DN0128 (Benefit Credit End Date) must be <= DN0089 (Benefit Period Through Date) for the DN0085 (Benefit Type Code) being offset.	must be <= Ben Period Through Date for BTC offset
0129	Benefit Credit Weekly Amount	059	Non-match data value not consistent with value previously reported	If MTC is 02, then DN0129 (Benefit Credit Weekly Amount) must match the value previously reported for this DN0126 (Benefit Credit Code).	cannot be changed on SROI-02
0130	Benefit Redistribution Code	064	Invalid data relationship	DN0130 (Benefit Redistribution Code) must contain a DN0085 (Benefit Type Code) that is being reported.	must contain Benefit Type Code being reported
0131	Benefit Redistribution Start Date	045	Value is < required by jurisdiction	DN0131 (Benefit Redistribution Start Date) must be >= DN0088 (Benefit Period Start Date) for the DN0085 (Benefit Type Code) being offset.	must be >= Ben Period Start Date for BTC offset
0132	Benefit Redistribution End Date	044	Value is > required by jurisdiction	DN0132 (Benefit Redistribution End Date) must be <= DN0089 (Benefit Period Through Date) for the DN0085 (Benefit Type Code) being offset.	must be <= Ben Period Through Date for BTC offset
0134	Calculated Weekly Compensation Amount	044	Value is > required by jurisdiction	If DN0058 (Employment Status Code) has no value or a value other than 9 (Volunteer), then DN0134 (Calculated Weekly Compensation Amount) must <= DN0286 (Average Wage).	must be <= Average Wage when not a Volunteer
0134	Calculated Weekly Compensation Amount	045	Value is < required by jurisdiction	DN0134 (Calculated Weekly Compensation Amount) must be >= minimum rate in WCB Law Section 15(6).	must be >= min rate in NYS WCB Law Section 15(6)
0134	Calculated Weekly Compensation Amount	059	Non-match data value not consistent with value previously reported	If value was previously reported and this DN0002 (Maintenance Type Code) is not 02/AP/CA/CB/IP/PY/RB/RE, then the same value must be reported	can only be changed on MTC 02/AP/CA/CB/IP/PY/RB/RE

**NYS Claims Release 3
Population Restrictions Table**

DN	Data Element Name	Error Message Number	Error Message Text	Population Restriction	Element Error Text
0137	Claim Administrator Claim Representative Business Phone Number	111	Must be valid content	Phone #s must be between 10 and 15 digits long.	must be between 10 and 15 digits long
0138	Claim Administrator Claim Representative E- mail Address	111	Must be valid content	DN0138 (Claim Administrator Claim Representative E-mail Address) must be a valid e-mail address that has an embedded at sign (@).	must be a valid e-mail with an embedded @ sign
0139	Claim Administrator Claim Representative Fax Number	111	Must be valid content	Fax #s must be 10 digits long.	must be 10 digits
0141	Concurrent Employer Name	111	Must be valid content	Cannot have any UNKNOWN, NOT IDENTIFIED, NONE, N/A, NA (or any variation of that with upper and lower case letters) effective 3/15/2018.	must not have invalid content
0142	Concurrent Employer Contact Business Phone Number	111	Must be valid content	Phone #s must be between 10 and 15 digits long.	must be between 10 and 15 digits long
0144	Current Date Disability Began	064	Invalid data relationship	Must be after DN0056 (Initial Date Disability Began), and DN0065 (Initial Date Last Day Worked) effective 5/11/2018. Modified 1/31/19 - removed DN0068 (Initial Return to Work Date) from this edit.	must be after DNs 0056/0065

**NYS Claims Release 3
Population Restrictions Table**

DN	Data Element Name	Error Message Number	Error Message Text	Population Restriction	Element Error Text
0145	Current Last Day Worked	064	Invalid data relationship	Must be after DN0056 (Initial Date Disability Began), and DN0065 (Initial Date Last Day Worked) effective 5/11/2018. Modified 1/31/19 - removed DN0068 (Initial Return to Work Date) from this edit.	must be after DNs 0056/0065
0146	Death Result of Injury Code	064	Invalid data relationship	A) If DN0146 (Death Result of Injury Code) = "N", then DN0085 (Benefit Type Code) of 010 and 210 must not be present and DN0216 (Other Benefit Type Code) of 300 must not be present.	of N cannot have BTC 010/210 or OBT 300
0146	Death Result of Injury Code	064	Invalid data relationship	B) If DN0146 (Death Result of Injury Code) = "N" or "U" on SROI CD, then it will be rejected	of N (No) or U (Unknown) cannot be on SROI-CD
0146	Death Result of Injury Code	064	Invalid data relationship	C) If DN0146 (Death Result of Injury Code) = "Y" then DN0075 (Agreement to Compensate Code) cannot be "W". Effective 12/30/2016.	of Y cannot be ATC Code=W
0154	Employee ID Assigned by Jurisdiction	111	Must be valid content	DN0154 (Employee ID Assigned By Jurisdiction) is to be initially created by the Claim Administrator by combining other DNs in the following format: (a) first 6 characters being DN0052 (Employee Date of Birth) in YYMMDD format or 6 nines if it is not known, (b) followed by the first character of DN0044 (Employee First Name). (c) followed by up to the first 8 characters of DN0043 (Employee Last Name), if available.	must be in the required format for NYS WCB

