



**Workers'
Compensation
Board**



eClaims July Webinar

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**Workers'
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eClaims Recent News

July 2, 2021

- The June webinar slide deck is now available on the [eClaims Presentation page](#).
The agenda for June's webinar included:
 - eClaims Recent News
 - eClaims Topics

FROI/SROI 02 Reference Documents



**Workers'
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Board**

FROI/SROI-02 Reference Documents

- During the preparation of the New York State Claims EDI R3.1 Requirement Tables, and review of the *IAIABC EDI Release 3.1 Implementation Guide 2021*, it was determined that edits were necessary in order to protect data integrity.

- These edits and descriptions were added to the [eClaims change log](#), and published on March 8, 2021 to item #78-165.

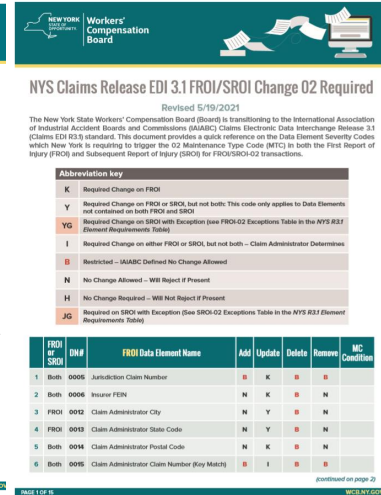
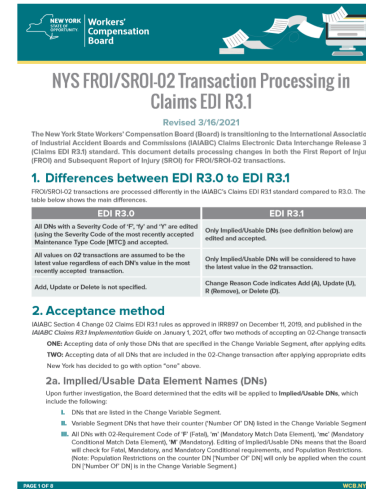
Item #	Document	Item	Description of Change	Publish Date	Implementation date
			3/8/2021		
78	FROI-SROI-02 Transaction Processing Document	All affected MTCs and Data Elements	Updated to provide further context as to how O2s will assess a given Data Elements Mandatory Conditions and Population Restrictions, as well as some notable exceptions.	3/8/2021	1/24/2022
	Event Table - SROI	SROI Tab - O2 Event	Returned an Event back from 3.0 that represents rescinding a Denial.		
79			When DND0197 (Denial Reason Narrative) or DND0198 (Full Denial Reason Code) is being removed and DND0196 (Denial Rescission Date) is being added, then a paper form to parties of interest is required.	3/8/2021	1/24/2022
			Business Need: Claim Administrators are expected to send a paper form to parties of interest when indicating that they are now accepting a previously denied claim.		
80	Element Requirements - FROI Element Requirements Element Requirements - FROI O2 Exception	DND017 (Insured Name) DND028 (Policy Number Identifier) DND029 (Policy Effective Date) DND039 (Initial Treatment Code)	Modified the FROI-O2 Reportable Change Code for "Remove" from "Y" to "U" to allow remove only on the FROI-O2 if no previous SROI was accepted.	3/8/2021	1/24/2022
			Additionally added a corresponding entry in the FROI O2 Exception tab.		
			Business Need: Once a SROI has been accepted, and the denial is no longer in effect, these fields now become mandatory and no longer can be removed. This change was to facilitate the O2 Change Transactions and protect data integrity.		
81	Element Requirements - FROI Element Requirements	DND033 (Accident Site Postal Code)	Modified the FROI-O2 Reportable Change Code for "Remove" from "Y" to "U".	3/8/2021	1/24/2022
			Business Need: Accident Site Postal Code should not be removable to be consistent with other Postal Code O2s.		
82	Element Requirements - FROI Element Requirements	DND036 (Denial Rescission Date)	Modified the FROI-O2 Reportable Change Code for "Add" and "Remove" from "Y" to "U".	3/8/2021	1/24/2022
			Business Need: If Date of Accident is prior to January 1, 2018 - you need to send Denial Rescission Date (DND036) on a SROI-O2 if you're not filing another SROI event with a benefit segment that shows acceptance of the case.		
83	Element Requirements - FROI Element Requirements Edit Matrix - DN Error Messages	DND041 (Date Claim Administrator Knew Claim Met Reporting Requirements)	Add New Data Element for IRB CLM 910. Element Requirements: IAIABC Change Log ERT 206. Not Applicable for any FROI MTC. Added to flat file record layout positions 1487-1494 in the FROI-R21.	3/8/2021	1/24/2022
			Edit Matrix - IAIABC Change Log EM 132 Added to DN Error Message table, applied no edits.		
84	Element Requirements - FROI Element Requirements Element Requirements - SROI Element Requirements	DND204 (Work Week Type Code) DND205 (Work Days Scheduled Code)	Modified the FROI-O2 and SROI-O2 Reportable Change Codes from "Y" to "U".	3/8/2021	1/24/2022
			Business Need: These O2s can only be modified on a FROI-O2. This ensures that the FROI mandatory conditions are applied since they are 'AI' on SROI MTCs. This change was to facilitate the O2 Change Transactions and protect data integrity.		
85	Element Requirements - FROI Conditional Requirements	DND016 (Employer FEIN) DND017 (Insured Name) DND025 (Industry Code) DND028 (Policy Number Identifier) DND029 (Policy Effective Date) DND039 (Initial Treatment Code) DND051 (Employee Date of Birth) DND058 (Employment Status Code) DND068 (Full Wages Paid for Date of Injury Indicator) DND081 (Date Employer Had Knowledge of Date of Disability) DND014 (Insured FEIN)	Created new Mandatory Conditions detailing when certain fields are mandatory on FROI-O2s unless Denial is still in effect. Business Need: This change was to facilitate the O2 Change Transactions and protect data integrity.	3/8/2021	1/24/2022

FROI/SROI-02 Reference Documents

- Two reference documents have been published to assist trading partners understand the New York State Workers' Compensation Board approach for processing 02-Change transactions.

- These documents are:

- [NYS FROI/SROI-02 Transaction Processing in Claims EDI R3.1](#)
- [NYS Claims EDI R3.1 FROI/SROI Change 02 Required](#)



FROI/SROI-02 Reference Documents

- NYS FROI/SROI-02 Transaction Processing in Claims EDI R3.1
- Published on March 16, 2021
- This document is meant to be a reference guide on how to process a FROI/SROI-02



NYS FROI/SROI-02 Transaction Processing in Claims EDI R3.1

Revised 3/16/2021

The New York State Workers' Compensation Board (Board) is transitioning to the International Association of Industrial Accident Boards and Commissions (IAIABC) Claims Electronic Data Interchange Release 3.1 (Claims EDI R3.1) standard. This document details processing changes in both the First Report of Injury (FROI) and Subsequent Report of Injury (SROI) for FROI/SROI-02 transactions.

1. Differences between EDI R3.0 to EDI R3.1

FROI/SROI-02 transactions are processed differently in the IAIABC's Claims EDI R3.1 standard compared to R3.0. The table below shows the main differences.

EDI R3.0	EDI R3.1
All DN's with a Severity Code of 'F', 'fy' and 'Y' are edited (using the Severity Code of the most recently accepted Maintenance Type Code [MTC]) and accepted.	Only Implied/Usable DN's (see definition below) are edited and accepted.
All values on 02 transactions are assumed to be the latest value regardless of each DN's value in the most recently accepted transaction.	Only Implied/Usable DN's will be considered to have the latest value in the 02 transaction.
Add, Update or Delete is not specified.	Change Reason Code indicates Add (A), Update (U), R (Remove), or Delete (D).

2. Acceptance method

IAIABC Section 4 Change 02 Claims EDI R3.1 rules as approved in IRR897 on December 11, 2019, and published in the IAIABC Claims R3.1 Implementation Guide on January 1, 2021, offer two methods of accepting an 02-Change transaction.

ONE: Accepting data of only those DN's that are specified in the Change Variable Segment, after applying edits.

TWO: Accepting data of all DN's that are included in the 02-Change transaction after applying appropriate edits.

New York has decided to go with option "one" above.

2a. Implied/Usable Data Element Names (DNs)

Upon further investigation, the Board determined that the edits will be applied to **Implied/Usable DN's**, which include the following:

- DN's that are listed in the Change Variable Segment.
- Variable Segment DN's that have their counter (Number Of DN) listed in the Change Variable Segment.
- All DN's with 02-Requirement Code of 'F' (Fatal), 'm' (Mandatory Match Data Element), 'mc' (Mandatory Conditional Match Data Element), 'M' (Mandatory). Editing of Implied/Usable DN's means that the Board will check for Fatal, Mandatory, and Mandatory Conditional requirements, and Population Restrictions. (Note: Population Restrictions on the counter DN [Number Of DN] will only be applied when the counter DN [Number Of DN] is in the Change Variable Segment.)

FROI/SROI-02 Reference Documents

- NYS Claims EDI R3.1 FROI/SROI Change 02 Required
- Initially published on March 19, 2021, revised on May 19, 2021
- This handout is meant to be a quick reference guide for Reportable Change Codes for the 02-Change
- This is a condensed version of the Element Requirement table
- All Data Elements that require a 02-Change to be triggered are listed in this document along with a summary of the Reportable Change Codes being used by New York State



NYS Claims Release EDI 3.1 FROI/SROI Change 02 Required

Revised 5/19/2021

The New York State Workers' Compensation Board (Board) is transitioning to the International Association of Industrial Accident Boards and Commissions (IAIABC) Claims Electronic Data Interchange Release 3.1 (Claims EDI R3.1) standard. This document provides a quick reference on the Data Element Severity Codes which New York is requiring to trigger the 02 Maintenance Type Code (MTC) in both the First Report of Injury (FROI) and Subsequent Report of Injury (SROI) for FROI/SROI-02 transactions.

Abbreviation key

K	Required Change on FROI
Y	Required Change on FROI or SROI, but not both: This code only applies to Data Elements not contained on both FROI and SROI
YG	Required Change on SROI with Exception (see FROI-02 Exceptions Table in the <i>NYS R3.1 Element Requirements Table</i>)
I	Required Change on either FROI or SROI, but not both – Claim Administrator Determines
B	Restricted – IAIABC Defined No Change Allowed
N	No Change Allowed – Will Reject if Present
H	No Change Required – Will Not Reject if Present
JG	Required on SROI with Exception (See SROI-02 Exceptions Table in the <i>NYS R3.1 Element Requirements Table</i>)

	FROI or SROI	DN #	FROI Data Element Name	Add	Update	Delete	Remove	MC Condition
1	Both	0005	Jurisdiction Claim Number	B	K	B	B	
2	Both	0006	Insurer FEIN	N	K	B	N	
3	FROI	0012	Claim Administrator City	N	Y	B	N	
4	FROI	0013	Claim Administrator State Code	N	Y	B	N	
5	Both	0014	Claim Administrator Postal Code	N	K	B	N	
6	Both	0015	Claim Administrator Claim Number (Key Match)	B	I	B	B	

(continued on page 2)

NYS FROI/SROI-02 Transaction Processing in Claims EDI R3.1



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Differences between EDI R3.0 to EDI R3.1

- The first section of the NYS FROI/SROI-02 Transaction Processing in Claims EDI R3.1 document explains Claims EDI R3.0 to Claims EDI R3.1 differences.
- New York State will only edit Implied/Usable DNs.
- Only DNs sent in the Change Variable Segment will be considered accepted data, any other data sent will be ignored.
- Only Implied/Usable DNs (defined in Section 2 of the document) are edited and accepted.



NYS FROI/SROI-02 Transaction Processing in Claims EDI R3.1

Revised 3/16/2021

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1. Differences between EDI R3.0 to EDI R3.1

FROI/SROI-02 transactions are processed differently in the IAIABC's Claims EDI R3.1 standard compared to R3.0. The table below shows the main differences.

EDI R3.0	EDI R3.1
All DNs with a Severity Code of 'F', 'fy' and 'Y' are edited (using the Severity Code of the most recently accepted Maintenance Type Code [MTC]) and accepted.	Only Implied/Usable DNs (see definition below) are edited and accepted.
All values on O2 transactions are assumed to be the latest value regardless of each DN's value in the most recently accepted transaction.	Only Implied/Usable DNs will be considered to have the latest value in the O2 transaction.
Add, Update or Delete is not specified.	Change Reason Code indicates Add (A), Update (U), R (Remove), or Delete (D).

2. Acceptance method

IAIABC Section 4 Change O2 Claims EDI R3.1 rules as approved in IRR897 on December 11, 2019, and published in the IAIABC Claims R3.1 Implementation Guide on January 1, 2021, offer two methods of accepting an O2-Change transaction.

ONE: Accepting data of only those DNs that are specified in the Change Variable Segment, after applying edits.

TWO: Accepting data of all DNs that are included in the O2-Change transaction after applying appropriate edits. New York has decided to go with option "one" above.

2a. Implied/Usable Data Element Names (DNs)

Upon further investigation, the Board determined that the edits will be applied to **Implied/Usable DNs**, which include the following:

- I. DNs that are listed in the Change Variable Segment.
- II. Variable Segment DNs that have their counter (Number Of DN) listed in the Change Variable Segment.
- III. All DNs with O2-Requirement Code of 'F' (Fatal), 'm' (Mandatory Match Data Element), 'mc' (Mandatory Conditional Match Data Element), 'M' (Mandatory). Editing of Implied/Usable DNs means that the Board will check for Fatal, Mandatory, and Mandatory Conditional requirements, and Population Restrictions. (Note: Population Restrictions on the counter DN [Number Of DN] will only be applied when the counter DN [Number Of DN] is in the Change Variable Segment.)

Differences between EDI R3.0 to EDI R3.1

- Only Implied/Usable DNs will be considered to have the latest value in the 02-Change transaction with the previously accepted data still being displayed.
- This will be displayed in eCase on the FROI/SROI tab – Latest Value screen and on pdfs on the Change Data Elements Section (see below screen shot) in the case folder.

CHANGE DATA ELEMENTS	
Change Data Element/Segment Number	Change Reason Code
0074 - Claim Type Code	U - Update



NYS FROI/SROI-02 Transaction Processing in Claims EDI R3.1

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Differences between EDI R3.0 to EDI R3.1

- DN0413 (Change Reason Code) indicates Add (A), Update (U), R (Remove), or Delete (D).



