



**Workers'
Compensation
Board**



eClaims September Webinar

AGENDA

01  eClaims Recent News

02  eClaims Topics

03  Web Data Entry Demo

04  Questions?

eClaims Recent News



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eClaims Recent News

August 26, 2021

■ The following updates have published:

- The [R3.1 Transaction Reports](#) have been added to the eClaims homepage. Claim administrators should review these reports in preparation for the R3.1 implementation.
- The NYS R3.1 MTC Filing Instructions have been updated.
- The [Summary of Changes 3.0 to 3.1](#) document has been moved to the new [EDI R3.1 Archive](#) page. All future updates will be available in the [NYS eClaims EDI R3.1 Change Log](#) (MS Excel).
- The August webinar slide deck is now available on the [eClaims Presentation page](#). The Agenda for August's webinar included:
 - eClaims Recent News
 - Claims EDI R3.0 Updates and Reminders
 - Claims EDI R3.1 Change Log Updates

eClaims Recent News

August 27, 2021

- The [NYS R3.1 Edit Matrix](#) and [Claims EDI R3.1 Change Log](#) has been updated and posted on the [NY Requirement Tables - EDI R3.1](#) page. The updates are summarized on the Claims EDI R3.1 Change Log under items #236-243, and include updates to the following documents:
 - NYS eClaims EDI R3.1 Change Log
 - NYS R3.1 Element Requirements
 - NYS R3.1 Edit Matrix

eClaims Recent News

September 2, 2021

- The following updates have published:
 - NYS R3.1 DN Reporting Specifics have been updated to include:
 - Removed reference to Reduced Benefit Amount Code value Z (Net to Zero)
 - Updated the second worksheet (Full Denial Reason Code Mapping) Row 2 to match R3.1 FAQ #14

eClaims Topics



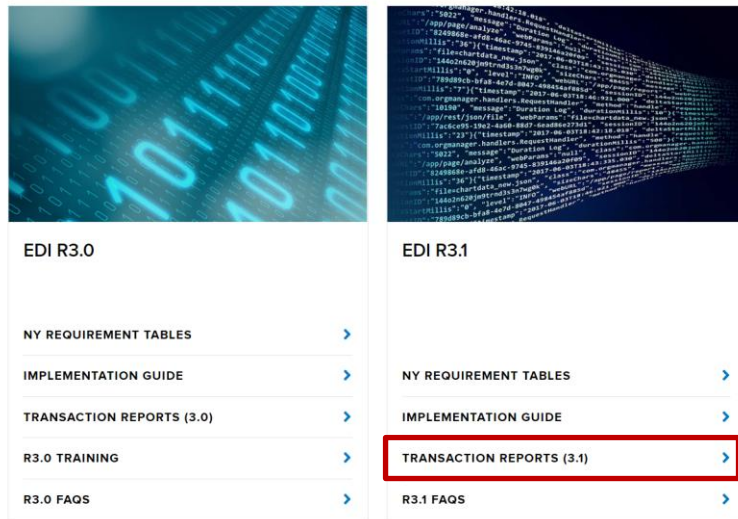
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R3.1 Transaction Reports

- Sample R3.1 Transaction Reports are now available on the eClaims website.
- These reports must be mailed to the injured worker and the injured worker's representative, pursuant to 12 NYCRR §300.22, within one business day of electronic filing with the Board.
- The date such notice is actually mailed or otherwise transmitted shall be the date of transmittal, regardless of when received by the intended recipient.
- Section 110 of the Workers' Compensation Law provides that a copy of the employer's report of injury be provided upon request to the injured worker.

ECLAIMS

Release Versions



Reminder: Upcoming R3.1 Testing

- The Board will conduct Claims EDI R3.1 testing with trading partners from October 12, 2021, to January 7, 2022.
- It is recommended that trading partners become familiar with the IAIABC Claims EDI R3.1 standard.
- It is also highly recommended that trading partners attend at least one training held by the IAIABC. Information about these trainings can be found on the [IAIABC EDI training site](#).
- Trading partners are encouraged to begin testing as soon as possible after the October 12th start date.

EDI R3.1 eClaims Implementation Guide

3 eClaims Data Preparation and Testing

This section discusses the eClaims technical requirements for submitting data via EDI and the test plan that all trading partners must complete to be approved to submit data electronically.

3.1 eClaims Implementation Plan and Training

The Board will conduct R3.1 testing with trading partners from October 12, 2021, to January 7, 2022.

It is recommended that trading partners become familiar with the IAIABC Claims EDI R3.1 standard. It is also highly recommended that the trading partner attend at least one training held by the IAIABC. Information about these trainings can be found on the [IAIABC EDI training site](#).