**NYS Claims Release 3
Population Restrictions Table**

DN	Data Element Name	Error Message Number	Error Message Text	Population Restriction	Element Error Text
0154	Employee ID Assigned by Jurisdiction	117	Match data value not consistent with value previously reported	DN0154 (Employee ID Assigned By Jurisdiction) cannot change once accepted. Once DN0042 (Employee SSN) is available to be reported, then a MTC 02 should be sent with DN0270 (Employee ID Type Qualifier) of S and DN0042 (Employee SSN) ... without any other Match Data Elements being changed on it.	cannot be changed; send EE's SSN when available
0159	Employer Contact Business Phone Number	111	Must be valid content	Phone #s must be between 10 and 15 digits long.	must be between 10 and 15 digits long
0165	Employer Mailing City	111	Must be valid content	DN0165 (Employer Mailing City) cannot be the value "NOT IDENTIFIED", "UNKNOWN", "UNK", or "UNK." (or any variation of them with upper and lower case letters).	must not be value of UNKNOWN or its abbreviation
0167	Employer Mailing Postal Code	064	Invalid data relationship	If DN0166 (Employer Mailing Country Code) is blank or USA, then DN0167 (Employer Mailing Postal Code) must be 5 or 9 digits and not be all zeros.	in USA must be 5 or 9 digits and not all zeros
0168	Employer Mailing Primary Address	111	Must be valid content	DN0168 (Employer Mailing Primary Address) cannot be the value "NOT IDENTIFIED", "ADDRESS NOT PROVIDED", "UNKNOWN", "UNK", or "UNK." (or any variation of them with upper and lower case letters).	must not be value of UNKNOWN, its abbr. or like it
0174	Gross Weekly Amount	044	Value is > required by jurisdiction	DN0174 (Gross Weekly Amount) cannot be greater than DN0286 (Average Wage) based on maximum rate in WCB Law Section 15(6) effective 3/15/2018	must be <= Average Wage

**NYS Claims Release 3
Population Restrictions Table**

DN	Data Element Name	Error Message Number	Error Message Text	Population Restriction	Element Error Text
0174	Gross Weekly Amount	059	Non-match data value not consistent with value previously reported	If MTC is 02, then DN0174 (Gross Weekly Amount) must match the value previously reported for this DN0085 (Benefit Type Code).	cannot be changed on SROI-02
0175	Gross Weekly Amount Effective Date	064	Invalid data relationship	DN0175 (Gross Weekly Amount Effective Date) must be equal to or before DN0211 (Net Weekly Amount Effective Date).	must be <= Net Weekly Amount Effective Date
0187	Claim Administrator FEIN	064	Invalid data relationship	If DN0002 (Maintenance Type Code) is not 02 and the Claim Administrator is NOT the Insurer, then DN0187 (Claim Administrator FEIN) must be for a licensed Third Party Administrator (T#) and must be included on the trading partner agreement for DN0098 (Sender ID) with NYS WCB.	must be the Insurer or licensed TPA in TP profile
0187	Claim Administrator FEIN	117	Match data value not consistent with value previously reported	A) If DN0002 (Maintenance Type Code) is not 02, then this value must be the same as what was accepted on last MTC.	can only be changed on 02 (1@time* for Match Data)
0187	Claim Administrator FEIN	117	Match data value not consistent with value previously reported	B) If DN0002 (Maintenance Type Code) is 02 and is having Error Message 117 occurring on it, then this Error Message 117 also occurs on this DN when its value has changed so it is easy to tell what Match Data Elements have changed.	is a Match Data Element being changed more 1@time*
0189	Return to Work Type Code	064	Invalid data relationship	Return to Work Type Code must be Actual if DN0285 (Number of Reduced Earnings) is > 0. Effective 1/22/2019	must be Actual if DN0285 is > 0

**NYS Claims Release 3
Population Restrictions Table**

DN	Data Element Name	Error Message Number	Error Message Text	Population Restriction	Element Error Text
0192	Benefit Payment Issue Date	044	Value is > required by jurisdiction	Date on AP/IP/PY/RB/Sx must not be > date the WCB received the transmission + 4 days. Effective 2/15/2017. Modified to include SROI-Sx on 5/1/2020.	Ben Pymt Issue Dte can't be > dte WCB recvd trans+4
0195	Payment Issue Date	044	Value is > required by jurisdiction	Date on AP/IP/PY/RB must not be > date the WCB received the transmission + 4 days. Effective 2/15/2017	Pymt Issue Dte can't be > dte WCB recvd trans+4
0197	Denial Reason Narrative	064	Invalid data relationship	FROI-02 or SROI-02 cannot have a DN0197 (Denial Reason Narrative) unless a FROI-04/UR or SROI-04/PD/UR has been accepted in the past with it.	cannot be on MTC 02 unless MTC 04/PD/UR had it
0198	Full Denial Reason Code	042	Not statutorily valid	Greyed out values in NYS WCB's Value Table cannot be used for this DN.	must be 1A-1F, 1I, 2C-2E, 3A-3G, or 5A
0198	Full Denial Reason Code	064	Invalid data relationship	FROI-02 or SROI-02 cannot have a DN0198 (Full Denial Reason Code) unless a FROI-04/UR or SROI-04/UR has been accepted in the past with it.	cannot be on MTC 02 unless MTC 04/UR had it
0199	Full Denial Effective Date	064	Invalid data relationship	FROI-02 or SROI-02 cannot have a DN0199 (Full Denial Effective Date) unless a FROI-04/UR or SROI-04/UR has been accepted in the past with it.	cannot be on MTC 02 unless MTC 04/UR had it
0205	Work Days Scheduled Code	064	Invalid data relationship	A) If DN0204 (Work Week Type Code) is S (Standard Work Week), then DN0205 (Work Days Scheduled Code) must be NSSSSSN (Not Scheduled on the weekend and Scheduled on week days) when provided.	cannot be non-standard when Work Week Type Code=S
0205	Work Days Scheduled Code	064	Invalid data relationship	B) If DN0204 (Work Week Type Code) is F (Fixed Work Week), then DN0205 (Work Days Scheduled Code) cannot be NNNNNNN (Not Scheduled every day of the week).	must have at least 1 S when Work Week Type Code=F