NYS FROI/SROI-02 Transaction Processing in Claims EDI R3.1

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Acceptance method

- The second section of the reference document explains the acceptance method that is being adopted by New York State based on the options that the IAABC Section 4 Change 02 processing rules.
- New York State has decided to go with option one:
 - Accepting data of only those DN's that are specified in the Change Variable Segment, after applying edits.



NYS FROI/SROI-02 Transaction Processing in Claims EDI R3.1

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Acceptance method

- Edits will be applied to **Implied/Usable DNs**, which include the following:
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- Edits will be applied to **Implied/Usable DNs**, which include the following:
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 - 'm' (Mandatory Match Data Element)
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 - 'M' (Mandatory)



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 - Editing of Implied/Usable DNs means that the Board will check for Fatal, Mandatory, and Mandatory Conditional requirements, and Population Restrictions.
 - Note: Population Restrictions on the counter DN ['Number Of' DN] will only be applied when the counter DN ['Number Of' DN] is in the Change Variable Segment.
 - This is applied to all Match Data Elements.



NYS FROI/SROI-02 Transaction Processing in Claims EDI R3.1

Revised 3/16/2021

The New York State Workers' Compensation Board (Board) is transitioning to the International Association of Industrial Accident Boards and Commissions (IAIABC) Claims Electronic Data Interchange Release 3.1 (Claims EDI R3.1) standard. This document details processing changes in both the First Report of Injury (FROI) and Subsequent Report of Injury (SROI) for FROI/SROI-02 transactions.

1. Differences between EDI R3.0 to EDI R3.1

FROI/SROI-02 transactions are processed differently in the IAIABC's Claims EDI R3.1 standard compared to R3.0. The table below shows the main differences.

EDI R3.0	EDI R3.1
All DNs with a Severity Code of 'F', 'fy' and 'Y' are edited (using the Severity Code of the most recently accepted Maintenance Type Code [MTC]) and accepted.	Only Implied/Usable DNs (see definition below) are edited and accepted.
All values on 02 transactions are assumed to be the latest value regardless of each DN's value in the most recently accepted transaction.	Only Implied/Usable DNs will be considered to have the latest value in the 02 transaction.
Add, Update or Delete is not specified.	Change Reason Code indicates Add (A), Update (U), R (Remove), or Delete (D).

2. Acceptance method

IAIABC Section 4 Change 02 Claims EDI R3.1 rules as approved in IRR897 on December 11, 2019, and published in the IAIABC Claims R3.1 Implementation Guide on January 1, 2021, offer two methods of accepting an 02-Change transaction.

ONE: Accepting data of only those DNs that are specified in the Change Variable Segment, after applying edits.

TWO: Accepting data of all DNs that are included in the 02-Change transaction after applying appropriate edits.

New York has decided to go with option "one" above.

2a. Implied/Usable Data Element Names (DNs)

Upon further investigation, the Board determined that the edits will be applied to **Implied/Usable DNs**, which include the following:

- DNs that are listed in the Change Variable Segment.
- Variable Segment DNs that have their counter (Number Of' DN) listed in the Change Variable Segment.
- All DNs with 02-Requirement Code of 'F' (Fatal), 'm' (Mandatory Match Data Element), 'mc' (Mandatory Conditional Match Data Element), 'M' (Mandatory). Editing of Implied/Usable DNs means that the Board will check for Fatal, Mandatory, and Mandatory Conditional requirements, and Population Restrictions. (Note: Population Restrictions on the counter DN [Number Of' DN] will only be applied when the counter DN [Number Of' DN] is in the Change Variable Segment.)

Acceptance method

- 02-Change transactions will be accepted if the following criteria are met:
 1. Implied/Usable DNs must pass the edit specified in the Section 3 “Applying edits on an ‘02-Change’ transaction” section.
 2. Transaction contains a valid/allowed DN0413 (Change Reason Code) for each DN specified in DN0412 (Change Data Element).
 - Example: When a Variable Segment counter DN is received with a Change Reason Code of ‘R’, or a non-Variable Segment DN is received with a Change Reason Code of ‘D’, the 02-Change transaction will be rejected with error 123 (Invalid Change Reason Code Relationship) as listed on the Edit Matrix- DN Error Message Table.

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2b. Implementing Implied/Usable DNs

New York will implement method one, (listed under *Acceptance method*, page 1) for accepting FROI/SROI-02 transactions. 02-Change transactions will be accepted if the following criteria are met:

- I. Implied/Usable DNs pass the edit specified in the “Applying edits on an ‘02-Change’ transaction” section below.
- II. Transaction contains a valid/allowed DN0413 (Change Reason Code) for each DN specified in DN0412 (Change Data Element).

EXAMPLE: When a Variable Segment counter DN is received with a Change Reason Code of ‘R’, or a non-Variable Segment DN is received with a Change Reason Code of ‘D’, the 02-Change transaction will be rejected with error 123 (Invalid Change Reason Code Relationship).

- III. Data Element Requirements will be applied to Implied/Usable DNs only, using the following steps to determine if the appropriate MTC was sent by the Claim Administrator in the past:

A. NON-VARIABLE SEGMENT DNS:

1. The Reportable Change Code will be used, ignoring ‘NA’ and ‘X’ in the 02 Requirement, as it is done for other MTCs. In other words, if the 02 Requirement says ‘NA’ but the Reportable Change Code is ‘B’ or ‘N’ for Change Reason Code (A/U/D/R), then the Board will reject the transaction.
2. The Current Severity Code of the MTC is based upon:
 - a. The most recently accepted MTC other than 02 and ‘RE’ that does not have a Severity Code of ‘X’ or ‘NA’ for that Implied/Usable DN (FROI/SROI) depending upon which 02 was received) based upon the Severity Code at the time that transaction was accepted.
 - b. If no MTCs are found, then use the most recently accepted MTC other than 02 and ‘RE’ that does not have a Severity Code of ‘X’ or ‘NA’ for that DN (FROI/SROI) depending upon which 02 was received) based upon the current Severity Code (in other words, as if that transaction was processed right now). If the previously accepted transaction is one of the now-obsolete Suspension MTCs (e.g., S1, S3), then the system will check the current Severity Codes for the ‘SX’ MTC.
 - c. If none are found, then reject the transaction except when an 02 is received that changes any of the following DNS:
 - DN0196 (Dental Reversion Date)
 - DN0075 (Agreement to Compensate Code)

EXAMPLE: An update is allowed on DN0193 (Suspension Effective Date) with ‘U’ (Update) on an 02 but it is only valid on the ‘SX’ and ‘UR’ MTCs (Upon Request) in R3.1. There is a possibility of the Board receiving an 02 with DN0193 (Suspension Effective Date) without receiving an ‘SX/UR’. If our search did not find any MTC that was valid for this DN, then the Board will reject the transaction.
3. The Mandatory Condition for 02s will be applied to DNs whose value is being removed with the Change Reason Code ‘R’ and only if a non-02 MTC (FROI/SROI) depending upon which 02 was received) has ever been accepted that currently has a DER code of ‘MC’ or ‘M’. The DER code will be based on the current DER code of ‘MC’ or ‘M’ as if that MTC was processed right now.

Scenarios considered for going with current DER code decision:

- a. Some DER codes were changed from ‘A’ to ‘M’ in R3.0 and will remain that way in R3.1 (for example, DN0075 [Agreement to Compensate Code] on SROI-AP/EP).
- b. Some DER codes will be changing from ‘A’ to ‘MC’ for R3.1 (for example, DN0444 [Current Date Disability Began] on SROI-ERR/RB).
- c. Some DER codes will be changing from ‘M’ to ‘AR’ for R3.1 (for example, DN0041 [Date Claim Administrator Had Knowledge of Injury] on FROI-AQ).
- d. Some DER codes will be changing from ‘MC’ to ‘AR’ for R3.1 (for example, DN0281 [Initial Date Employer Had Knowledge of Date of Disability] on SROI-AP).

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Acceptance method

- 02-Change transactions will be accepted if the following criteria are met:

3. Data Element Requirements will be applied to Implied/Usable DNs only, using steps to determine if the appropriate MTC was sent by the Claim Administrator in the past based on whether they are **NON-VARIABLE SEGMENT DNS** or **VARIABLE SEGMENT DNS**.

NYS FROI/SROI-02 transaction processing

2b. Implementing Implied/Usable DNs

New York will implement method one, (listed under *Acceptance method*, page 1) for accepting FROI/SROI-02 transactions. 02-Change transactions will be accepted if the following criteria are met:

- I. Implied/Usable DNs pass the edit specified in the "Applying edits on an '02-Change' transaction" section below.
- II. Transaction contains a valid/allowed DN0413 (Change Reason Code) for each DN specified in DN0412 (Change Data Element).
EXAMPLE: When a Variable Segment counter DN is received with a Change Reason Code of 'R', or a non-Variable Segment DN is received with a Change Reason Code of 'D', the 02-Change transaction will be rejected with error I23 (Invalid Change Reason Code Relationship).

- III. Data Element Requirements will be applied to Implied/Usable DNs only, using the following steps to determine if the appropriate MTC was sent by the Claim Administrator in the past:

A. NON-VARIABLE SEGMENT DNS:

1. The Reportable Change Code will be used, ignoring 'NA' and 'X' in the 02 Requirement, as it is done for other MTCs. In other words, if the 02 Requirement says 'NA' but the Reportable Change Code is 'B' or 'N' for Change Reason Code (A/U/D/R), then the Board will reject the transaction.
2. The Current Severity Code of the MTC is based upon:
 - a. The most recently accepted MTC other than 02 and 'RE' that does not have a Severity Code of 'X' or 'NA' for that Implied/Usable DN (FROI/SROI) depending upon which 02 was received) based upon the Severity Code at the time that transaction was accepted.
 - b. If no MTCs are found, then use the most recently accepted MTC other than 02 and 'RE' that does not have a Severity Code of 'X' or 'NA' for that DN (FROI/SROI) depending upon which 02 was received) based upon the current Severity Code (in other words, as if that transaction was processed right now). If the previously accepted transaction is one of the now-obsolete Suspension MTCs (e.g., S1, S3), then the system will check the current Severity Codes for the 'SX' MTC.
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3. The Mandatory Condition for 02s will be applied to DNs whose value is being removed with the Change Reason Code 'R' and only if a non-02 MTC (FROI/SROI) depending upon which 02 was received) has ever been accepted that currently has a DER code of 'MC' or 'M'. The DER code will be based on the current DER code of 'MC' or 'M' as if that MTC was processed right now.

Scenarios considered for going with current DER code decision:

- a. Some DER codes were changed from 'A' to 'M' in R3.0 and will remain that way in R3.1 (for example, DN0075 [Agreement to Compensate Code] on SROI-AR/EP).
- b. Some DER codes will be changing from 'A' to 'MC' for R3.1 (for example, DN0144 [Current Date Disability Began] on SROI-ER/RB).
- c. Some DER codes will be changing from 'M' to 'AR' for R3.1 (for example, DN0041 [Date Claim Administrator Had Knowledge of Injury] on FROI-AQ).
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Non-Variable Segment DNs

- The Reportable Change Code will be used, ignoring 'NA' and 'X' in the 02 Requirement, as it is done for other MTCs.
- If the 02 Requirement says 'NA' but the Reportable Change Code is 'B' or 'N' for Change Reason Code (A/U/D/R), then the Board will reject the transaction.

NYS FROI/SROI-02 transaction processing

2b. Implementing Implied/Usable DNs

New York will implement method one, (listed under *Acceptance method*, page 1) for accepting FROI/SROI-02 transactions. 02-Change transactions will be accepted if the following criteria are met:

- Implied/Usable DNs pass the edit specified in the "Applying edits on an '02-Change' transaction" section below.
- Transaction contains a valid/allowed DN0413 (Change Reason Code) for each DN specified in DN0412 (Change Data Element).
EXAMPLE: When a Variable Segment counter DN is received with a Change Reason Code of 'R', or a non-Variable Segment DN is received with a Change Reason Code of 'D', the 02-Change transaction will be rejected with error I23 (Invalid Change Reason Code Relationships).
- Data Element Requirements will be applied to Implied/Usable DNs only, using the following steps to determine if the appropriate MTC was sent by the Claim Administrator in the past:

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- The Current Severity Code of the MTC is based upon:
 - The most recently accepted MTC other than 02 and 'RE' that does not have a Severity Code of 'X' or 'NA' for that Implied/Usable DN (FROI/SROI) depending upon which 02 was received) based upon the Severity Code at the time that transaction was accepted.
 - If no MTCs are found, then use the most recently accepted MTC other than 02 and 'RE' that does not have a Severity Code of 'X' or 'NA' for that DN (FROI/SROI) depending upon which 02 was received) based upon the current Severity Code (in other words, as if that transaction was processed right now). If the previously accepted transaction is one of the now-obsolete Suspension MTCs (e.g., S1, S3), then the system will check the current Severity Codes for the 'SX' MTC.
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EXAMPLE: An update is allowed on DN0193 (Suspension Effective Date) with 'U' (Update) on an 02 but it is only valid on the 'SX' and 'UR' MTCs (Upon Request) in R3.1. There is a possibility of the Board receiving an 02 with DN0193 (Suspension Effective Date) without receiving an 'SX/UR', if our search did not find any MTC that was valid for this DN, then the Board will reject the transaction.
- The Mandatory Condition for 02s will be applied to DNs whose value is being removed with the Change Reason Code 'R' and only if a non-02 MTC (FROI/SROI) depending upon which 02 was received) has ever been accepted that currently has a DER code of 'MC' or 'M'. The DER code will be based on the current DER code of 'MC' or 'M' as if that MTC was processed right now.
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 - Some DER codes were changed from 'A' to 'M' in R3.0 and will remain that way in R3.1 (for example, DN0075 [Agreement to Compensate Code] on SROI-AP/EP).
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Non-Variable Segment DNs

- The current Severity Code of the MTC is based upon:
 - The most recently accepted MTC other than 02 and 'RE' that does not have a Severity Code of 'X' or 'NA' for that Implied/Usable DN (FROI/SROI depending upon which 02 was received) based upon the Severity Code at the time that transaction was accepted.