3.1.1 eClaims Contact Information and Resources

Questions about eClaims:

Email: eClaims31@wcb.ny.gov

IAIABC Resources:

IAIABC EDI Release 3.1 Implementation Guide: Key technical resource for Claims EDI implementations. See [Claims Release 3.1.4 Implementation Guide and Supporting Documents](#) on the IAIABC website (www.iaiaabc.org).

Note: There is a fee for the **IAIABC EDI Release 3.1 Implementation Guide** for those who are not IAIABC members. The **IAIABC EDI Release 3.1 Implementation Guide** cannot be distributed by the Board or other jurisdictions. It must be obtained from the IAIABC.

3.1.2 Data Submission Options

Claim administrators have two options for the electronic submission of claim information to the Board:

- Flat file via a secure file transfer protocol (sFTP)
- eClaims web application

Claim administrators can use one or both options. Flat files can be submitted directly to the Board or through a vendor. Acknowledgement processing for flat file submissions will be completed daily.

The eClaims web application is primarily for low-volume filers, but it is not limited solely to that group. Acknowledgement processing for submissions via the eClaims web application will be immediate.

3.2 Data Files Delivery Technical Requirements

Trading partners and vendors must contact the Board to be set up as a submitter for EDI transmission. If the trading partner or vendor does not already have an sFTP user account with the Board, then they must contact the Board for instructions to get a new user account for upload and download access.

All submitters must successfully complete the test process using test data files before they can upload production data files to the sFTP server.

Test Plan for Flat Filers

- Section 3.7 of the Implementation Guide includes updated test plan information for flat filers.
- Required tests include:
 - **Test A:** Single Data File (FROIs only)
 - **Test B:** Two Data Files (FROIs and SROIs)
 - **Test C:** Single Data File (SROIs only)
 - **Test D:** One or Two Data Files (FROIs and/or SROIs as needed)
- During the testing period, the submitter uploads the test data file as specified to the Board's sFTP server.
- Once the file is uploaded to the sFTP server, the submitter must notify the Board Testing Coordinator (eClaims-Support@wcb.ny.gov) that the file has been uploaded.

EDl R3.1 eClaims Implementation Guide

3.6.4 Forms Not Included in Forms Zip File

The "paper forms" column in the NY FROI Event Table in the *NYS R3.1 Event Table* refers to two legacy paper forms that are not EDI forms. The *Statement of Rights (Form C-430S)* and the *Diagnostic Testing Network (Form DT-1)* will never be included in the Forms Zip file as they contain little or no EDI data. Claim administrators should continue to use existing procedures for obtaining/completing/submitting these forms to the parties. These forms can be found on the [Forms section](#) of the Board's website.

3.7 Test Plan for Flat Filers

The testing process includes a test plan made up of three mandatory tests and a fourth test that the submitter completes, as needed. All submitters must complete all mandatory tests described in this section to be approved to submit production data files. Completion of these tests ensures that the submitter has established connectivity with the Board and can handle all types of MTCs. Vendors do not have to repeat the test plan for every customer. Once a vendor is in production, there is no need to repeat the test plan when implementing additional clients for New York. The exception is if the customer is a pass-thru. Then they would need to test. Each test is listed below:

1. **Test A:** Single Data File (FROIs only)
2. **Test B:** Two Data Files (FROIs and SROIs)
3. **Test C:** Single Data File (SROIs only)
4. **Test D:** One or Two Data Files (FROIs and/or SROIs) (As Needed)

During the test period, the submitter uploads the test data file as specified in Test A to the Board's sFTP server. Once the file is uploaded to the sFTP server, the submitter must notify the Board Testing Coordinator (eClaims-Support@wcb.ny.gov) that the file has been uploaded.

In response to the submitter's email notification, the Board will process the test file and generate an Acknowledgement file on the sFTP server for the submitter to download. An acknowledgement to a test data file contains a 'T' in the Test/Production Indicator in the Header Record. After the Board returns the results of each test, the submitter should submit their next test file within three business days.

The eClaims Inquiry application, an online application which gives trading partners, vendors, insurers and TPAs the ability to view accepted and rejected claims transactions with the Board, can be used for test transactions. Users will be able to view accepted/rejected transactions using the FROI/SROI transaction search, as well as Error Reports, as described on the [Inquiry Overview page](#) in the eClaims section of the Board's website.

3.7.1 Basic Tests

For all tests A through D, basic tests will be performed on the test data file to ensure that the file meets connectivity and file structure requirements. If a test data file is rejected during any test, the submitter is required to correct the test data file and resend it.