**NYS Claims Release 3
Population Restrictions Table**

DN	Data Element Name	Error Message Number	Error Message Text	Population Restriction	Element Error Text
0205	Work Days Scheduled Code	064	Invalid data relationship	C) If DN0064 (Number of Days Worked per Week) is present, then DN0205 (Work Days Scheduled Code) must have exactly that number of S's (Scheduled) in it.	must have 1 S per Number of Days Worked per Week
0205	Work Days Scheduled Code	111	Must be valid content	DN0205 (Work Days Scheduled Code) must be 7 characters long with each character being either S (Scheduled) or N (Not scheduled).	must have S (Sched) or N (Not sched) for Sun - Sat
0207	Managed Care Organization Code	042	Not statutorily valid	Greyed out values in NYS WCB's Value Table cannot be used for this DN.	must be 00 (not MCO) or 03(Preferred Provider Org)
0208	Managed Care Organization Identification Number	111	Must be valid content	DN0208 (Managed Care Organization Identification Number) must be value listed on NYS WCB web site for this DN.	must be value listed on NYS WCB web site for this
0216	Other Benefit Type Code	064	Invalid data relationship	DN0216 (Other Benefit Type Code) of 430 only possible after a FROI-AQ/AU/UR has been accepted.	of 430 only if a FROI-AQ/AU/UR has been accepted
0218	Payment Amount	064	Invalid data relationship	DN0218 (Payment Amount) must be <= DN0086 (Benefit Type Amount Paid) for the same DN0085 (Benefit Type Code) of its DN0222 (Payment Reason Code).	must be <= Benefit Type Amount Paid
0222	Payment Reason Code	042	Not statutorily valid	Greyed out values in NYS WCB's Value Table cannot be used for this DN.	must<>021,050,242,251,380-422,510-524,541,551,580
0222	Payment Reason Code	064	Invalid data relationship	DN0222 (Payment Reason Code) must be a DN0085 (Benefit Type Code) or DN0216 (Other Benefit Type Code) on the transaction.	must be = Benefit Type Code or Other Ben Type Code
0226	Recovery Code	042	Not statutorily valid	Greyed out values in NYS WCB's Value Table cannot be used for this DN.	must be 800-860, 866, or 870-890

**NYS Claims Release 3
Population Restrictions Table**

DN	Data Element Name	Error Message Number	Error Message Text	Population Restriction	Element Error Text
0237	Witness Business Phone Number	111	Must be valid content	Phone #s must be between 10 and 15 digits long.	must be between 10 and 15 digits long
0242	Reduced Earnings Week Number	064	Invalid data relationship	If DN0285 (Number of Reduced Earnings) is > 1, then DN0242 (Reduced Earnings Week Number) must be sequentially 1 to that DN0285 (Number of Reduced Earnings).	must be 1 to # of Reduced Earn when that # is > 1
0270	Employee ID Type Qualifier	042	Not statutorily valid	Greyed out values in NYS WCB's Value Table cannot be used for this DN.	must be A(Assigned by Jur) or S(SSN); S preferred
0270	Employee ID Type Qualifier	117	Match data value not consistent with value previously reported	A) If DN0002 (Maintenance Type Code) is not 02, then this value must be the same as what was accepted on last MTC.	can only be changed on 02 (1@time* for Match Data)
0270	Employee ID Type Qualifier	117	Match data value not consistent with value previously reported	B) If DN0002 (Maintenance Type Code) is 02 and is having Error Message 117 occurring on it, then this Error Message 117 also occurs on this DN when its value has changed so it is easy to tell what Match Data Elements have changed.	is a Match Data Element being changed more 1@time*
0274	Number of Accident/Injury Description Narratives	045	Value is < required by jurisdiction	If FROI-00/02/04/AU/UR, then DN0274 (Number of Accident/Injury Description Narratives) must not be 0.	must be > 0 since FROI-00/02/04/AU/UR
0276	Number of Denial Reason Narratives	044	Value is > required by jurisdiction	If not 02/04/PD/UR/SA, then DN0276 (Number of Denial Reason Narratives) must be 0. Modified effective 1/24/2019 to allow on SA.	must be 0 since MTC is not 02/04/PD/UR/SA
0276	Number of Denial Reason Narratives	045	Value is < required by jurisdiction	If FROI-04, SROI-04 or SROI-PD, then DN0276 (Number of Denial Reason Narratives) must not be 0.	must be > 0 since MTC is 04 or PD

**NYS Claims Release 3
Population Restrictions Table**

DN	Data Element Name	Error Message Number	Error Message Text	Population Restriction	Element Error Text
0277	Number of Full Denial Reason Codes	044	Value is > required by jurisdiction	If not 02/04/UR, then DN0277 (Number of Full Denial Reason Codes) must be 0.	must be 0 since MTC is not 02/04/UR
0277	Number of Full Denial Reason Codes	045	Value is < required by jurisdiction	If FROI-04 or SROI-04, then DN0277 (Number of Full Denial Reason Codes) must not be 0.	must be > 0 since MTC is 04
0278	Number of Managed Care Organizations	044	Value is > required by jurisdiction	DN0278 (Number of Managed Care Organizations) must be 0 or 1.	must be either 0 or 1
0281	Date Employer Had Knowledge of Date of Disability	064	Invalid data relationship	A) If DN0146 (Death Result of Injury Code) is "N" for No and DN0290 (Type of Loss Code) is 01 (Traumatic Injury), then DN0281 (Date Employer Had Knowledge of Date of Disability) must be on or after DN0031 (Date of Injury).	must >=Date of Injury when Injury not due to Death
0281	Date Employer Had Knowledge of Date of Disability	064	Invalid data relationship	B) DN0281 (Date Employer Had Knowledge of Date of Disability) must be on or after DN0040 (Date Employer Had Knowledge of Injury) effective 4/24/2017.	must >=Date Emp had knowledge of injury
0283	Number of Payments	045	Value is < required by jurisdiction	A) If SROI-IP/AP/RB, then DN0283 (Number of Payments) must not be 0.	must be > 0 since SROI-IP/AP/RB
0283	Number of Payments	045	Value is < required by jurisdiction	B) If SROI-PY and DN0202 (Reduced Benefit Amount Code) does not have S (Claim Settled Under Another DOI) or N (No Money Settlement), then DN0283 (Number of Payments) must not be 0.	must be >0 since SROI-PY w/o Red Ben Amt of S or N

