



New York Legacy Claims Transition to EDI – Rev. 8/22/2018

Transition from Paper to Release 3 using MTC UR

Below are the NY requirements and implementation for transition from paper to IAIABC Claims Release 3 EDI using First Report of Injury (FROI) and Subsequent Report of Injury (SROI) Maintenance Type Code (MTC) Upon Request (UR).

Background

The New York WCB will not accept paper forms (C-2, C-669, C-7, C-8/8.6, VAW-2, VF-2) from Claim Administrators after their Claims EDI implementation date. This includes claims with dates of injury prior to or after the 5/23/14 eClaims EDI implementation. All reporting must be submitted electronically per the Event Table effective on the eClaims EDI implementation date. *For proper filings for Controverted Claims, see page 2 – Summary of FROI/SROI-04 vs. FROI/SROI-UR.*

Definition: A legacy claim is any claim (regardless whether open or closed) that already exists in the WCB database and has been assigned a JCN or WCB# prior to the 5/23/14 eClaims EDI implementation. The WCB will provide each Trading Partner with a data file(s) containing their legacy claims before their EDI implementation date.

Summary of Legacy Process

When a SROI is due based on the NY Event Table and no electronic reports have been filed yet the trading partner must first file an originating FROI transaction. This can be accomplished by first filing the originating FROI (oo/AU/UR). Once the originating FROI is filed, the trading partner can file a SROI-UR transaction that contains all historical information regarding the claim. The SROI-UR report will show a summary of the activity on the claim to date before submitting the required SROI listed on the Event Table. The SROI UR must be a sweep that contains information normally reported on the Initial Payments Reports (IP/EP/AP/PY), Change Reports (CB or CA), Suspension of Benefits Reports (Sx), and Reinstatement of Benefits Reports (RB or ER). The type of originating FROI is dependent on whether or not the file is included in the WCB extract file provided by the Board to the trading partner. If the Board has a record of the claim, the trading partner will receive a list of cases in a tab delimited file that will include the following information:

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CIS Description	CIS Length	IA's Description	IA's DN #	Notes
1. WCB's Case ID	8	Jurisdiction Claim Number	DN0005	
2. Claimant's First Name	20	Employee First Name	DN0044	
3. Claimant's Middle Initial/Name	30	Employee Middle Initial/Name	DN0045	When exists.
4. Claimant's Last Name	20	Employee Last Name	DN0043	
5. Claimant's Title	5	Employee Last Name Suffix	DN0255	When exists.
6. Claimant's SSN	9	Employee SSN	DN0042	When exists.
7. Claimant's Date of Birth	10	Employee Date of Birth	DN0052	When exists, in [m]m/[d]d/yyyy format.
8. Accident Date's Year	4	Date of Injury's Year	DN0031	When exists, in yyyy format.
9. Accident Date's Month	2	Date of Injury's Month	DN0031	When exists, in [m]m format.
10. Accident Date's Day	2	Date of Injury's Day	DN0031	When exists, in [d]d format.
11. Carrier Case ID	20	Claim Administrator Claim Number	DN0015	When Carrier W# is the Primary Insurer. This value is what exists in CIS. It may or may not be Carrier's or Claim Administrator's.
12. Carrier W#	7			
13. Carrier Name	30	Insurer Name	DN0007	
14. Primary Insurer Indicator	1			When Carrier W# is the Primary Insurer, then "Y" for yes, otherwise "N" for no
15. Case Open Indicator	1			If WCB has decided to take no further action, then this value is "N" for no; otherwise, "Y" for yes

Summary of FROI/SROI-04 vs. FROI/SROI-UR

If the Claim Administrator has not previously filed a paper C-7 or any other FROI then the Board would expect a FROI-04 to be filed when controverting the claim. If the Claim Administrator has previously filed a paper C-7 with the Board then a FROI/SROI-UR filing is the most appropriate filing.

Process for filing legacy claims:

1. When reportable event occurs on a claim for which a FROI has yet to be filed, Claim Administrator reviews extract file from NYS Workers' Compensation Board.
2. If case is listed in the extract file, Claim Administrator files FROI-UR.
3. If case is not listed in the extract file, Claim Administrator files FROI-oo or FROI-AU.
4. Claim Administrator files SROI-UR to summarize historical information regarding the claim. Note: A SROI-UR is only required if indemnity benefits were previously paid. If filing a SROI-UR, it must be the first SROI submitted.
5. Claim Administrator files appropriate MTC.

Please refer to Scenario 9-4 in the NYS Specific Business Scenarios document for an example of a legacy claim filing using the Board's extract file sent to the Claim Administrator.

Note: When a JCN is given on a legacy claim it will be validated against the Board's case to make sure it has at least one match for the following: Claim Administrator Claim Number (DN0015), Employee SSN (DN0042), Date of Injury (DN0031), Employee Last Name (DN0043), and Employee Date of Birth (DN0052). If none of them match, then error message 117 (Match data value not consistent with value previously reported) will be returned with the Element Error Text (DN0291) of "is different on WCB Case. Check eCase."