All test data files must consist of the following:

- Header Record (with the Test/Production indicator, DN 104, set to 'T')
- Trailer Record

16

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Test Plan for Flat Filers

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EDI R3.1 eClaims Implementation Guide

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The testing process includes a test plan made up of three mandatory tests and a fourth test that the submitter completes, as needed. All submitters must complete all mandatory tests described in this section to be approved to submit production data files. Completion of these tests ensures that the submitter has established connectivity with the Board and can handle all types of MTCs. Vendors do not have to repeat the test plan for every customer. Once a vendor is in production, there is no need to repeat the test plan when implementing additional clients for New York. The exception is if the customer is a pass-thru. Then they would need to test. Each test is listed below:

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4. **Test D:** One or Two Data Files (FROIs and/or SROIs) (As Needed)

During the test period, the submitter uploads the test data file as specified in Test A to the Board's sFTP server. Once the file is uploaded to the sFTP server, the submitter must notify the Board Testing Coordinator (eClaims-Support@wcb.ny.gov) that the file has been uploaded.

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All test data files must consist of the following:

- Header Record (with the Test/Production indicator, DN 104, set to 'T')
- Trailer Record

16

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Web Data Entry Testing

■ Existing Claims EDI R3.0 Web Data Entry Trading Partners:

- Organizations that have already been approved to submit through the eClaims Web Data Entry application in Claims EDI R3.0 will be provided the opportunity to access a separate Claims EDI R3.1 test environment.
- This will allow users to gain experience and note any changes to the Web Data Entry application for Claims EDI R3.1.

EDI R3.1 eClaims Implementation Guide

3.11 Use of Vendors

As part of the Board's eClaims migration, it will authorize experienced Claims EDI R3.1 vendors to submit claims data to the Board following satisfactory completion of testing with the Board.

The list below includes known vendors offering a Claims EDI R3.1 related product and/or service for submitting claims data electronically. This listing is in alphabetical order and does not represent an endorsement by the Board of any vendor listed, or a recommendation of one vendor over another. The Board does not warrant or represent that this information is current, complete, or accurate. The Board assumes no responsibility for any errors in the information provided, nor assumes any liability for any damages incurred as a consequence, directly or indirectly, of the use and application of this information, and shall be held harmless against all claims, suits, judgments and/or damages resulting from the disclosure of any of this information, including all costs and fees.

NOTE: If you are a vendor with Claims EDI R3.1 experience and would like to have your company added or removed, please contact eClaims31@wcb.ny.gov.

- Actec Systems, Inc. (www.actec.com)
- Aerie EDI Group (www.aerieedigroup.com)
- Ebix/Peak Performance Solutions, Inc. (www.ebix.com)
- HealthTech, Inc. (www.htedi.com)
- Insurance Services Office (www.iso.com)
- Mitchell Workers' Compensation Solutions (www.mitchell.com)
- Riskconnect (<http://www.Riskconnect.com>)

Additional information regarding the use of data vendors may be obtained from the [IAIABC](#).

3.12 eClaims Web Data Entry Testing and Instructions

The eClaims Web Data Entry application is primarily for low-volume filers, but it is not limited solely to that group.

Existing R3.0 Web Data Entry Trading Partners

Organizations that have already been approved to submit through Web Data Entry in Claims EDI R3.0 will be provided the opportunity to access a separate Claims EDI R3.1 test environment. This will allow users to gain experience and note any changes to the Web Data Entry application for Claims EDI R3.1. There is no formal testing requirement and use of the test environment is optional. All existing organizations will have production access to the Claims EDI R3.1 Web Data Entry application effective January 24, 2022, regardless of whether they utilize the R3.1 test environment.

New Trading Partners

New trading partners must participate in a formal testing process. The formal testing process includes a test plan made up of entering data into the eClaims Web Data Entry application. All submitters must complete all mandatory tests described in this section to be approved to submit production data files. Completion of these tests ensures that the submitter understands a minimum of five scenarios per FROI/SROI type and is capable of handling a representative sample of MTCs. Each new trading partner is expected to submit no more than 50 total transactions.

21

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Web Data Entry Demo



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Questions?



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GET INVOLVED/STAY INFORMED

Visit the eClaims webpage at **wcb.ny.gov** for eClaims news, engagement opportunities, and to register for email updates.

For **eClaims EDI R3.1** upgrade questions, email [**eClaims31@wcb.ny.gov**](mailto:eClaims31@wcb.ny.gov).

For **eClaims EDI R3.0** questions, email [**eClaims@wcb.ny.gov**](mailto:eClaims@wcb.ny.gov).

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Thank You



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