**NYS Claims Release 3
Population Restrictions Table**

DN	Data Element Name	Error Message Number	Error Message Text	Population Restriction	Element Error Text
0283	Number of Payments	064	Invalid data relationship	SROI-02 must have DN0283 (Number of Payments) being 0 unless a SROI with DN0283 (Number of Payments) greater than 0 has been accepted in the past.	must be 0 since no Payment segments accepted yet
0284	Number of Recoveries	044	Value is > required by jurisdiction	If SROI-CD, then DN0284 (Number of Recoveries) must be 0.	must be 0 since SROI-CD
0284	Number of Recoveries	045	Value is < required by jurisdiction	If SROI-02, then all DN0226 (Recovery Code) from the last accepted SROI with Recovery segments must be included so DN0284 (Number of Recoveries) must be the same or higher. Specifically it must be equal to DN0284 (Number of Recoveries) on the last accepted SROI with Recovery segments plus the number of any new DN0226 (Recovery Code) being sent on this SROI-02.	on SROI-02 must be > since need old Recovery Codes
0285	Number of Reduced Earnings	045	Value is < required by jurisdiction	If SROI-RE has Benefit Segment with DN0002 (Maintenance Type Code) of RE to identify as event report and DN0085 (Benefit Type Code) of 070 (Temporary Partial), then DN0285 (Number of Reduced Earnings) must not be 0.	> 0 since SROI-RE with BTC 070 as benefit event
0285	Number of Reduced Earnings	064	Invalid data relationship	If SROI AP, CB, IP, RB, RE, S1, S2, S4, S5, S7, SD, SJ and DN0285 (Number of Reduced Earnings) > 0, then DN0002 (Maintenance Type Code) must be present on Benefit segment for BTC 070 effective 3/15/2018.	MTC must be present on Ben segment
0287	Number of Suspension Narratives	045	Value is < required by jurisdiction	If SROI-S2/S4/S5/S7, then DN0287 (Number of Suspension Narratives) must not be 0.	must be > 0 since SROI-S2/S4/S5/S7

**NYS Claims Release 3
Population Restrictions Table**

DN	Data Element Name	Error Message Number	Error Message Text	Population Restriction	Element Error Text
0287	Number of Suspension Narratives	064	Invalid data relationship	SROI-02 must have DN0287 (Number of Suspension Narratives) being 0 unless a SROI-S1/S2/S4/S5/S7/SD/SJ/UR has been accepted in the past.	must be 0 since no SROI-Sx/UR accepted yet
0288	Number of Benefits	044	Value is > required by jurisdiction	If SROI-CD, then DN0288 (Number of Benefits) should be 0.	must be 0 since SROI-CD
0288	Number of Benefits	045	Value is < required by jurisdiction	A) If SROI-CB does not have a Benefit Segment with DN0202 (Reduced Benefit Amount Code) of R (Reclassification of Benefit) or D (Decrease in Indemnity), then DN0288 (Number of Benefits) must not be 0 or 1. Effective 10/7/2017.	must be >1 since SROI-CB w/o Red Ben Amt of R or D
0288	Number of Benefits	045	Value is < required by jurisdiction	B) If SROI-AP/CA/EP/ER/IP/RB/RE, then DN0288 (Number of Benefits) must not be 0.	must be > 0 since SROI's DER has E1 for this MTC
0288	Number of Benefits	045	Value is < required by jurisdiction	C) If SROI-S1/S2/S4/S5/S7/SD/SJ, then DN0288 (Number of Benefits) must not be 0 unless DN0202 (Reduced Benefit Amount Code) is D, N, or S. Effective 10/7/2017.	must be >0 for Sx unless Red Ben Amt Code= D/N/S
0288	Number of Benefits	059	Non-match data value not consistent with value previously reported	If SROI-02, then all DN0085 (Benefit Type Code) on the last accepted SROI with Benefit segments must be present on the SROI-02. Note that the only new Benefit Type Codes allowed on a SROI-02 are 2xx or 5xx.	must be > on 02 since last reported BTC(s) missing
0288	Number of Benefits	064	Invalid data relationship	If DN0074 (Claim Type Code) is N (Notification of an Incident Only) and DN0075 (Agreement to Compensate Code) is either L (With Liability) or has never been reported, then DN0288 (Number of Benefits) must be 0.	must be 0 when Claim Type =N & Agree2Compensate<>W

**NYS Claims Release 3
Population Restrictions Table**

DN	Data Element Name	Error Message Number	Error Message Text	Population Restriction	Element Error Text
0289	Number of Benefit ACR	045	Value is < required by jurisdiction	If DN0087 (Net Weekly Amount) is not equal to DN0174 (Gross Weekly Amount), then DN0289 (Number of Benefits ACR) must not be 0 since Adjustments or Credits need to be reported.	must be >0 when Net Weekly Amt <> Gross Weekly Amt
0293	Lump Sum Payment/Settlement Code	064	Invalid data relationship	SROI-02 cannot have a DN0293 (Lump Sum Payment/Settlement Code) unless a SROI-PY/UR has been accepted in the past with it.	cannot be on SROI-02 unless PY/UR accepted with it
0294	Partial Denial Code	042	Not statutorily valid	Greyed out values in NYS WCB's Value Table cannot be used for this DN.	must be A or B since Medical denial done by paper
0294	Partial Denial Code	064	Invalid data relationship	SROI-02 cannot have a DN0294 (Partial Denial Code) unless a SROI-PD/UR with it has been accepted in the past.	must have already been accepted on prior PD/UR
0314	Insured FEIN	111	Must be valid content	DN0314 (Insured FEIN) must be 9 digits long and pass data validation tests.	must be 9 digits and pass data validation tests