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Non-Variable Segment DNs

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- I. Implied/Usable DNs pass the edit specified in the "Applying edits on an '02-Change' transaction" section below.
- II. Transaction contains a valid/allowed DN0413 (Change Reason Code) for each DN specified in DN0412 (Change Data Element).
EXAMPLE: When a Variable Segment counter DN is received with a Change Reason Code of 'R', or a non-Variable Segment DN is received with a Change Reason Code of 'D', the 02-Change transaction will be rejected with error I23 (Invalid Change Reason Code Relationships).
- III. Data Element Requirements will be applied to Implied/Usable DNs only, using the following steps to determine if the appropriate MTC was sent by the Claim Administrator in the past:

A. NON-VARIABLE SEGMENT DNS:

1. The Reportable Change Code will be used, ignoring 'NA' and 'X' in the 02 Requirement, as it is done for other MTCs. In other words, if the 02 Requirement says 'NA' but the Reportable Change Code is 'B' or 'N' for Change Reason Code (A/U/D/R), then the Board will reject the transaction.
2. The Current Severity Code of the MTC is based upon:
 - a. The most recently accepted MTC other than 02 and 'RE' that does not have a Severity Code of 'X' or 'NA' for that Implied/Usable DN (FROI/SROI) depending upon which 02 was received) based upon the Severity Code at the time that transaction was accepted.
 - b. If no MTCs are found, then use the most recently accepted MTC other than 02 and 'RE' that does not have a Severity Code of 'X' or 'NA' for that DN (FROI/SROI) depending upon which 02 was received) based upon the current Severity Code (in other words, as if that transaction was processed right now), if the previously accepted transaction is one of the now-obsolete Suspension MTCs (e.g., S1, S3), then the system will check the current Severity Codes for the 'SX' MTC.
 - c. If none are found, then reject the transaction except when an 02 is received that changes any of the following DNS:
 - DN0196 (Dental Reversion Date)
 - DN0075 (Agreement to Compensate Code)*EXAMPLE: An update is allowed on DN0193 (Suspension Effective Date) with 'U' (Update) on an 02 but it is only valid on the 'SX' and 'UR' MTCs (Upon Request) in R3.1. There is a possibility of the Board receiving an 02 with DN0193 (Suspension Effective Date) without receiving an 'SX/UR'. If our search did not find any MTC that was valid for this DN, then the Board will reject the transaction.*

3. The Mandatory Condition for 02s will be applied to DNs whose value is being removed with the Change Reason Code 'R' and only if a non-02 MTC (FROI/SROI) depending upon which 02 was received) has ever been accepted that currently has a DER code of 'MC' or 'M'. The DER code will be based on the current DER code of 'MC' or 'M' as if that MTC was processed right now.

Scenarios considered for going with current DER code decision:

- a. Some DER codes were changed from 'A' to 'M' in R3.0 and will remain that way in R3.1 (for example, DN0075 [Agreement to Compensate Code] on SROI-AP/EP).
- b. Some DER codes will be changing from 'A' to 'MC' for R3.1 (for example, DN0144 [Current Date Disability Began] on SROI-ER/RB).
- c. Some DER codes will be changing from 'M' to 'AR' for R3.1 (for example, DN0041 [Date Claim Administrator Had Knowledge of Injury] on FROI-AQ).
- d. Some DER codes will be changing from 'MC' to 'AR' for R3.1 (for example, DN0281 [Initial Date Employer Had Knowledge of Date of Disability] on SROI-AP).

(continued on page 3)

Variable Segment DNs

- The Severity Code will be determined using the same steps specified in the non-Variable Segment section except that when determining the most recently accepted MTC.
- All DNs within the Variable Segments are evaluated but we will consider it having a match if any of those DNs have a Severity Code other than 'NA' or 'X'.

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- e. Some DER codes will be changing from 'MC' to 'NA' for R3.1 (for example, DN0124 [Actual Reduced Earnings] on SROI-UR).
 - f. Some DER codes will be changing from 'MC' to 'X' for R3.1 (for example, DN0066 [Full Wages Paid for Date of Injury Indicator] on SROI-CD).
 - g. Some DER codes will be changing from 'X' to 'MC' for R3.1 (for example, DN0124 [Actual Reduced Earnings] on SROI-CA).
- EXAMPLE: DN0281 [Initial Date Employer Had Knowledge of Date of Disability] has a DER code of 'AR' for FROI-02 and SROI-ACAP, 'NA' for FROI-01 and SROI-SA-SU, and 'MC' for all other MTCs. If FROI-02 or SROI-02 was received any time after accepting a FROI or a SROI with a DER code of 'MC' (besides 02), then a Mandatory Condition on DN0281 will be applied. Otherwise, a Mandatory Condition on DN0281 will not be applied.

B. VARIABLE SEGMENT DNs:

1. The Severity Code will be determined using the same steps specified in the non-Variable Segment section above, except that when determining the most recently accepted MTC (see page 2, Non-Variable Segment DNs, 1 & 2). All DNs within the Variable Segments are evaluated but we will consider it having a match if any of those DNs have a Severity Code other than 'NA' or 'X'.
2. If the MTC is found in the above search, then the Board will apply the current Severity Code for all DNs from that MTC, including 'NA' and 'X'.

EXAMPLE: A SROI-02 was received as the first R3.1 transaction with DN0424 (Number of Dependent/Payee Relationship) and the most recently accepted MTC in our search was SROI-CA, which was received in R3.0 and has a current Severity Code of 'AR' for DN0097 (Dependent/Payee Relationship Code). Since all the other DNs did not exist in R3.0, the current Severity Code of 'CA' MTC will be applied to all new DNs (e.g., DN0428 [Dependent Gender Code] has 'NA' so it will be ignored).

Example of Variable Segment DNs

On FROI/SROI Both	REC	DN# Dependent/Payee Relationships	DATA ELEMENT NAME	FORMAT	02															04 AC AP CA CB CD EP ER IP PD PY RB SX SU										UR Upon Request (Grandfathered)	SA
					Reportable Change																										
SROI	R22	0097	Dependent/Payee Relationship Code	2 A/N	MC4 24	H	H	H	B	AR	NA	MC	AR	MC	X	MC	MC	MC	AR	MC	MC	MC	MC	MC	MC	MC	NA				
SROI	R22	0425	Dependent First Name	15 A/N	MC4 24	H	H	H	B	MC	NA	MC	MC	MC	X	MC	MC	MC	MC	MC	MC	MC	MC	MC	MC	MC	NA				
SROI	R22	0426	Dependent Last Name	40 A/N	MC4 24	H	H	H	B	MC	NA	MC	MC	MC	X	MC	MC	MC	MC	MC	MC	MC	MC	MC	MC	MC	NA				
SROI	R22	0427	Dependent Date of Birth	DATE	MC4 24	H	H	H	B	MC	NA	MC	MC	MC	X	MC	MC	MC	MC	MC	MC	MC	MC	MC	MC	MC	NA				
SROI	R22	0428	Dependent Gender Code	1 A/N	NA	N	N	N	B	NA	NA	NA	NA	NA	X	NA	NA	NA	NA	NA	NA	X	NA	NA	NA	NA	NA				
SROI	R22	0429	Dependent Extent of Dependency	1 A/N	NA	N	N	N	B	NA	NA	NA	NA	NA	X	NA	NA	NA	NA	NA	NA	X	NA	NA	NA	NA	NA				

(continued on page 4)

Variable Segment DNs

- If the MTC is found in the above search, then the Board will apply the current Severity Code for all DNs from that MTC, including 'NA' and 'X'.
 - Example: A SROI-02 was received as the first R3.1 transaction with DN0424 (Number of Dependent/Payee Relationship) and the most recently accepted MTC in our search was SROI-CA, which was received in R3.0 and has a current Severity Code of 'AR' for DN0097 (Dependent/Payee Relationship Code).
 - Since all the other DNs did not exist in R3.0, the current Severity Code of 'CA' MTC will be applied to all new DNs (e.g., DN0428 [Dependent Gender Code] has 'NA' so it will be ignored).

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- Some DER codes will be changing from 'MC' to 'NA' for R3.1 (for example, DN0124 [Actual Reduced Earnings] on SROI-UR).
- Some DER codes will be changing from 'MC' to 'X' for R3.1 (for example, DN0066 [Full Wages Paid for Date of Injury Indicator] on SROI-CD).
- Some DER codes will be changing from 'X' to 'MC' for R3.1 (for example, DN0124 [Actual Reduced Earnings] on SROI-CA).

EXAMPLE: DN0281 [Initial Date Employer Had Knowledge of Date of Disability] has a DER code of 'AR' for FROI-02 and SROI-ACAP, 'NA' for FROI-01 and SROI-SA-SU, and 'MC' for all other MTCs. If FROI-02 or SROI-02 was received any time after accepting a FROI or a SROI with a DER code of 'MC' (besides 02), then a Mandatory Condition on DN0281 will be applied. Otherwise, a Mandatory Condition on DN0281 will not be applied.

B. VARIABLE SEGMENT DNs:

- The Severity Code will be determined using the same steps specified in the non-Variable Segment section above, except that when determining the most recently accepted MTC (see page 2, Non-Variable Segment DNs, 1 & 2). All DNs within the Variable Segments are evaluated but we will consider it having a match if any of those DNs have a Severity Code other than 'NA' or 'X'.

2. If the MTC is found in the above search, then the Board will apply the current Severity Code for all DNs from that MTC, including 'NA' and 'X'.
EXAMPLE: A SROI-02 was received as the first R3.1 transaction with DN0424 (Number of Dependent/Payee Relationship) and the most recently accepted MTC in our search was SROI-CA, which was received in R3.0 and has a current Severity Code of 'AR' for DN0097 (Dependent/Payee Relationship Code). Since all the other DNs did not exist in R3.0, the current Severity Code of 'CA' MTC will be applied to all new DNs (e.g., DN0428 [Dependent Gender Code] has 'NA' so it will be ignored).

Example of Variable Segment DNs

On FROI/SROI/Both	REC	DMP Dependent/ Payee Relationships	DATA ELEMENT NAME	FORMAT	02																			UR Upon Request (Grand- fathered)	
					Reportable Change																				
SROI	R22	0097	Dependent/ Payee Relationship Code	2 A/N	MC4 24	H	H	H	B	AR	NA	MC	AR	MC	X	MC	MC	MC	AR	MC	MC	MC	MC	MC	NA
SROI	R22	0425	Dependent First Name	15 A/N	MC4 24	H	H	H	B	MC	NA	MC	MC	MC	X	MC	MC	MC	MC	MC	MC	MC	MC	MC	NA
SROI	R22	0426	Dependent Last Name	40 A/N	MC4 24	H	H	H	B	MC	NA	MC	MC	MC	X	MC	MC	MC	MC	MC	MC	MC	MC	MC	NA
SROI	R22	0427	Dependent Date of Birth	DATE	MC4 24	H	H	H	B	MC	NA	MC	MC	MC	X	MC	MC	MC	MC	MC	MC	MC	MC	MC	NA
SROI	R22	0428	Dependent Gender Code	1 A/N	NA	N	N	N	B	NA	NA	NA	NA	NA	X	NA	NA	NA	NA	NA	NA	X	NA	NA	NA
SROI	R22	0429	Dependent Extent of Dependency	1 A/N	NA	N	N	N	B	NA	NA	NA	NA	NA	X	NA	NA	NA	NA	NA	NA	X	NA	NA	NA

(continued on page 4)

Population Restrictions

- Population Restrictions will be applied to the Implied/Usable DNs.
- Note: Population Restrictions on the counter DN ['Number Of' DN] will only be applied when the counter DN ['Number Of' DN] is in the Change Variable Segment), unless the Board ignores the value due to the DN's Severity Code (based upon the search result above) being 'X' or 'NA'.
- These were added to protect the data integrity.

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3. The Mandatory Conditions will be applied to all DNs within the Variable Segment being changed with 02 Reportable Change Code of A/U/D and only if a non-02 MTC (FROI/SROI depending upon which 02 was received) has ever been accepted that currently has a DER code of 'MC' or 'M' for that DN. The DER code will be based on the current DER code of 'MC' or 'M' as if that MTC was processed right now.

Scenarios considered for going with the current DER code decision:

- Some DER codes were changed from 'A' to 'M' in R3.0 and will remain that way in R3.1 (for example, DN0075 [Agreement to Compensate Code] on SROI-AP/EP).
- Some DER codes will be changing from 'A' to 'MC' for R3.1 (for example, DN0144 [Current Date Disability Began] on SROI-ER/RB).
- Some DER codes will be changing from 'M' to 'AR' for R3.1 (for example, DN0041 [Date Claim Administrator Had Knowledge of Injury] on FROI-AQ).
- Some DER codes will be changing from 'MC' to 'AR' for R3.1 (for example, DN0281 [Initial Date Employer Had Knowledge of Date of Disability] on SROI-AP).
- Some DER codes will be changing from 'MC' to 'NA' for R3.1 (for example, DN0124 [Actual Reduced Earnings] on SROI-UR).
- Some DER codes will be changing from 'MC' to 'X' for R3.1 (for example, DN0066 [Full Wages Paid for Date of Injury Indicator] on SROI-CD).
- Some DER codes will be changing from 'X' to 'MC' for R3.1 (for example, DN0124 [Actual Reduced Earnings] on SROI-CA).

EXAMPLE: DN0425 (Dependent First Name) has a DER code of 'NA' for SROI-AC/SA, 'X' for SROI-CD, 'MC424' for SROI-02, and 'MC' for all other SROIs since this DN is only on SROIs. If SROI-02 was received any time after accepting a SROI with a DER code of 'MC' (besides 02), then a Mandatory Condition on DN0425 will be applied. Otherwise, a Mandatory Condition on DN0425 will not be applied.

C. POPULATION RESTRICTIONS:

Population Restrictions will be applied to the Implied/Usable DNs (Note: Population Restrictions on the counter DN ['Number Of' DN] will only be applied when the counter DN ['Number Of' DN] is in the Change Variable Segment), unless the Board ignores the value due to the DN's Severity Code (based upon the search result above) being 'X' or 'NA'.

1. Population Restrictions on MTC 02 that are specifically meant for enforcing Mandatory Conditions (which will all have error 064 with Element Error Text that includes "Data Elements missing in Change Variable Segment") will be applied to all dependent DNs that are being impacted by the DN being changed on the 02, because of an existing Mandatory Condition on those dependent DNs. The exception to this rule is when such dependent DNs (regardless of whether dependent DN had a value) was never on a non-02 MTC (FROI/SROI depending upon which 02 was received) that had ever been accepted that currently has a DER code of 'MC' or 'M'. The DER code will be based on the current DER code of 'MC' or 'M' as if that MTC was processed right now.