NYS Claims Release 3 FROI Sequencing Table

This **FROI Sequencing Table** provides New York's MTC sequencing for incoming FROIs. It is meant to convey to the trading partner the sequencing rules that clarify how New York will apply Edit 063 - Invalid Event Sequence. **These edits will be applied on a per claim administrator basis for the current reporting responsibility.** See the Notes below this table for clarification on that and the full details of the sequencing edits that will be applied.

If your incoming FROI MTC is:	[Edit #1] Allowed if your last accepted FROI MTC (besides any FROI-02) is listed here: * None means that no FROIs have been accepted from <u>you</u> .							[Edit #2] Allowed if any SROIs have been accepted from you:
FROI-00	None*		01	04				Not applicable
FROI-01		00						No
FROI-02		00		04	AQ	AU	UR	Yes
FROI-04	None*							Not applicable
FROI-AQ	None*							Not applicable
FROI-AU	None*							Not applicable
FROI-UR	None*							Not applicable

Notes that apply to both FROIs and SROIs:

1. If your incoming FROI/SROI gets rejected due to one the FROI/SROI sequencing edits, make sure to check all Edit 063 (Invalid Event Sequence) returned with it, since in a few situations, there could be multiple.

NYS Claims Release 3 FROI Sequencing Table

2. "You" and "your" are underlined in the tables to stress how these edits will be applied on a per claim administrator basis for the current reporting responsibility. For most claims, this is simple since there is only one claim administrator for the life of the claim. On some claims, the following complexities can occur:

(A) Each insurer can have one current claim administrator, but when a new claim administrator acquires a claim, the old claim administrator might need to report an event that occurred before the acquisition, even though the new claim administrator has already reported the acquisition event (ie, FROI-AQ/AU).

(B) There can be multiple insurers on the same WCB Case.

(C) One claim administrator could be reporting for multiple insurers on same WCB Case.

(D) A claim administrator could reacquire a claim that they had previously reported on.

Based upon that, unless specifically stated otherwise, **all FROI/SROI sequencing edits will check your incoming FROI/SROI for accepted FROI/SROIs that have the same DN0006 (Insurer FEIN) and DN0187 (Claim Administrator FEIN) since the last FROI-00/AQ/AU/UR for that DN0006/DN0187 combination.**

3. When you lose current reporting responsibility due to the claim being acquired by a new claim administrator, you have a 30 calendar day grace period (from when the new claim administrator's FROI-AQ/AU is accepted) to have any FROI/SROIs accepted that need to be reported for events before the acquisition. If you submit any FROI/SROI (besides a FROI-AQ/AU if you have reacquired the claim and thus are starting a new reporting responsibility) after that 30 calendar day grace period, then your incoming FROI/SROI will be rejected for Edit 063 (Invalid Event Sequence) with DN0291 (Element Error Text) stating "[A\) Your acquired grace period ended on <that date as mm/dd/yyyy>](#)".

4. The FROI-UR and SROI-UR in these sequencing tables are only for those used for legacy claims. Any FROI-UR and SROI-UR for data calls will not have this sequencing edits applied to them and they will not be checked against your incoming FROI/SROIs.

NYS Claims Release 3 FROI Sequencing Table

5. Both a FROI-04 and a SROI-04 can be used to deny a claim, but they have different capabilities and restrictions:

(A) A FROI-04 can be used to deny a claim as your initial report.

(B) To accept a claim after your accepted FROI-04, you need to submit a FROI-00 with DN0074 (Claim Type Code) having a value other than N (Notification Only). If you submit a FROI-00 with DN0074 of N when your latest FROI (as described in Edit #1 above) had MTC of 04, then it will be rejected for Edit 063 (Invalid Event Sequence) with DN0291 (Element Error Text) stating "**B) FROI-00 after FROI-04 cannot have DN0074 of N**".

(C) A SROI-04 must be used to deny a claim after a FROI-00/AQ/AU/UR has been accepted from you.

(D) To accept a claim after your accepted SROI-04, you need to submit an allowable SROI that indicates what event has occurred that shows acceptance. Submitting a SROI-SA is allowed, but that does not indicate that the claim is being accepted since another SROI MTC should be submitted for that.

Notes that apply to only FROIs:

6. When you need to submit your initial report, here is an explanation of what FROI to submit (based upon Edit #1's "None" column):

(A) If no claim administrator has yet had a FROI-UR accepted for a claim in the legacy extract file for the insurer (which is the W# listed on the legacy extract file's record), then submit a FROI-UR. *This is needed even if you acquired the claim (and thus for this reporting responsibility, it will be edited as a legacy claim instead of an acquired claim).*

(B) Otherwise if you acquired the claim, then send either a FROI-AQ or a FROI-AU. *The differences between a FROI-AQ and a FROI-AU is that the FROI-AQ has less edits on its values but it must be matched to a FROI from a previous claim administrator.*

(C) Otherwise if you want to deny the claim as your initial report, then submit a FROI-04.

(D) Otherwise, submit a FROI-00.

7. If you submit a FROI-UR for a claim that is not in the legacy extract file for that insurer (which is the W# listed on the legacy extract file's record), then your incoming FROI will be rejected for Edit 063 (Invalid Event Sequence) with DN0291 (Element Error Text) stating "**C) Case is not in legacy extract file for this W#**".

8. If you submit a FROI-UR on a legacy claim which has already had a FROI-UR accepted on it for that insurer (which is the W# listed on the legacy extract file's record), then your incoming FROI will be rejected for Edit 063 (Invalid Event Sequence) with DN0291 (Element Error Text) stating "**D) Legacy claim already has FROI-UR for this W#**".

NYS Claims Release 3 FROI Sequencing Table

<p>9. If you submit a FROI-00/04/AQ/AU on a legacy claim which has not yet had a FROI-UR accepted on it for that insurer (which is the W# listed on the legacy extract file's record), then your incoming FROI will be rejected for Edit 063 (Invalid Event Sequence) with DN0291 (Element Error Text) stating "E) Legacy claim needs a FROI-UR sent for this W#".</p>
<p>10. If you submit a FROI-AQ on an acquired claim which has not yet had a FROI-00/04/UR accepted on it for that insurer (which is the W# listed on the legacy extract file's record) from another claim administrator (so this is an exception to the rule mentioned in note #2 above), then your incoming FROI will be rejected for Edit 063 (Invalid Event Sequence) with DN0291 (Element Error Text) stating "F) Previous FROI not found so can send as FROI-AU".</p>
<p>11. If you submitted a FROI-00 in error (and it was accepted) and have not had any SROIs accepted yet, then attempt to cancel it by submitting a FROI-01 with its DN0005 (Jurisdiction Claim Number).</p> <p>(A) If any SROIs have been accepted from you, then your incoming FROI will be rejected as indicated above by Edit #2 for Edit 063 (Invalid Event Sequence) with DN0291 (Element Error Text) stating "G) Not possible after your SROI(s) accepted". <i>See implementation guide for further details .</i></p> <p>(B) If it is not possible to electronically cancel the claim, then your incoming FROI will be rejected for Edit 063 (Invalid Event Sequence) with DN0291 (Element Error Text) stating "H) This FROI-00 cannot be cancelled electronically". <i>See implementation guide for further details.</i></p>
<p>12. If you submitted a FROI-01 in error (and it was accepted), then you need to submit a FROI-00 to restart your reporting responsibility.</p>
<p>13. If you submit a FROI-01/02 without a FROI-00/AQ/AU/UR having been accepted from you, then your incoming FROI will be rejected for Edit 063 (Invalid Event Sequence) with DN0291 (Element Error Text) stating "I) Your FROI-00/AQ/AU/UR could not be found".</p>
<p>14. If your last accepted FROI MTC (besides any FROI-02s) is not listed under Edit #1 for your incoming FROI MTC, then your incoming FROI will be rejected for Edit 063 (Invalid Event Sequence) with DN0291 (Element Error Text) stating "J) Your last accepted was FROI-<DN0002 (Maintenance Type Code)>".</p>

**NYS Claims Release 3
SROI Sequencing Table**

This **SROI Sequencing Table** provides New York's MTC sequencing for incoming SROIs. It is meant to convey to the trading partner the sequencing rules that clarify how New York will apply Edit 063 - Invalid Event Sequence. **These edits will be applied on a per claim administrator basis for the current reporting responsibility.** See the Notes below this table for clarification on that and the full details of the sequencing edits that will be applied.

If your incoming SROI MTC is:	[Edit #1] Allowed if your last accepted FROI MTC (besides any FROI-02) is listed here:					[Edit #2] Can this MTC be your first accepted SROI ?	[Edit #3] Allowed if any of these SROI MTCs have been previously accepted from you:				[Edit #4] Can this SROI MTC be accepted from you multiple times?	[Edit #5] Allowed if your last accepted SROI MTC (besides any SROI-02/CA/PD/PY/SA) is listed here:													[Edit #6] Must there be (or not be) ongoing indemnity payments occurring for this incoming SROI to be accepted?	[Expectation] An accepted SROI with this MTC indicates the following concerning ongoing indemnity payments (which is used for Edit #6 on future incoming SROIs):				
	00		AQ	AU	UR		AP	EP	IP	UR		04	AP	CB	CD	EP	ER	IP	RB	RE	S1	S2	S4	S5			S7	SD	SJ	UR
SROI-02	00		AQ	AU	UR	No	Any SROI				Yes	04	AP	CB	CD	EP	ER	IP	RB	RE	S1	S2	S4	S5	S7	SD	SJ	UR		Still occurring or not
SROI-04	00		AQ	AU	UR	Yes					Yes			CD							S1	S2	S4	S5	S7	SD	SJ	UR	Must not be	Still not occurring
SROI-AP			AQ	AU		Yes					No	04			CD	EP	ER				S1	S2	S4	S5	S7	SD	SJ	UR		Starts or continues
SROI-CA	00		AQ	AU	UR	No	AP	EP	IP	UR	Yes		AP	CB		EP	ER	IP	RB	RE	S1	S2	S4	S5	S7	SD	SJ	UR		Still occurring or not
SROI-CB	00		AQ	AU	UR	No	AP	EP	IP	UR	Yes		AP	CB		EP	ER	IP	RB	RE								UR	Must be	Still occurring
SROI-CD	00		AQ	AU	UR	Yes					Yes	04									S1	S2	S4	S5	S7	SD	SJ	UR	Must not be	Still not occurring
SROI-EP	00		AQ	AU	UR	Yes					No	04	AP	CB	CD			IP	RB	RE	S1	S2	S4	S5	S7	SD	SJ	UR		Starts or continues
SROI-ER	00		AQ	AU	UR	No		EP			Yes	04			CD						S1	S2	S4	S5	S7	SD	SJ	UR	Must not be	Restarts
SROI-IP	00				UR	Yes					No	04			CD	EP	ER				S1	S2	S4	S5	S7	SD	SJ	UR		Starts or continues
SROI-PD	00		AQ	AU	UR	Yes					Yes	04	AP	CB	CD	EP	ER	IP	RB	RE	S1	S2	S4	S5	S7	SD	SJ	UR	If DN0294 is 'A', then must not be	Still occurring or not
SROI-PY	00	04	AQ	AU	UR	Yes					Yes	04	AP	CB	CD	EP	ER	IP	RB	RE	S1	S2	S4	S5	S7	SD	SJ	UR		Still occurring or not
SROI-RB	00		AQ	AU	UR	No	AP	EP	IP	UR	Yes	04			CD		ER				S1	S2	S4	S5	S7	SD	SJ	UR		Starts or continues
SROI-RE	00		AQ	AU	UR	No	AP	EP	IP	UR	Yes		AP	CB		EP	ER	IP	RB	RE								UR	Must be	Still occurring
SROI-S1	00		AQ	AU	UR	No	AP	EP	IP	UR	Yes		AP	CB		EP	ER	IP	RB	RE								UR	Must be	Stops
SROI-S2	00		AQ	AU	UR	No	AP	EP	IP	UR	Yes		AP	CB		EP	ER	IP	RB	RE								UR	Must be	Stops
SROI-S4	00		AQ	AU	UR	No	AP	EP	IP	UR	Yes		AP	CB		EP	ER	IP	RB	RE								UR	Must be	Stops
SROI-S5	00		AQ	AU	UR	No	AP	EP	IP	UR	Yes		AP	CB		EP	ER	IP	RB	RE								UR	Must be	Stops
SROI-S7	00		AQ	AU	UR	No	AP	EP	IP	UR	Yes		AP	CB		EP	ER	IP	RB	RE								UR	Must be	Stops
SROI-SD	00		AQ	AU	UR	No	AP	EP	IP	UR	Yes		AP	CB		EP	ER	IP	RB	RE								UR	Must be	Stops
SROI-SJ	00		AQ	AU	UR	No	AP	EP	IP	UR	Yes		AP	CB		EP	ER	IP	RB	RE								UR	Must be	Stops