Scenarios considered for going with current DER code decision:

- Some DER codes were changed from 'A' to 'M' in R3.0 and will continue to be in R3.1 (for example, DN0075 [Agreement to Compensate Code] on SROI-AP/EP).
- Some DER codes will be changing from 'A' to 'MC' for R3.1 (for example, DN0144 [Current Date Disability Began] on SROI-ER/RB).
- Some DER codes will be changing from 'M' to 'AR' for R3.1 (for example, DN0041 [Date Claim Administrator Had Knowledge of Injury] on FROI-AQ).

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Population Restrictions

- Numerous Population Restrictions were posted on the eClaims change log on 3/8/2021 under IDs #78-165 using Error Code 064 (Invalid Data Relationship) with Element Error Text that includes “Data Elements missing in the Change Variable Segment”.
- They are applied to all dependent DNs that are being impacted by the DN being changed on the 02, because of an existing Mandatory Condition on those dependent DNs.

NYS FROI/SROI-02 transaction processing

3. The Mandatory Conditions will be applied to all DNs within the Variable Segment being changed with 02 Reportable Change Code of A/U/D and only if a non-02 MTC (FROI/SROI depending upon which 02 was received) has ever been accepted that currently has a DER code of 'MC' or 'M' for that DN. The DER code will be based on the current DER code of 'MC' or 'M' as if that MTC was processed right now.

Scenarios considered for going with the current DER code decision:

- Some DER codes were changed from 'A' to 'M' in R3.0 and will remain that way in R3.1 (for example, DN0075 [Agreement to Compensate Code] on SROI-AP/EP).
- Some DER codes will be changing from 'A' to 'MC' for R3.1 (for example, DN0144 [Current Date Disability Began] on SROI-ER/RB).
- Some DER codes will be changing from 'M' to 'AR' for R3.1 (for example, DN0041 [Date Claim Administrator Had Knowledge of Injury] on FROI-AQ).
- Some DER codes will be changing from 'MC' to 'AR' for R3.1 (for example, DN0281 [Initial Date Employer Had Knowledge of Date of Disability] on SROI-AP).
- Some DER codes will be changing from 'MC' to 'NA' for R3.1 (for example, DN0124 [Actual Reduced Earnings] on SROI-UR).
- Some DER codes will be changing from 'MC' to 'X' for R3.1 (for example, DN0066 [Full Wages Paid for Date of Injury Indicator] on SROI-CD).
- Some DER codes will be changing from 'X' to 'MC' for R3.1 (for example, DN0124 [Actual Reduced Earnings] on SROI-CA).

EXAMPLE: DN0425 (Dependent First Name) has a DER code of 'NA' for SROI-AC/SA, 'X' for SROI-CD, 'MC424' for SROI-02, and 'MC' for all other SROIs (since this DN is only on SROIs). If SROI-02 was received any time after accepting a SROI with a DER code of 'MC' (besides 02), then a Mandatory Condition on DN0425 will be applied. Otherwise, a Mandatory Condition on DN0425 will not be applied.

C. POPULATION RESTRICTIONS:

Population Restrictions will be applied to the Implied/Usable DNs (Note: Population Restrictions on the counter DN [Number Of DN] will only be applied when the counter DN [Number Of DN] is in the Change Variable Segment), unless the Board ignores the value due to the DN's Severity Code (based upon the search result above) being 'X' or 'NA'.

1. Population Restrictions on MTC 02 that are specifically meant for enforcing Mandatory Conditions (which will all have error 064 with Element Error Text that includes "Data Elements missing in Change Variable Segment") will be applied to all dependent DNs that are being impacted by the DN being changed on the 02, because of an existing Mandatory Condition on those dependent DNs. The exception to this rule is when such dependent DNs (regardless of whether dependent DN had a value) was never on a non-02 MTC (FROI/SROI depending upon which 02 was received) that had ever been accepted that currently has a DER code of 'MC' or 'M'. The DER code will be based on the current DER code of 'MC' or 'M' as if that MTC was processed right now.

Scenarios considered for going with current DER code decision:

- Some DER codes were changed from 'A' to 'M' in R3.0 and will continue to be in R3.1 (for example, DN0075 [Agreement to Compensate Code] on SROI-AP/EP).
- Some DER codes will be changing from 'A' to 'MC' for R3.1 (for example, DN0144 [Current Date Disability Began] on SROI-ER/RB).
- Some DER codes will be changing from 'M' to 'AR' for R3.1 (for example, DN0041 [Date Claim Administrator Had Knowledge of Injury] on FROI-AQ).

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Population Restrictions

■ Examples:

- Some DER codes were changed from 'IA' to 'M' in R3.0 and will continue to be in R3.1 (for example, DN0075 [Agreement to Compensate Code] on SROI-AP/EP).
- Some DER codes will be changing from 'IA' to 'MC' for R3.1 (for example, DN0144 [Current Date Disability Began] on SROI-ER/RB).
- Some DER codes will be changing from 'M' to 'AR' for R3.1 (for example, DN0041 [Date Claim Administrator Had Knowledge of Injury] on FROI-AQ).

NYS FROI/SROI-02 transaction processing

3. The Mandatory Conditions will be applied to all DNs within the Variable Segment being changed with 02 Reportable Change Code of A/U/D and only if a non-02 MTC (FROI/SROI depending upon which 02 was received) has ever been accepted that currently has a DER code of 'MC' or 'M' for that DN. The DER code will be based on the current DER code of 'MC' or 'M' as if that MTC was processed right now.

Scenarios considered for going with the current DER code decision:

- Some DER codes were changed from 'IA' to 'M' in R3.0 and will remain that way in R3.1 (for example, DN0075 [Agreement to Compensate Code] on SROI-AP/EP).
- Some DER codes will be changing from 'IA' to 'MC' for R3.1 (for example, DN0144 [Current Date Disability Began] on SROI-ER/RB).
- Some DER codes will be changing from 'M' to 'AR' for R3.1 (for example, DN0041 [Date Claim Administrator Had Knowledge of Injury] on FROI-AQ).
- Some DER codes will be changing from 'MC' to 'AR' for R3.1 (for example, DN0281 [Initial Date Employer Had Knowledge of Date of Disability] on SROI-AP).
- Some DER codes will be changing from 'MC' to 'NA' for R3.1 (for example, DN0124 [Actual Reduced Earnings] on SROI-UR).
- Some DER codes will be changing from 'MC' to 'X' for R3.1 (for example, DN0066 [Full Wages Paid for Date of Injury Indicator] on SROI-CD).
- Some DER codes will be changing from 'X' to 'MC' for R3.1 (for example, DN0124 [Actual Reduced Earnings] on SROI-CA).

EXAMPLE: DN0425 (dependent First Name) has a DER code of 'NA' for SROI-AC/SA, 'X' for SROI-CD, 'MC424' for SROI-02, and 'MC' for all other SROIs (since this DN is only on SROIs). If SROI-02 was received any time after accepting a SROI with a DER code of 'MC' (besides 02), then a Mandatory Condition on DN0425 will be applied. Otherwise, a Mandatory Condition on DN0425 will not be applied.

C. POPULATION RESTRICTIONS:

Population Restrictions will be applied to the Implied/Usable DNs (Note: Population Restrictions on the counter DN [Number Of DN] will only be applied when the counter DN [Number Of DN] is in the Change Variable Segment), unless the Board ignores the value due to the DN's Severity Code (based upon the search result above) being 'X' or 'NA'.

1. Population Restrictions on MTC 02 that are specifically meant for enforcing Mandatory Conditions (which will all have error 064 with Element Error Text that includes "Data Elements missing in Change Variable Segment") will be applied to all dependent DNs that are being impacted by the DN being changed on the 02, because of an existing Mandatory Condition on those dependent DNs. The exception to this rule is when such dependent DNs (regardless of whether dependent DN had a value) was never on a non-02 MTC (FROI/SROI depending upon which 02 was received) that had ever been accepted that currently has a DER code of 'MC' or 'M'. The DER code will be based on the current DER code of 'MC' or 'M' as if that MTC was processed right now.

Scenarios considered for going with current DER code decision:

- Some DER codes were changed from 'IA' to 'M' in R3.0 and will continue to be in R3.1 (for example, DN0075 [Agreement to Compensate Code] on SROI-AP/EP).
- Some DER codes will be changing from 'IA' to 'MC' for R3.1 (for example, DN0144 [Current Date Disability Began] on SROI-ER/RB).
- Some DER codes will be changing from 'M' to 'AR' for R3.1 (for example, DN0041 [Date Claim Administrator Had Knowledge of Injury] on FROI-AQ).

(continued on page 3)

Population Restrictions

■ Examples:

- Some DER codes will be changing from 'MC' to 'AR' for R3.1 (for example, DN0281 [Initial Date Employer Had Knowledge of Date of Disability] on SROI-AP).
- Some DER codes will be changing from 'MC' to 'NA' for R3.1 (for example, DN0124 [Actual Reduced Earnings] on SROI-UR).
- Some DER codes will be changing from 'MC' to 'X' for R3.1 (for example, DN0066 [Full Wages Paid for Date of Injury Indicator] on SROI-CD).

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- d. Some DER codes will be changing from 'MC' to 'AR' for R3.1 (for example, DN0281 [Initial Date Employer Had Knowledge of Date of Disability] on SROI-AP).
- e. Some DER codes will be changing from 'MC' to 'NA' for R3.1 (for example, DN0124 [Actual Reduced Earnings] on SROI-UR).
- f. Some DER codes will be changing from 'MC' to 'X' for R3.1 (for example, DN0066 [Full Wages Paid for Date of Injury Indicator] on SROI-CD).
- g. Some DER codes will be changing from 'X' to 'MC' for R3.1 (for example, DN0124 [Actual Reduced Earnings] on SROI-CA).

EXAMPLE: DN0146 [Death Result of Injury Code] is being changed on a SROI-02, which impacts the Mandatory Condition on DN0097 (Dependent/Payee Relationship Code), meaning DN0097 is the dependent DN in this example. DN0097 has a DER code of 'AR' for SROI-04/CA/PO, 'NA' for SROI-AC/SA, 'X' for SROI-CD, 'MC424' for SROI-02, and 'MC' for all other SROIs. If SROI-02 was received any time after accepting a SROI with a DER code of 'MC' (besides 02) for DN0097, then Population Restriction on DN0146 for MTC 02 will be applied. Otherwise, Population Restriction on DN0146 for MTC 02 will not be applied.

D. TRANSACTION PASSES SEQUENCING REQUIREMENT EDITS IN THE **NYS R3.1 EDIT MATRIX**.

3. Applying edits on an 02-Change transaction

- I. New York will edit only Implied/Usable DNs. All other DNs will be ignored and they will not be considered as accepted data. Refer to "How to determine what DN values to use for cross editing" section for more information.
- II. Get the list of DNs in the Change Variable Segment. If none are found, then reject the transaction with the appropriate error message; otherwise, continue to the next step.
- III. If there are duplicate DNs listed in DN0412 (Change Data Element) of the Change Variable Segment, then the transaction will be rejected with the appropriate error message. (See the **NYS R3.1 Edit Matrix, Population Restriction tab for DN0412, Error Message Number 064, Population Restriction B**)
- IV. If any DNs that have an 02-Change transaction with a DER code of 'F' or 'm' and there is a blank or null value in the transaction, then the transaction will be rejected with error 001 (Mandatory field not present). If the DER code is 'mc' and the condition requires the value to be present, Mandatory Conditional Requirements will be applied and the transaction will be rejected if the DN value is blank or null.
- V. The transaction will be rejected with error 124 (No recognizable change found) on DN0411 (Number of Change Data Elements) when no change is detected (provided no other valid change is detected in the segment) for the following conditions:
 - A. When a non-Variable Segment DN is listed in the Change Variable Segment, then compare the value of that DN with the previously reported value. In the case of DN0270 (Employee ID Type Qualifier), also compare the actual Employee ID, e.g., DN0042 (Employee SSN), DN0075 (Agreement to Compensate Code) will be considered as a recognizable change on an 02-Change transaction with the same value as previously reported because of a medical-only claim being accepted after a denial. Refer to all Population Restrictions on match data elements returning new NY R3.1 Error 117 to apply this edit.
 - B. When a Variable Segment counter DN is in the Change Variable Segment, then compare the values of all the DNs within that Variable Segment with the previously reported values of those DNs.
 1. Change in the order of the Variable Segment will be ignored and it will not be considered as a change.

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Population Restrictions

■ Examples:

- Some DER codes will be changing from 'X' to 'MC' for R3.1 (for example, DN0124 [Actual Reduced Earnings] on SROI-CA).
 - Example: DN0146 (Death Result of Injury Code) is being changed on a SROI-02, which impacts the Mandatory Condition on DN0097 (Dependent/Payee Relationship Code), meaning DN0097 is the dependent DN in this example.
 - DN0097 has a DER code of 'AR' for SROI-04/CA/PD, 'NA' for SROI-AC/SA, 'X' for SROI-CD, 'MC424' for SROI-02, and 'MC' for all other SROIs.
 - If SROI-02 was received any time after accepting a SROI with a DER code of 'MC' (besides 02) for DN0097, then Population Restriction on DN0146 for MTC 02 will be applied.
 - Otherwise, Population Restriction on DN0146 for MTC 02 will not be applied.

NYS SROI/SROI-02 transaction processing

- d. Some DER codes will be changing from 'MC' to 'AR' for R3.1 (for example, DN0281 [Initial Date Employer Had Knowledge of Date of Disability] on SROI-AP).
- e. Some DER codes will be changing from 'MC' to 'NA' for R3.1 (for example, DN0124 [Actual Reduced Earnings] on SROI-UJ).
- f. Some DER codes will be changing from 'MC' to 'X' for R3.1 (for example, DN0066 [Total Wages Paid for Date of Injury Indicated] on SROI-CD).
- g. Some DER codes will be changing from 'X' to 'MC' for R3.1 (for example, DN0124 [Actual Reduced Earnings] on SROI-CA).
EXAMPLE: DN0146 (Death Result of Injury Code) is being changed on a SROI-02, which impacts the Mandatory Condition on DN0097 (Dependent/Payee Relationship Code), meaning DN0097 is the dependent DN in this example. DN0097 has a DER code of 'AR' for SROI-04/CA/PD, 'NA' for SROI-AC/SA, 'X' for SROI-CD, 'MC424' for SROI-02, and 'MC' for all other SROIs. If SROI-02 was received any time after accepting a SROI with a DER code of 'MC' (besides 02) for DN0097, then Population Restriction on DN0146 for MTC 02 will be applied. Otherwise, Population Restriction on DN0146 for MTC 02 will not be applied.