**NYS Claims Release 3
SROI Sequencing Table**

SROI-SA	00	04	AQ	AU	UR	Yes				Yes	04	AP	CB	CD	EP	ER	IP	RB	RE	S1	S2	S4	S5	S7	SD	SJ	UR		Still occurring or not
SROI-UR	00		AQ	AU	UR	Must be				No																			Could be occurring or not

Notes that apply to SROIs:

1. See the "Notes that apply to both FROIs and SROIs" underneath the FROI Sequencing Table.
2. Edit #1 checks to see what FROI MTC (besides any FROI-02) was accepted from you last and applies the following edits accordingly:
 - (A) If your last accepted FROI was FROI-01, then your incoming SROI will be rejected for Edit 063 (Invalid Event Sequence) with DN0291 (Element Error Text) of "[K](#)) After FROI-01, first send FROI-00 to start over".
 - (B) Otherwise, if your last accepted FROI was FROI-04 but something other than a SROI-SA or SROI-PY without a benefit segment is being sent, then your incoming SROI will be rejected for Edit 063 (Invalid Event Sequence) with DN0291 (Element Error Text) of "[L](#)) After FROI-04, first send FROI-00 to accept".
 - (C) Otherwise, if your last accepted FROI was FROI-00 and your incoming SROI is SROI-AP, then your incoming SROI will be rejected for Edit 063 (Invalid Event Sequence) with DN0291 (Element Error Text) of "[M](#)) SROI-IP should be used instead of SROI-AP".
 - (D) Otherwise, if your last accepted FROI was FROI-AQ/AU and your incoming SROI is SROI-IP, then your incoming SROI will be rejected for Edit 063 (Invalid Event Sequence) with DN0291 (Element Error Text) of "[N](#)) SROI-AP should be used instead of SROI-IP".
 - (E) Otherwise, if you have not had a FROI accepted yet or your last accepted FROI is not listed underneath Edit #1 for your incoming SROI MTC (for example, SROI-UR has FROI-00/AU/UR listed), then your incoming SROI will be rejected for Edit 063 (Invalid Event Sequence) with DN0291 (Element Error Text) stating "[O](#)) SROI-<DN0002 (Maintenance Type Code)> needs FROI first (see Edit #1 for MTCs)".
3. Edit #2 checks to see if any SROIs have been accepted from you and applies the following edits accordingly:
 - (A) If your incoming SROI MTC has "Yes" for Edit #2 (for example, SROI-04), then it does not matter whether you have had any SROIs accepted already.
 - (B) Otherwise, if your incoming SROI MTC has "No" for Edit #2 (for example, SROI-02) and you have not had any SROIs accepted yet, then your incoming SROI will be rejected for Edit 063 (Invalid Event Sequence) with DN0291 (Element Error Text) stating "[P](#)) SROI-<DN0002 (Maintenance Type Code)> cannot be your first SROI".
 - (C) Otherwise, if your incoming SROI MTC has "Must be" for Edit #2 (for example, SROI-UR) and you have had one or more SROIs accepted already, then your incoming SROI will be rejected for Edit 063 (Invalid Event Sequence) with DN0291 (Element Error Text) stating "[Q](#)) SROI-<DN0002 (Maintenance Type Code)> can only be your first SROI".
4. Edit #3 checks to see if SROI-AP/EP/IP/UR has been accepted from you already and applies the following edits accordingly:
 - (A) If your incoming SROI MTC has no MTCs listed for Edit #3 (for example, SROI-04), then it does not matter whether you have had SROI-AP/EP/IP/UR accepted already.
 - (B) Otherwise, if your incoming SROI MTC has some MTCs listed for Edit #3 (for example, SROI-CA has SROI-AP/EP/IP/UR listed) and you have not had any of those listed SROI MTCs accepted already, then your incoming SROI will be rejected for Edit 063 (Invalid Event Sequence) with DN0291 (Element Error Text) stating "[R](#)) SROI-<DN0002 (Maintenance Type Code)> needs SROI first (see Edit #3 for MTCs)".

**NYS Claims Release 3
SROI Sequencing Table**

5. Edit #4 checks to see if this SROI MTC has been accepted from you already and applies the following edits accordingly:

(A) If your incoming SROI MTC has "Yes" for Edit #4 (for example, SROI-02), then it does not matter whether you have had this SROI MTC accepted already.

(B) Otherwise, if your incoming SROI MTC has "No" for Edit #4 (for example, SROI-AP) and you have had this SROI MTC accepted already, then your incoming SROI will be rejected for Edit 063 (Invalid Event Sequence) with DN0291 (Element Error Text) stating "**S** Your SROI-<DN0002 (Maintenance Type Code)> (from <DN0100 (Date Transmission Sent)>) already accepted".

6. Edit #5 checks to see what SROI MTC (besides any SROI-02/CA/PD/PY/SA) was accepted from you last and applies the following edits accordingly:

(A) If your incoming SROI has all MTCs listed for Edit #5 (for example, SROI-02), then it does not matter what the last SROI MTC accepted was.

(B) Otherwise, if your last SROI MTC is not in the list for your incoming SROI, then your incoming SROI will be rejected for Edit 063 (Invalid Event Sequence) with DN0291 (Element Error Text) stating "**T** Your last SROI (from <DN0100 (Date Transmission Sent)>) had MTC of <DN0002 (Maintenance Type Code)>".

7. Edit #6 checks whether ongoing indemnity payments are expected to be occurring (or not) in order for your incoming SROI MTC to be accepted. When your incoming SROI MTC has a value for Edit #6, then the previous accepted SROI MTC(s) are checked from the last accepted to the first accepted until the question of "are ongoing indemnity payments occurring" is answered with "yes", "no", "maybe", or "never" by using the values in the Expectation column in the following steps:

(1) If the Expectation value is "Still occurring or not",

then that SROI cannot answer the question, so repeat this step for the previously accepted SROI.

(2) Otherwise, if the Expectation value is "Stops" or "Still not occurring",

then that SROI answers the question with "no" since the expectation is that ongoing indemnity payments are not occurring.

(3) Otherwise, if the Expectation value is "Starts or continues", "Restarts", or "Still occurring",

then that SROI answers the question with "yes" since the expectation is that ongoing indemnity payments are occurring.

(4) Otherwise, if the Expectation value says "Could be occurring or not",

then that SROI answers the question with "maybe" since it cannot be determined whether ongoing indemnity payments are occurring or not.

(5) Otherwise, the answer to the question is "never" since that is the default expectation without a SROI from you indicating something else.

Based upon that answer and the value for Edit #6, the following edits are applied accordingly:

(A) If your incoming SROI does not have a value for Edit #6 or the above steps answered the question with "maybe", then this edit does not apply.

(B) Otherwise, if your incoming SROI has "must be" for Edit #6 (for example, SROI-CB) and the above steps answered the question with "never", then your incoming SROI will be rejected for Edit 063 (Invalid Event Sequence) with DN0291 (Element Error Text) stating "**U** Your SROI-AP/CB/EP/ER/IP/RB/RE/UR was not found".

(C) Otherwise, if your incoming SROI has "must be" for Edit #6 (for example, SROI-CB) and the above steps answered the question with "no", then your incoming SROI will be rejected for Edit 063 (Invalid Event Sequence) with DN0291 (Element Error Text) stating "**V** Your SROI-<DN0002 (Maintenance Type Code)> (from <DN0100 (Date Transmission Sent)>) shows not ongoing" with DN0002 and DN0100 being the values from the previously accepted SROI which was used to answer the question in step #2 above.

**NYS Claims Release 3
SROI Sequencing Table**

(D) Otherwise, if your incoming SROI is SROI-CD (which has "must not be" for Edit #6) and the above steps answered the question with "yes", then your incoming SROI will be rejected for Edit 063 (Invalid Event Sequence) with DN0291 (Element Error Text) stating "[W\) Send SROI-S4 to stop ongoing and then a SROI-CD](#)".

(E) Otherwise, if your incoming SROI is SROI-04 (which has "must not be" for Edit #6) and the above steps answered the question with "yes", then your incoming SROI will be rejected for Edit 063 (Invalid Event Sequence) with DN0291 (Element Error Text) stating "[X\) Send SROI-SJ to stop ongoing and then a SROI-04](#)".

(F) Otherwise, if your incoming SROI has "must not be" for Edit #6 (for example, SROI-ER) and the above steps answered the question with "yes", then your incoming SROI will be rejected for Edit 063 (Invalid Event Sequence) with DN0291 (Element Error Text) stating "[Y\) Your SROI-<DN0002 \(Maintenance Type Code\)> \(from <DN0100 \(Date Transmission Sent\)>\) shows ongoing](#)" with DN0002 and DN0100 being the values from the previously accepted SROI which was used to answer the question in step #3 above.

8. SROI-PD has the value of "If DN0294 is 'A', then must not be" for Edit #6 since "must not be" only applies to when its value for DN0294 (Partial Denial Code) is 'A' (Denying Indemnity in whole, but not Medical). If its value for DN0294 (Partial Denial Code) is 'B' (Denying Indemnity in part, but not Medical), which is the only other statutorily valid code, then Edit #6 does not get applied.

SROI-PD had the value of "Still occurring or not" for the Expectation column so that it can be used regardless what the value of DN0294 (Partial Denial Code) is.