D. TRANSACTION PASSES SEQUENCING REQUIREMENT EDITS IN THE NYS R3.1 EDIT MATRIX.

3. Applying edits on an 02-Change transaction

- New York will edit only Implied/Usable DNs. All other DNs will be ignored and they will not be considered as accepted data. Refer to "How to determine what DN values to use for cross editing" section for more information.
- Get the list of DNs in the Change Variable Segment. If none are found, then reject the transaction with the appropriate error message; otherwise, continue to the next step.
- If there are duplicate DNs listed in DN0412 (Change Data Element) of the Change Variable Segment, then the transaction will be rejected with the appropriate error message. (See the NYS R3.1 Edit Matrix, Population Restriction tab for DN0412, Error Message Number 064, Population Restriction B)
- If any DNs that have an 02-Change transaction with a DER code of 'F' or 'm' and there is a blank or null value in the transaction, then the transaction will be rejected with error 001 (Mandatory field not present). If the DER code is 'mc' and the condition requires the value to be present, Mandatory Conditional Requirements will be applied and the transaction will be rejected if the DN value is blank or null.
- The transaction will be rejected with error 124 (No recognizable change found) on DN0411 (Number of Change Data Elements) when no change is detected (provided no other valid change is detected in the segment) for the following conditions:
 - When a non-Variable Segment DN is listed in the Change Variable Segment, then compare the value of that DN with the previously reported value. In the case of DN0270 (Employee ID Type Qualifier), also compare the actual Employee ID, e.g., DN0042 (Employee SSN), DN0075 (Agreement to Compensate Code) will be considered as a recognizable change on an 02-Change transaction with the same value as previously reported because of a medical-only claim being accepted after a denial. Refer to all Population Restrictions on match data elements returning new NY R3.1 Error 117 to apply this edit.
 - When a Variable Segment counter DN is in the Change Variable Segment, then compare the values of all the DNs within that Variable Segment with the previously reported values of those DNs.
 - Change in the order of the Variable Segment will be ignored and it will not be considered as a change.

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Applying Edits on an 02-Change transaction

- New York State will edit only Implied/Usable DNs. Only DNs sent in the Change Variable Segment will be considered accepted data.
- Any other data sent will be ignored.
- Get the list of DNs in the Change Variable Segment, if none are found, then reject the transaction with the appropriate error message; otherwise, continue to the next step.

NYS FROI/SROI-02 transaction processing

- d. Some DER codes will be changing from 'MC' to 'AR' for R3.1 (for example, DN0281 [Initial Date Employer Had Knowledge of Date of Disability] on SROI-AP).
- e. Some DER codes will be changing from 'MC' to 'NA' for R3.1 (for example, DN0124 [Actual Reduced Earnings] on SROI-UI).
- f. Some DER codes will be changing from 'MC' to 'X' for R3.1 (for example, DN0066 [Full Wages Paid for Date of Injury Indicator] on SROI-CD).
- g. Some DER codes will be changing from 'X' to 'MC' for R3.1 (for example, DN0124 [Actual Reduced Earnings] on SROI-CA).

EXAMPLE: DN0146 [Death Result of Injury Code] is being changed on a SROI-02, which impacts the Mandatory Condition on DN0097 (Dependent/Payee Relationship Code), meaning DN0097 is the dependent DN in this example. DN0097 has a DER code of 'AR' for SROI-04/CA/PD, 'NA' for SROI-AC/SA, 'X' for SROI-CD, 'MC424' for SROI-02, and 'MC' for all other SROIs. If SROI-02 was received any time after accepting a SROI with a DER code of 'MC' (besides 02) for DN0097, then Population Restriction on DN0146 for MTC 02 will be applied. Otherwise, Population Restriction on DN0146 for MTC 02 will not be applied.

D. TRANSACTION PASSES SEQUENCING REQUIREMENT EDITS IN THE NYS R3.1 EDIT MATRIX.

3. Applying edits on an 02-Change transaction

- I. New York will edit only Implied/Usable DNs. All other DNs will be ignored and they will not be considered as accepted data. Refer to "How to determine what DN values to use for cross editing" section for more information.
- II. Get the list of DNs in the Change Variable Segment. If none are found, then reject the transaction with the appropriate error message; otherwise, continue to the next step.
- III. If there are duplicate DNs listed in DN0412 (Change Data Element) of the Change Variable Segment, then the transaction will be rejected with the appropriate error message. (See the NYS R3.1 Edit Matrix, Population Restriction tab for DN0412, Error Message Number 064, Population Restriction B)
- IV. If any DNs that have an 02-Change transaction with a DER code of 'F' or 'm' and there is a blank or null value in the transaction, then the transaction will be rejected with error 001 (Mandatory field not present). If the DER code is 'mc' and the condition requires the value to be present, Mandatory Conditional Requirements will be applied and the transaction will be rejected if the DN value is blank or null.
- V. The transaction will be rejected with error 124 (No recognizable change found) on DN0411 (Number of Change Data Elements) when no change is detected (provided no other valid change is detected in the segment) for the following conditions:
 - A. When a non-Variable Segment DN is listed in the Change Variable Segment, then compare the value of that DN with the previously reported value. In the case of DN0270 (Employee ID Type Qualifier), also compare the actual Employee ID, e.g., DN0042 (Employee SSN), DN0075 (Agreement to Compensate Code) will be considered as a recognizable change on an 02-Change transaction with the same value as previously reported because of a medical-only claim being accepted after a denial. Refer to all Population Restrictions on match data elements returning new NY R3.1 Error 117 to apply this edit.
 - B. When a Variable Segment counter DN is in the Change Variable Segment, then compare the values of all the DNs within that Variable Segment with the previously reported values of those DNs.
 - 1. Change in the order of the Variable Segment will be ignored and it will not be considered as a change.

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Applying Edits on an 02-Change transaction

- If any DN's that have an 02-Change transaction with a DER code of 'F' or 'm' and there is a blank or null value in the transaction, then the transaction will be rejected with error 001 (Mandatory field not present).
- If the DER code is 'mc' and the condition requires the value to be present, Mandatory Conditional Requirements will be applied and the transaction will be rejected if the DN value is blank or null.

NYS FROI/SROI-02 transaction processing

- d. Some DER codes will be changing from 'MC' to 'AR' for R3.1 (for example, DN0281 [Initial Date Employer Had Knowledge of Date of Disability] on SROI-AP).
- e. Some DER codes will be changing from 'MC' to 'NA' for R3.1 (for example, DN0124 [Actual Reduced Earnings] on SROI-UR).
- f. Some DER codes will be changing from 'MC' to 'X' for R3.1 (for example, DN0066 [Full Wages Paid for Date of Injury Indicator] on SROI-CD).
- g. Some DER codes will be changing from 'X' to 'MC' for R3.1 (for example, DN0124 [Actual Reduced Earnings] on SROI-CA).

EXAMPLE: DN0146 [Death Result of Injury Code] is being changed on a SROI-02, which impacts the Mandatory Condition on DN0097 (Dependent/Payee Relationship Code), meaning DN0097 is the dependent DN in this example. DN0097 has a DER code of 'AR' for SROI-04/CA/PD, 'NA' for SROI-AC/SA, 'X' for SROI-CD, 'MC424' for SROI-02, and 'MC' for all other SROIs. If SROI-02 was received any time after accepting a SROI with a DER code of 'MC' (besides 02) for DN0097, then Population Restriction on DN0146 for MTC 02 will be applied. Otherwise, Population Restriction on DN0146 for MTC 02 will not be applied.

D. TRANSACTION PASSES SEQUENCING REQUIREMENT EDITS IN THE NYS R3.1 EDIT MATRIX.

3. Applying edits on an 02-Change transaction

- I. New York will edit only Implied/Usable DN's. All other DN's will be ignored and they will not be considered as accepted data. Refer to "How to determine what DN values to use for cross editing" section for more information.
- II. Get the list of DN's in the Change Variable Segment. If none are found, then reject the transaction with the appropriate error message; otherwise, continue to the next step.
- III. If there are duplicate DN's listed in DN0412 (Change Data Element) of the Change Variable Segment, then the transaction will be rejected with the appropriate error message. (See the NYS R3.1 Edit Matrix, Population Restriction tab for DN0412, Error Message Number 064, Population Restriction B)
- IV. If any DN's that have an 02-Change transaction with a DER code of 'F' or 'm' and there is a blank or null value in the transaction, then the transaction will be rejected with error 001 (Mandatory field not present). If the DER code is 'mc' and the condition requires the value to be present, Mandatory Conditional Requirements will be applied and the transaction will be rejected if the DN value is blank or null.
- V. The transaction will be rejected with error 124 (No recognizable change found) on DN0411 (Number of Change Data Elements) when no change is detected (provided no other valid change is detected in the segment) for the following conditions:
 - A. When a non-Variable Segment DN is listed in the Change Variable Segment, then compare the value of that DN with the previously reported value. In the case of DN0270 (Employee ID Type Qualifier), also compare the actual Employee ID, e.g., DN0042 (Employee SSN), DN0075 (Agreement to Compensate Code) will be considered as a recognizable change on an 02-Change transaction with the same value as previously reported because of a medical-only claim being accepted after a denial. Refer to all Population Restrictions on match data elements returning new NY R3.1 Error 117 to apply this edit.
 - B. When a Variable Segment counter DN is in the Change Variable Segment, then compare the values of all the DN's within that Variable Segment with the previously reported values of those DN's.
 - 1. Change in the order of the Variable Segment will be ignored and it will not be considered as a change.

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Applying Edits on an 02-Change transaction

- The transaction will be rejected with error 124 (No recognizable change found) on DN0411 (Number of Change Data Elements) when no change is detected (provided no other valid change is detected in the segment) for the following conditions:

- When a non-Variable Segment DN is listed in the Change Variable Segment, then compare the value of that DN with the previously reported value.
- In the case of DN0270 (Employee ID Type Qualifier), also compare the actual Employee ID, e.g., DN0042 (Employee SSN).

NYS FROI/SROI-02 transaction processing

- d. Some DER codes will be changing from 'MC' to 'AR' for R3.1 (for example, DN0281 [Initial Date Employer Had Knowledge of Date of Disability] on SROI-AP).
- e. Some DER codes will be changing from 'MC' to 'NA' for R3.1 (for example, DN0124 [Actual Reduced Earnings] on SROI-UI).
- f. Some DER codes will be changing from 'MC' to 'X' for R3.1 (for example, DN0066 [Full Wages Paid for Date of Injury Indicator] on SROI-CD).
- g. Some DER codes will be changing from 'X' to 'MC' for R3.1 (for example, DN0124 [Actual Reduced Earnings] on SROI-CA).

EXAMPLE: DN0146 [Death Result of Injury Code] is being changed on a SROI-02, which impacts the Mandatory Condition on DN0097 (Dependent/Payee Relationship Code), meaning DN0097 is the dependent DN in this example. DN0097 has a DER code of 'AR' for SROI-04/CA/PD, 'NA' for SROI-AC/SA, 'X' for SROI-CD, 'MC424' for SROI-02, and 'MC' for all other SROIs. If SROI-02 was received any time after accepting a SROI with a DER code of 'MC' (besides 02) for DN0097, then Population Restriction on DN0146 for MTC 02 will be applied. Otherwise, Population Restriction on DN0146 for MTC 02 will not be applied.

D. TRANSACTION PASSES SEQUENCING REQUIREMENT EDITS IN THE NYS R3.1 EDIT MATRIX.

3. Applying edits on an 02-Change transaction

- I. New York will edit only Implied/Usable DNs. All other DNs will be ignored and they will not be considered as accepted data. Refer to "How to determine what DN values to use for cross editing" section for more information.
- II. Get the list of DNs in the Change Variable Segment. If none are found, then reject the transaction with the appropriate error message; otherwise, continue to the next step.
- III. If there are duplicate DNs listed in DN0412 (Change Data Element) of the Change Variable Segment, then the transaction will be rejected with the appropriate error message. (See the NYS R3.1 Edit Matrix, Population Restriction tab for DN0412, Error Message Number 064, Population Restriction B)
- IV. If any DNs that have an 02-Change transaction with a DER code of 'F' or 'm' and there is a blank or null value in the transaction, then the transaction will be rejected with error 001 (Mandatory field not present). If the DER code is 'mc' and the condition requires the value to be present, Mandatory Conditional Requirements will be applied and the transaction will be rejected if the DN value is blank or null.
- V. The transaction will be rejected with error 124 (No recognizable change found) on DN0411 (Number of Change Data Elements) when no change is detected (provided no other valid change is detected in the segment) for the following conditions:
 - A. When a non-Variable Segment DN is listed in the Change Variable Segment, then compare the value of that DN with the previously reported value. In the case of DN0270 (Employee ID Type Qualifier), also compare the actual Employee ID, e.g., DN0042 (Employee SSN), DN0075 (Agreement to Compensate Code) will be considered as a recognizable change on an 02-Change transaction with the same value as previously reported because of a medical-only claim being accepted after a denial. Refer to all Population Restrictions on match data elements returning new NY R3.1 Error 117 to apply this edit.
 - B. When a Variable Segment counter DN is in the Change Variable Segment, then compare the values of all the DNs within that Variable Segment with the previously reported values of those DNs.
 - 1. Change in the order of the Variable Segment will be ignored and it will not be considered as a change.

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Applying Edits on an 02-Change transaction

- The transaction will be rejected with error 124 (No recognizable change found) on DN0411 (Number of Change Data Elements) when no change is detected (provided no other valid change is detected in the segment) for the following conditions:

- DN0075 (Agreement to Compensate Code) will be considered as a recognizable change on an 02-Change transaction with the same value as previously reported because of a medical-only claim being accepted after a denial.
- Refer to all Population Restrictions on match data elements returning new NY R3.1 Error 117 to apply this edit.

NYS SROI/SROI-02 transaction processing

- d. Some DER codes will be changing from 'MC' to 'AR' for R3.1 (for example, DN0281 [Initial Date Employer Had Knowledge of Date of Disability] on SROI-AP).
- e. Some DER codes will be changing from 'MC' to 'NA' for R3.1 (for example, DN0124 [Actual Reduced Earnings] on SROI-UJ).
- f. Some DER codes will be changing from 'MC' to 'X' for R3.1 (for example, DN0066 [Full Wages Paid for Date of Injury Indicator] on SROI-CD).
- g. Some DER codes will be changing from 'X' to 'MC' for R3.1 (for example, DN0124 [Actual Reduced Earnings] on SROI-CA).

EXAMPLE: DN0146 [Death Result of Injury Code] is being changed on a SROI-02, which impacts the Mandatory Condition on DN0097 [Dependent/Payee Relationship Code], meaning DN0097 is the dependent DN in this example. DN0097 has a DER code of 'AR' for SROI-04/CA/PO, 'NA' for SROI-AC/SA, 'X' for SROI-CD, 'MC424' for SROI-02, and 'MC' for all other SROIs. If SROI-02 was received any time after accepting a SROI with a DER code of 'MC' (besides 02) for DN0097, then Population Restriction on DN0146 for MTC 02 will be applied. Otherwise, Population Restriction on DN0146 for MTC 02 will not be applied.

D. TRANSACTION PASSES SEQUENCING REQUIREMENT EDITS IN THE NYS R3.1 EDIT MATRIX.

3. Applying edits on an 02-Change transaction

- I. New York will edit only Implied/Usable DNs. All other DNs will be ignored and they will not be considered as accepted data. Refer to "How to determine what DN values to use for cross editing" section for more information.
- II. Get the list of DNs in the Change Variable Segment. If none are found, then reject the transaction with the appropriate error message; otherwise, continue to the next step.
- III. If there are duplicate DNs listed in DN0412 [Change Data Element] of the Change Variable Segment, then the transaction will be rejected with the appropriate error message. (See the NYS R3.1 Edit Matrix, Population Restriction tab for DN0412, Error Message Number 064, Population Restriction B)
- IV. If any DNs that have an 02-Change transaction with a DER code of 'F' or 'm' and there is a blank or null value in the transaction, then the transaction will be rejected with error 001 (Mandatory field not present). If the DER code is 'mc' and the condition requires the value to be present, Mandatory Conditional Requirements will be applied and the transaction will be rejected if the DN value is blank or null.
- V. The transaction will be rejected with error 124 (No recognizable change found) on DN0411 (Number of Change Data Elements) when no change is detected (provided no other valid change is detected in the segment) for the following conditions:
 - A. When a non-Variable Segment DN is listed in the Change Variable Segment, then compare the value of that DN with the previously reported value. In the case of DN0270 (Employee ID Type Qualifier), also compare the actual Employee ID, e.g., DN0042 (Employee SSN), DN0075 (Agreement to Compensate Code) will be considered as a recognizable change on an 02-Change transaction with the same value as previously reported because of a medical-only claim being accepted after a denial. Refer to all Population Restrictions on match data elements returning new NY R3.1 Error 117 to apply this edit.
 - B. When a Variable Segment counter DN is in the Change Variable Segment, then compare the values of all the DNs within that Variable Segment with the previously reported values of those DNs.
 - 1. Change in the order of the Variable Segment will be ignored and it will not be considered as a change.

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Applying Edits on an 02-Change transaction

- The transaction will be rejected with error 124 (No recognizable change found) on DN0411 (Number of Change Data Elements) when no change is detected (provided no other valid change is detected in the segment) for the following conditions:

- When a Variable Segment counter DN is in the Change Variable Segment, then compare the values of all the DNs within that Variable Segment with the previously reported values of those DNs.

NYS SROI/SROI-02 transaction processing

- d. Some DER codes will be changing from 'MC' to 'AR' for R3.1 (for example, DN0281 [Initial Date Employer Had Knowledge of Date of Disability] on SROI-AP).
- e. Some DER codes will be changing from 'MC' to 'NA' for R3.1 (for example, DN0124 [Actual Reduced Earnings] on SROI-UJ).
- f. Some DER codes will be changing from 'MC' to 'X' for R3.1 (for example, DN0066 [Full Wages Paid for Date of Injury Indicator] on SROI-CD).
- g. Some DER codes will be changing from 'X' to 'MC' for R3.1 (for example, DN0124 [Actual Reduced Earnings] on SROI-CA).

EXAMPLE: DN0146 [Death Result of Injury Code] is being changed on a SROI-02, which impacts the Mandatory Condition on DN0097 (Dependent/Payee Relationship Code), meaning DN0097 is the dependent DN in this example. DN0097 has a DER code of 'AR' for SROI-04/CA/PD, 'NA' for SROI-AC/SA, 'X' for SROI-CD, 'MC424' for SROI-02, and 'MC' for all other SROIs. If SROI-02 was received any time after accepting a SROI with a DER code of 'MC' (besides 02) for DN0097, then Population Restriction on DN0146 for MTC 02 will be applied. Otherwise, Population Restriction on DN0146 for MTC 02 will not be applied.

D. TRANSACTION PASSES SEQUENCING REQUIREMENT EDITS IN THE NYS R3.1 EDIT MATRIX.

3. Applying edits on an 02-Change transaction

- I. New York will edit only Implied/Usable DNs. All other DNs will be ignored and they will not be considered as accepted data. Refer to "How to determine what DN values to use for cross editing" section for more information.
- II. Get the list of DNs in the Change Variable Segment. If none are found, then reject the transaction with the appropriate error message; otherwise, continue to the next step.
- III. If there are duplicate DNs listed in DN0412 (Change Data Element) of the Change Variable Segment, then the transaction will be rejected with the appropriate error message. (See the NYS R3.1 Edit Matrix, Population Restriction tab for DN0412, Error Message Number 064, Population Restriction B)
- IV. If any DNs that have an 02-Change transaction with a DER code of 'F' or 'm' and there is a blank or null value in the transaction, then the transaction will be rejected with error 001 (Mandatory field not present). If the DER code is 'mc' and the condition requires the value to be present, Mandatory Conditional Requirements will be applied and the transaction will be rejected if the DN value is blank or null.
- V. The transaction will be rejected with error 124 (No recognizable change found) on DN0411 (Number of Change Data Elements) when no change is detected (provided no other valid change is detected in the segment) for the following conditions:
 - A. When a non-Variable Segment DN is listed in the Change Variable Segment, then compare the value of that DN with the previously reported value. In the case of DN0270 (Employee ID Type Qualifier), also compare the actual Employee ID, e.g., DN0042 (Employee SSN), DN0075 (Agreement to Compensate Code) will be considered as a recognizable change on an 02-Change transaction with the same value as previously reported because of a medical-only claim being accepted after a denial. Refer to all Population Restrictions on match data elements returning new NY R3.1 Error 117 to apply this edit.
 - B. When a Variable Segment counter DN is in the Change Variable Segment, then compare the values of all the DNs within that Variable Segment with the previously reported values of those DNs.
 - 1. Change in the order of the Variable Segment will be ignored and it will not be considered as a change.

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Steps for Further Editing

- Check for any Variable Segment DNs listed in the Change Variable Segment, then reject the transaction with error 123 (Invalid Change Reason Code Relationship) and process the next DN; otherwise, continue to the next step.
- Loop through each DN that is in the Change Variable Segment that **is not** a counter DN.
 1. If the DN0413 (Change Reason Code) is 'R' (Remove) and there is a value in the DN, then reject the transaction with error 123 (Invalid Change Reason Code Relationship) with additional message "being removed has values, blank required" and process the next DN.

NYS FROI/SROI-Q2 transaction processing

2. Based on the IAIABC's *Claims Release 3.1 Implementation Guide* Section 4, 02 Change Processing Rules and the Population Restrictions listed on the *NY R3.1 Edit Matrix*, benefit segments are edited to ensure that another MTC is not more appropriate. Benefit segments are special when comparing DN values to determine a change. Listed below are the DNs and whether they will or will not be compared:

DN#	DN Description	Compare
0085	Benefit Type Code	Yes
0002	Maintenance Type Code	No
0174	Gross Weekly Amount	Yes
0175	Gross Weekly Amount Effective Date	Yes
0087	Net Weekly Amount	Yes
0211	Net Weekly Amount Effective Date	Yes
0088	Benefit Period Start Date	Yes
0089	Benefit Period Through Date	Yes
0090	Benefit Type Claim Weeks	Yes
0091	Benefit Type Claim Days	Yes
0086	Benefit Type Amount Paid	Yes
0192	Benefit Payment Issue Date	Yes

VI. Steps for further editing are as follows:

- A. Check for any Variable Segment DNs listed in the Change Variable Segment, then reject the transaction with error 123 (Invalid Change Reason Code Relationship) and process the next DN; otherwise, continue to the next step.
- B. Loop through each DN that is in the Change Variable Segment that is not a counter DN.
 1. If the DN0413 (Change Reason Code) is 'R' (Remove) and there is a value in the DN, then reject the transaction with error 123 (Invalid Change Reason Code Relationship) with additional message "<DN#> being removed has values, blank required" and process the next DN.
 2. Find the Reportable Change Code for that DN and the Change Reason Code. If the Reportable Change Code is 'B' or 'N', then reject the transaction with error 123 (Invalid Change Reason Code Relationship) and process the next DN; otherwise, continue to the next step.
 3. If the Reportable Change Code is 'I' or 'IG', then continue to the next step. The Board is not going to reject the transaction if it receives the change on both the FROI and SROI unless the second transaction has no other recognizable change identified.
 4. If the Reportable Change Code is 'J' or 'JG', then check if a SROI has already been received. If this is a FROI-Q2 transaction, then reject the transaction with the appropriate error message and process the next DN; otherwise, continue to the next step. Note: New York does not have this Reportable Change Code listed on the Element Requirement Table at this time.
 5. If the Reportable Change Code is 'K' or 'KG', then check if this is a SROI-Q2 transaction, then reject the transaction with the appropriate error message and process the next DN; otherwise, continue to the next step.

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Steps for Further Editing

- Loop through each DN that is in the Change Variable Segment that **is not** a counter DN.
 - 2. Find the Reportable Change Code for that DN and the Change Reason Code. If the Reportable Change Code is 'B' or 'N', then reject the transaction with error 123 (Invalid Change Reason Code Relationship) and process the next DN; otherwise, continue to the next step.
 - 3. If the Reportable Change Code is 'I' or 'IG', then continue to the next step. The Board is not going to reject the transaction if it receives the change on both the FROI and SROI unless the second transaction has no other recognizable change identified.

NYS FROI/SROI-Q2 transaction processing

2. Based on the IAIAC's *Claims Release 3.1 Implementation Guide* Section 4, 02 Change Processing Rules and the Population Restrictions listed on the *NY R3.1 Edit Matrix*, benefit segments are edited to ensure that another MTC is not more appropriate. Benefit segments are special when comparing DN values to determine a change. Listed below are the DNs and whether they will or will not be compared:

DN#	DN Description	Compare
0085	Benefit Type Code	Yes
0002	Maintenance Type Code	No
0174	Gross Weekly Amount	Yes
0175	Gross Weekly Amount Effective Date	Yes
0087	Net Weekly Amount	Yes
0211	Net Weekly Amount Effective Date	Yes
0088	Benefit Period Start Date	Yes
0089	Benefit Period Through Date	Yes
0090	Benefit Type Claim Weeks	Yes
0091	Benefit Type Claim Days	Yes
0086	Benefit Type Amount Paid	Yes
0192	Benefit Payment Issue Date	Yes

VI. Steps for further editing are as follows:

- A. Check for any Variable Segment DNs listed in the Change Variable Segment, then reject the transaction with error 123 (Invalid Change Reason Code Relationship) and process the next DN; otherwise, continue to the next step.
- B. Loop through each DN that is in the Change Variable Segment that is not a counter DN.
 - 1. If the DN0413 (Change Reason Code) is 'R' (Remove) and there is a value in the DN, then reject the transaction with error 123 (Invalid Change Reason Code Relationship) with additional message "<DN#> being removed has values, blank required" and process the next DN.
 - 2. Find the Reportable Change Code for that DN and the Change Reason Code. If the Reportable Change Code is 'B' or 'N', then reject the transaction with error 123 (Invalid Change Reason Code Relationship) and process the next DN; otherwise, continue to the next step.
 - 3. If the Reportable Change Code is 'I' or 'IG', then continue to the next step. The Board is not going to reject the transaction if it receives the change on both the FROI and SROI unless the second transaction has no other recognizable change identified.
 - 4. If the Reportable Change Code is 'J' or 'JG', then check if a SROI has already been received. If this is a FROI-Q2 transaction, then reject the transaction with the appropriate error message and process the next DN; otherwise, continue to the next step. Note: New York does not have this Reportable Change Code listed on the Element Requirement Table at this time.
 - 5. If the Reportable Change Code is 'K' or 'KG', then check if this is a SROI-Q2 transaction, then reject the transaction with the appropriate error message and process the next DN; otherwise, continue to the next step.

(continued on page 7)

Steps for Further Editing

- Loop through each DN that is in the Change Variable Segment that **is not** a counter DN.
 4. If the Reportable Change Code is 'J' or 'JG', then check if a SROI has already been received. If this is a FROI-02 transaction, then reject the transaction with the appropriate error message and process the next DN; otherwise, continue to the next step.
 5. If the Reportable Change Code is 'K' or 'KG', then check if this is a SROI-02 transaction, then reject the transaction with the appropriate error message and process the next DN; otherwise, continue to the next step.

NYS FROI/SROI-02 transaction processing

2. Based on the IAIABC's *Claims Release 3.1 Implementation Guide* Section 4, 02 Change Processing Rules and the Population Restrictions listed on the *NY R3.1 Edit Matrix*, benefit segments are edited to ensure that another MTC is not more appropriate. Benefit segments are special when comparing DN values to determine a change. Listed below are the DNs and whether they will or will not be compared:

DN#	DN Description	Compare
0085	Benefit Type Code	Yes
0002	Maintenance Type Code	No
0174	Gross Weekly Amount	Yes
0175	Gross Weekly Amount Effective Date	Yes
0087	Net Weekly Amount	Yes
0211	Net Weekly Amount Effective Date	Yes
0088	Benefit Period Start Date	Yes
0089	Benefit Period Through Date	Yes
0090	Benefit Type Claim Weeks	Yes
0091	Benefit Type Claim Days	Yes
0086	Benefit Type Amount Paid	Yes
0192	Benefit Payment Issue Date	Yes

VI. Steps for further editing are as follows:

- A. Check for any Variable Segment DNs listed in the Change Variable Segment, then reject the transaction with error 123 (Invalid Change Reason Code Relationship) and process the next DN; otherwise, continue to the next step.
- B. Loop through each DN that is in the Change Variable Segment that is not a counter DN.
 1. If the DN0413 (Change Reason Code) is 'R' (Remove) and there is a value in the DN, then reject the transaction with error 123 (Invalid Change Reason Code Relationship) with additional message "<DN#> being removed has values, blank required" and process the next DN.
 2. Find the Reportable Change Code for that DN and the Change Reason Code. If the Reportable Change Code is 'B' or 'N', then reject the transaction with error 123 (Invalid Change Reason Code Relationship) and process the next DN; otherwise, continue to the next step.
 3. If the Reportable Change Code is 'I' or 'IG', then continue to the next step. The Board is not going to reject the transaction if it receives the change on both the FROI and SROI unless the second transaction has no other recognizable change identified.
 4. If the Reportable Change Code is 'J' or 'JG', then check if a SROI has already been received. If this is a FROI-02 transaction, then reject the transaction with the appropriate error message and process the next DN; otherwise, continue to the next step. Note: New York does not have this Reportable Change Code listed on the Element Requirement Table at this time.
 5. If the Reportable Change Code is 'K' or 'KG', then check if this is a SROI-02 transaction, then reject the transaction with the appropriate error message and process the next DN; otherwise, continue to the next step.

(continued on page 7)

Steps for Further Editing

- Loop through each DN that is in the Change Variable Segment that is **not** a counter DN.
- 6. The Severity Code of MTC 02 (02 Requirement) and current Severity Code from the previously accepted MTC (as defined under Non-Variable Segment DNs, page 2) will be applied here.
- 7. Apply all Population Restrictions defined for this DN/MTC and reject the transaction with the appropriate error message; otherwise, continue to the next step.

NYS FROI/SROI-02 transaction processing

6. The Severity Code of MTC 02 (02 Requirement) and current Severity Code from the previously accepted MTC (as defined under *Non-Variable Segment DNs*, page 2) will be applied here.
7. Apply all Population Restrictions defined for this DN/MTC and reject the transaction with the appropriate error message; otherwise, continue to the next step.
8. If DN0413 (Change Reason Code) is 'A' (Add) or 'U' (Update) and the value in the 02-Change transaction for the DNs (non-Variable Segment) listed in the Change Variable Segment is blank or null, then reject the transaction with error 001 (Mandatory field not present) and process the next DN; otherwise, continue to the next step.
- C. Loop through each counter DN in the Change Variable Segment.
 1. Apply the appropriate Population Restriction(s) on the counter DN.
 2. If the counter DN is for a Narrative Variable Segment that includes the following DNs: DN0038 (Accident/Injury Description Narrative), DN0197 (Denial Reason Narrative), DN0233 (Suspension Narrative), and DN0431 (Narrative for Claim), there will be an exception to the rule regarding how 'D' is used. Rather than expecting Change Reason Code 'D' only for situations where any Variable Segment is removed, the Board should expect 'D' for these only when all Variable Segments are removed. When the following DNs: DN0274 (Number of Accident/Injury Description Narrative), DN0276 (Number of Denial Reason Narrative), DN0287 (Number of Suspension Narrative) and DN0430 (Number of Narrative for Claim) are in the Change Variable Segment, then:
 - a. If the Change Reason Code is 'A' or 'U', then the value of the DNs must be greater than zero. If the Change Reason Code is 'A' or 'U' and the value of the Number of Narrative DNs is zero, then the transaction will be rejected with error 123 (Invalid Change Reason Code Relationship) with the following additional message: "*DNF of number of- must be > 0 when Change Reason Code is A/U*."
 - b. If the Change Reason Code is 'D', then the value of the Number of Narrative DNs must be zero. If the Change Reason Code is 'D' and the value of the Number of Narrative DNs is not zero, then the transaction will be rejected with error 123 (Invalid Change Reason Code Relationship) with the following additional message: "*DNF of number of- must be = 0 when Change Reason Code is D*."
 3. For these Number of Narrative DNs, the Board will be using the Reportable Change Code of the DN within the Variable Segment for the edit: DN0038 (Accident/Injury Description Narrative), DN0197 (Denial Reason Narrative), DN0233 (Suspension Narrative), and DN0431 (Narrative for Claim). The Board will edit them using the same approach as stated above (see page 6, VI, section B).
- D. For all other counter DNs that are not for Narrative segment DNs, then:
 1. If the DN0413 (Change Reason Code) is 'A' (Add) or 'U' (Update), the Variable Segment counter DNs listed in the Change Variable Segment must have more than zero segments. If not, then reject the transaction with error 123 (Invalid Change Reason Code Relationship) with the following additional message: "*DNF of number of- value must be > 0 since DN0413-A/U*."
 2. If the DN0413 (Change Reason Code) is 'A', then the value in the 'Number of' DNs must be greater than the previously accepted transaction. If not, then the transaction will be rejected with error 123 (Invalid Change Reason Code Relationship) with the following additional message: "*DNF of number of- value is < expected since DN0413-A*."
 3. If the DN0413 (Change Reason Code) is 'U', then the value in the 'Number of' DNs must be equal to the previously accepted transaction. If not, then the transaction will be rejected with error 123 (Invalid Change Reason Code Relationship) with the following additional message: "*DNF of number of- value is other than expected since DN0413-U*."

(continued on page 8)

Steps for Further Editing

- Loop through each DN that is in the Change Variable Segment that is **not** a counter DN.

8. If DN0413 (Change Reason Code) is 'A' (Add) or 'U' (Update) and the value in the 02-Change transaction for the DNs (non-Variable Segment) listed in the Change Variable Segment is blank or null, then reject the transaction with error 001 (Mandatory field not present) and process the next DN; otherwise, continue to the next step.

NYS FROI/SROI-02 transaction processing

6. The Severity Code of MTC 02 (02 Requirement) and current Severity Code from the previously accepted MTC (as defined under *Non-Variable Segment DNs*, page 2) will be applied here.
7. Apply all Population Restrictions defined for this DN/MTC and reject the transaction with the appropriate error message; otherwise, continue to the next step.
8. If DN0413 (Change Reason Code) is 'A' (Add) or 'U' (Update) and the value in the 02-Change transaction for the DNs (non-Variable Segment) listed in the Change Variable Segment is blank or null, then reject the transaction with error 001 (Mandatory field not present) and process the next DN; otherwise, continue to the next step.

C. Loop through each counter DN in the Change Variable Segment.

1. Apply the appropriate Population Restriction(s) on the counter DN.
 2. If the counter DN is for a Narrative Variable Segment that includes the following DNs: DN0038 (Accident/Injury Description Narrative), DN0197 (Denial Reason Narrative), DN0233 (Suspension Narrative), and DN0431 (Narrative for Claim), there will be an exception to the rule regarding how 'D' is used. Rather than expecting Change Reason Code 'D' only for situations where any Variable Segment is removed, the Board should expect 'D' for these only when all Variable Segments are removed. When the following DNs: DN0274 (Number of Accident/Injury Description Narrative), DN0276 (Number of Denial Reason Narrative), DN0287 (Number of Suspension Narrative) and DN0430 (Number of Narrative for Claim) are in the Change Variable Segment, then:
 - a. If the Change Reason Code is 'A' or 'U', then the value of the DNs must be greater than zero. If the Change Reason Code is 'A' or 'U' and the value of the Number of Narrative DNs is zero, then the transaction will be rejected with error 123 (Invalid Change Reason Code Relationship) with the following additional message: "*DN# of number of- must be > 0 when Change Reason Code is A/U*."
 - b. If the Change Reason Code is 'D', then the value of the Number of Narrative DNs must be zero. If the Change Reason Code is 'D' and the value of the Number of Narrative DNs is not zero, then the transaction will be rejected with error 123 (Invalid Change Reason Code Relationship) with the following additional message: "*DN# of number of- must be = 0 when Change Reason Code is D*."
 3. For these Number of Narrative DNs, the Board will be using the Reportable Change Code of the DN within the Variable Segment for the edit: DN0038 (Accident/Injury Description Narrative), DN0197 (Denial Reason Narrative), DN0233 (Suspension Narrative), and DN0431 (Narrative for Claim). The Board will edit them using the same approach as stated above (see page 6, VI, section B).
- D. For all other counter DNs that are not for Narrative segment DNs, then:
1. If the DN0413 (Change Reason Code) is 'A' (Add) or 'U' (Update), the Variable Segment counter DNs listed in the Change Variable Segment must have more than zero segments. If not, then reject the transaction with error 123 (Invalid Change Reason Code Relationship) with the following additional message: "*DN# of number of- value must be > 0 since DN0413-A/U*."
 2. If the DN0413 (Change Reason Code) is 'A', then the value in the 'Number of' DNs must be greater than the previously accepted transaction. If not, then the transaction will be rejected with error 123 (Invalid Change Reason Code Relationship) with the following additional message: "*DN# of number of- value is < expected since DN0413-A*."
 3. If the DN0413 (Change Reason Code) is 'U', then the value in the 'Number of' DNs must be equal to the previously accepted transaction. If not, then the transaction will be rejected with error 123 (Invalid Change Reason Code Relationship) with the following additional message: "*DN# of number of- value is other than expected since DN0413-U*."

(continued on page 8)

Steps for Further Editing

■ Loop through each counter DN in the Change Variable Segment.

1. Apply the appropriate Population Restriction(s) on the counter DN.
2. If Counter DN is for a Narrative Variable Segment there are some exceptions as noted in the NYS FROI/SROI-02 transaction processing reference document.

NYS FROI/SROI-02 transaction processing

6. The Severity Code of MTC 02 (02 Requirement) and current Severity Code from the previously accepted MTC (as defined under *Non-Variable Segment DNs*, page 2) will be applied here.
7. Apply all Population Restrictions defined for this DN/MTC and reject the transaction with the appropriate error message; otherwise, continue to the next step.
8. If DN0413 (Change Reason Code) is 'A' (Add) or 'U' (Update) and the value in the 02-Change transaction for the DNs (non-Variable Segment) listed in the Change Variable Segment is blank or null, then reject the transaction with error 001 (Mandatory field not present) and process the next DN; otherwise, continue to the next step.

C. Loop through each counter DN in the Change Variable Segment.

1. Apply the appropriate Population Restriction(s) on the counter DN.
2. If the counter DN is for a Narrative Variable Segment that includes the following DNs: DN0038 (Accident/Injury Description Narrative), DN0197 (Denial Reason Narrative), DN0233 (Suspension Narrative), and DN0431 (Narrative for Claim), there will be an exception to the rule regarding how 'D' is used. Rather than expecting Change Reason Code 'D' only for situations where any Variable Segment is removed, the Board should expect 'D' for these only when all Variable Segments are removed. When the following DNs: DN0274 (Number of Accident/Injury Description Narrative), DN0276 (Number of Denial Reason Narrative), DN0287 (Number of Suspension Narrative) and DN0430 (Number of Narrative for Claim) are in the Change Variable Segment, then:
 - a. If the Change Reason Code is 'A' or 'U', then the value of the DNs must be greater than zero. If the Change Reason Code is 'A' or 'U' and the value of the Number of Narrative DNs is zero, then the transaction will be rejected with error 123 (Invalid Change Reason Code Relationship) with the following additional message: "*DN# of number of- must be > 0 when Change Reason Code is A/U*."
 - b. If the Change Reason Code is 'D', then the value of the Number of Narrative DNs must be zero. If the Change Reason Code is 'D' and the value of the Number of Narrative DNs is not zero, then the transaction will be rejected with error 123 (Invalid Change Reason Code Relationship) with the following additional message: "*DN# of number of- must be = 0 when Change Reason Code is D*."
 - c. For these Number of Narrative DNs, the Board will be using the Reportable Change Code of the DN within the Variable Segment for the edit: DN0038 (Accident/Injury Description Narrative), DN0197 (Denial Reason Narrative), DN0233 (Suspension Narrative), and DN0431 (Narrative for Claim). The Board will edit them using the same approach as stated above (see page 6, VI, section B).

D. For all other counter DNs that are not for Narrative segment DNs, then:

1. If the DN0413 (Change Reason Code) is 'A' (Add) or 'U' (Update), the Variable Segment counter DNs listed in the Change Variable Segment must have more than zero segments. If not, then reject the transaction with error 123 (Invalid Change Reason Code Relationship) with the following additional message: "*DN# of number of- value must be > 0 since DN0413-A/U*."
2. If the DN0413 (Change Reason Code) is 'A', then the value in the 'Number of' DNs must be greater than the previously accepted transaction. If not, then the transaction will be rejected with error 123 (Invalid Change Reason Code Relationship) with the following additional message: "*DN# of number of- value is < expected since DN0413-A*."
3. If the DN0413 (Change Reason Code) is 'U', then the value in the 'Number of' DNs must be equal to the previously accepted transaction. If not, then the transaction will be rejected with error 123 (Invalid Change Reason Code Relationship) with the following additional message: "*DN# of number of- value is other than expected since DN0413-U*."

(continued on page 8)

Steps for Further Editing

- For all other counter DN's that are not for Narrative segment DN's, then:

1. If the DN0413 (Change Reason Code) is 'A' (Add) or 'U' (Update), the Variable Segment counter DN's listed in the Change Variable Segment must have more than zero segments.

If not, then reject the transaction with error 123 (Invalid Change Reason Code Relationship) with the following additional message: "value must be > 0 since DN0413=A/U."

NYS FROI/SROI-02 transaction processing

6. The Severity Code of MTC 02 (02 Requirement) and current Severity Code from the previously accepted MTC (as defined under *Non-Variable Segment DN's*, page 2) will be applied here.
 7. Apply all Population Restrictions defined for this DN/MTC and reject the transaction with the appropriate error message; otherwise, continue to the next step.
 8. If DN0413 (Change Reason Code) is 'A' (Add) or 'U' (Update) and the value in the 02-Change transaction for the DN's (non-Variable Segment) listed in the Change Variable Segment is blank or null, then reject the transaction with error 001 (Mandatory field not present) and process the next DN; otherwise, continue to the next step.
- C. Loop through each counter DN in the Change Variable Segment.
1. Apply the appropriate Population Restriction(s) on the counter DN.
 2. If the counter DN is for a Narrative Variable Segment that includes the following DN's: DN0038 (Accident/Injury Description Narrative), DN0197 (Denial Reason Narrative), DN0233 (Suspension Narrative), and DN0431 (Narrative for Claim), there will be an exception to the rule regarding how 'D' is used. Rather than expecting Change Reason Code 'D' only for situations where any Variable Segment is removed, the Board should expect 'D' for these only when all Variable Segments are removed. When the following DN's: DN0274 (Number of Accident/Injury Description Narrative), DN0276 (Number of Denial Reason Narrative), DN0287 (Number of Suspension Narrative) and DN0430 (Number of Narrative for Claim) are in the Change Variable Segment, then:
 - a. If the Change Reason Code is 'A' or 'U', then the value of the DN's must be greater than zero. If the Change Reason Code is 'A' or 'U' and the value of the Number of Narrative DN's is zero, then the transaction will be rejected with error 123 (Invalid Change Reason Code Relationship) with the following additional message: "<DN# of number of> must be > 0 when Change Reason Code is A/U."
 - b. If the Change Reason Code is 'D', then the value of the Number of Narrative DN's must be zero. If the Change Reason Code is 'D' and the value of the Number of Narrative DN's is not zero, then the transaction will be rejected with error 123 (Invalid Change Reason Code Relationship) with the following additional message: "<DN# of number of> must be = 0 when Change Reason Code is D."
 3. For these Number of Narrative DN's, the Board will be using the Reportable Change Code of the DN within the Variable Segment for the edit: DN0038 (Accident/Injury Description Narrative), DN0197 (Denial Reason Narrative), DN0233 (Suspension Narrative), and DN0431 (Narrative for Claim). The Board will edit them using the same approach as stated above (see page 6, VI, section B).
- D. For all other counter DN's that are not for Narrative segment DN's, then:
1. If the DN0413 (Change Reason Code) is 'A' (Add) or 'U' (Update), the Variable Segment counter DN's listed in the Change Variable Segment must have more than zero segments. If not, then reject the transaction with error 123 (Invalid Change Reason Code Relationship) with the following additional message: "<DN# of number of> value must be > 0 since DN0413=A/U."
 2. If the DN0413 (Change Reason Code) is 'A', then the value in the 'Number of' DN's must be greater than the previously accepted transaction. If not, then the transaction will be rejected with error 123 (Invalid Change Reason Code Relationship) with the following additional message: "<DN# of number of> value is < expected since DN0413=A."
 3. If the DN0413 (Change Reason Code) is 'U', then the value in the 'Number of' DN's must be equal to the previously accepted transaction. If not, then the transaction will be rejected with error 123 (Invalid Change Reason Code Relationship) with the following additional message: "<DN# of number of> value is other than expected since DN0413=U."

(continued on page 8)

Steps for Further Editing

- For all other counter DN's that are not for Narrative segment DN's, then:

2. If the DN0413 (Change Reason Code) is 'A', then the value in the 'Number of' DN's must be greater than the previously accepted transaction.

If not, then the transaction will be rejected with error 123 (Invalid Change Reason Code Relationship) with the following additional message: "value is < expected since DN0413=A."

NYS FROI/SROI-02 transaction processing

6. The Severity Code of MTC 02 (02 Requirement) and current Severity Code from the previously accepted MTC (as defined under *Non-Variable Segment DN's*, page 2) will be applied here.
 7. Apply all Population Restrictions defined for this DN/MTC and reject the transaction with the appropriate error message; otherwise, continue to the next step.
 8. If DN0413 (Change Reason Code) is 'A' (Add) or 'U' (Update) and the value in the 02-Change transaction for the DN's (non-Variable Segment) listed in the Change Variable Segment is blank or null, then reject the transaction with error 001 (Mandatory field not present) and process the next DN; otherwise, continue to the next step.
- C. Loop through each counter DN in the Change Variable Segment.
1. Apply the appropriate Population Restriction(s) on the counter DN.
 2. If the counter DN is for a Narrative Variable Segment that includes the following DN's: DN0038 (Accident/Injury Description Narrative), DN0197 (Denial Reason Narrative), DN0233 (Suspension Narrative), and DN0431 (Narrative for Claim), there will be an exception to the rule regarding how 'D' is used. Rather than expecting Change Reason Code 'D' only for situations where any Variable Segment is removed, the Board should expect 'D' for these only when all Variable Segments are removed. When the following DN's: DN0274 (Number of Accident/Injury Description Narrative), DN0276 (Number of Denial Reason Narrative), DN0287 (Number of Suspension Narrative) and DN0430 (Number of Narrative for Claim) are in the Change Variable Segment, then:
 - a. If the Change Reason Code is 'A' or 'U', then the value of the DN's must be greater than zero. If the Change Reason Code is 'A' or 'U' and the value of the Number of Narrative DN's is zero, then the transaction will be rejected with error 123 (Invalid Change Reason Code Relationship) with the following additional message: "<DNF of number of- must be > 0 when Change Reason Code is A/U."
 - b. If the Change Reason Code is 'D', then the value of the Number of Narrative DN's must be zero. If the Change Reason Code is 'D' and the value of the Number of Narrative DN's is not zero, then the transaction will be rejected with error 123 (Invalid Change Reason Code Relationship) with the following additional message: "<DNF of number of- must be = 0 when Change Reason Code is D."
 3. For these Number of Narrative DN's, the Board will be using the Reportable Change Code of the DN within the Variable Segment for the edit: DN0038 (Accident/Injury Description Narrative), DN0197 (Denial Reason Narrative), DN0233 (Suspension Narrative), and DN0431 (Narrative for Claim). The Board will edit them using the same approach as stated above (see page 6, VI, section B).
- D. For all other counter DN's that are not for Narrative segment DN's, then:
1. If the DN0413 (Change Reason Code) is 'A' (Add) or 'U' (Update), the Variable Segment counter DN's listed in the Change Variable Segment must have more than zero segments. If not, then reject the transaction with error 123 (Invalid Change Reason Code Relationship) with the following additional message: "<DNF of number of- value must be > 0 since DN0413=A/U."
 2. If the DN0413 (Change Reason Code) is 'A', then the value in the 'Number of' DN's must be greater than the previously accepted transaction. If not, then the transaction will be rejected with error 123 (Invalid Change Reason Code Relationship) with the following additional message: "<DNF of number of- value is < expected since DN0413=A."
 3. If the DN0413 (Change Reason Code) is 'U', then the value in the 'Number of' DN's must be equal to the previously accepted transaction. If not, then the transaction will be rejected with error 123 (Invalid Change Reason Code Relationship) with the following additional message: "<DNF of number of- value is other than expected since DN0413=U."

(continued on page 8)

Steps for Further Editing

- For all other counter DN's that are not for Narrative segment DN's, then:

3. If the DN0413 (Change Reason Code) is 'U', then the value in the 'Number of' DN's must be equal to the previously accepted transaction.

If not, then the transaction will be rejected with error 123 (Invalid Change Reason Code Relationship) with the following additional message: "value is other than expected since DN0413=U."

NYS FROI/SROI-02 transaction processing

6. The Severity Code of MTC 02 (02 Requirement) and current Severity Code from the previously accepted MTC (as defined under *Non-Variable Segment DN's*, page 2) will be applied here.
 7. Apply all Population Restrictions defined for this DN/MTC and reject the transaction with the appropriate error message; otherwise, continue to the next step.
 8. If DN0413 (Change Reason Code) is 'A' (Add) or 'U' (Update) and the value in the 02-Change transaction for the DN's (non-Variable Segment) listed in the Change Variable Segment is blank or null, then reject the transaction with error 001 (Mandatory field not present) and process the next DN; otherwise, continue to the next step.
- C. Loop through each counter DN in the Change Variable Segment.
1. Apply the appropriate Population Restriction(s) on the counter DN.
 2. If the counter DN is for a Narrative Variable Segment that includes the following DN's: DN0038 (Accident/Injury Description Narrative), DN0197 (Denial Reason Narrative), DN0233 (Suspension Narrative), and DN0431 (Narrative for Claim), there will be an exception to the rule regarding how 'D' is used. Rather than expecting Change Reason Code 'D' only for situations where any Variable Segment is removed, the Board should expect 'D' for these only when all Variable Segments are removed. When the following DN's: DN0274 (Number of Accident/Injury Description Narrative), DN0276 (Number of Denial Reason Narrative), DN0287 (Number of Suspension Narrative) and DN0430 (Number of Narrative for Claim) are in the Change Variable Segment, then:
 - a. If the Change Reason Code is 'A' or 'U', then the value of the DN's must be greater than zero. If the Change Reason Code is 'A' or 'U' and the value of the Number of Narrative DN's is zero, then the transaction will be rejected with error 123 (Invalid Change Reason Code Relationship) with the following additional message: "*DN# of number of- must be > 0 when Change Reason Code is A/U*."
 - b. If the Change Reason Code is 'D', then the value of the Number of Narrative DN's must be zero. If the Change Reason Code is 'D' and the value of the Number of Narrative DN's is not zero, then the transaction will be rejected with error 123 (Invalid Change Reason Code Relationship) with the following additional message: "*DN# of number of- must be = 0 when Change Reason Code is D*."
 3. For these Number of Narrative DN's, the Board will be using the Reportable Change Code of the DN within the Variable Segment for the edit: DN0038 (Accident/Injury Description Narrative), DN0197 (Denial Reason Narrative), DN0233 (Suspension Narrative), and DN0431 (Narrative for Claim). The Board will edit them using the same approach as stated above (see page 6, VI, section B).
- D. For all other counter DN's that are not for Narrative segment DN's, then:
1. If the DN0413 (Change Reason Code) is 'A' (Add) or 'U' (Update), the Variable Segment counter DN's listed in the Change Variable Segment must have more than zero segments. If not, then reject the transaction with error 123 (Invalid Change Reason Code Relationship) with the following additional message: "*DN# of number of- value must be > 0 since DN0413=A/U*."
 2. If the DN0413 (Change Reason Code) is 'A', then the value in the 'Number of' DN's must be greater than the previously accepted transaction. If not, then the transaction will be rejected with error 123 (Invalid Change Reason Code Relationship) with the following additional message: "*DN# of number of- value is < expected since DN0413=A*."
 3. If the DN0413 (Change Reason Code) is 'U', then the value in the 'Number of' DN's must be equal to the previously accepted transaction. If not, then the transaction will be rejected with error 123 (Invalid Change Reason Code Relationship) with the following additional message: "*DN# of number of- value is other than expected since DN0413=U*."

(continued on page 8)

Steps for Further Editing

- For all other counter DN's that are not for Narrative segment DN's, then:

4. If the DN0413 (Change Reason Code) is 'D', then the value in the 'Number of' DN's must be less than the previously accepted transaction.

If not, then the transaction will be rejected with error 123 (Invalid Change Reason Code Relationship) with the following additional message: "value is > expected since DN0413=D."

eClaims Summary: EDI R3 to R3.1 Table Changes

4. If the DN0413 (Change Reason Code) is 'D', then the value in the 'Number of' DN's must be less than the previously accepted transaction. If not, then the transaction will be rejected with error 123 (Invalid Change Reason Code Relationship) with the following additional message: "<DN#> of number of- value is > expected since DN0413=D."

5. If the Number of DN's listed in DN0412 (Change Data Element) of the Change Variable Segment belongs to a Variable Segment that does not allow any change on any DN's of that Variable Segment, regardless of what the 02 Requirement Code is for that DN (i.e., 1) for Change Reason Code of 'A'; Reportable Change Code of 'B' or 'N' for all DN's, or 2) for Change Reason Code of 'U'; Reportable Change Code of 'B' or 'N' for all DN's, or 3) for Change Reason Code of 'D'; Reportable Change Code of 'B' or 'N' for all DN's, then the transaction will be rejected with error 123 (Invalid Change Reason Code Relationship) with the following additional message: "<DN#> is not allowed for the change reason code <change reason code>."

Examples:

- This applies to A/U/D/R for DN0411 (Number of Changed Data Element) and DN0434 (Number of Cancel Elements).
- This applies to A/D/R for DN0283 (Number of Payments).

6. The Severity Code of MTC 02 (02 Requirement) and current Severity Code from the previously accepted MTC (as defined on page 3, Variable Segment DN's) will be applied to each DN in the Variable Segment.

7. Apply all Population Restrictions defined for each DN in the Variable Segment/MTC. If any of the Population Restrictions fail, then reject the transaction with the appropriate error message; otherwise, continue to the next step.

4. How to determine what DN values to use for cross editing

- i. Per the *IAIABC's Claims Release 3.1 Implementation Guide*, Section 4 Change 02 Rules Considerations for Requiring an 02 Change Rule #3, data not included in the 02 Change Variable Segment will not be used to apply cross edits to the data being changed on the 02-Change itself. The Implied/Usable DN on the 02-Change being processed will be used for editing.
- ii. Otherwise, for all editing (including incoming 02 transactions that need DN values from the database instead of 02 being processed) that requires the most recently accepted transaction, get the most recent accepted transaction for Lead/Link FROI Number that does not have Severity Code of 'NA', 'X' on MTCs other than 02 that were received on or after implementation of R3.1. For R3.1 02s, use Implied/Usable DN.

For more information, see the [eClaims Implementation Guide](#) and the [New York Requirement Tables](#).



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Steps for Further Editing

- For all other counter DN's that are not for Narrative segment DN's, then:

5. If the 'Number of' DN's listed in DN0412 (Change Data Element) of the Change Variable Segment belongs to a Variable Segment that does not allow any change on any DN's of that Variable Segment, regardless of what the 02 Requirement Code is for that DN (i.e., 1) for Change Reason Code of 'A', Reportable Change Code of 'B' or 'N' for all DN's, or 2) for Change Reason Code of 'U', Reportable Change Code of 'B' or 'N' for all DN's, or 3) for Change Reason Code of 'D', Reportable Change Code of 'B' or 'N' for all DN's), then the transaction will be rejected with error 123 (Invalid Change Reason Code Relationship) with the following additional message: "is not allowed for the change reason code."

eClaims Summary: EDI R3 to R3.1 Table Changes

4. If the DN0413 (Change Reason Code) is 'D', then the value in the 'Number of' DN's must be less than the previously accepted transaction. If not, then the transaction will be rejected with error 123 (Invalid Change Reason Code Relationship) with the following additional message: "<DN# of number of> value is > expected since DN0413-D."

5. If the Number of DN's listed in DN0412 (Change Data Element) of the Change Variable Segment belongs to a Variable Segment that does not allow any change on any DN's of that Variable Segment, regardless of what the 02 Requirement Code is for that DN (i.e., 1) for Change Reason Code of 'A', Reportable Change Code of 'B' or 'N' for all DN's, or 2) for Change Reason Code of 'U', Reportable Change Code of 'B' or 'N' for all DN's, or 3) for Change Reason Code of 'D', Reportable Change Code of 'B' or 'N' for all DN's), then the transaction will be rejected with error 123 (Invalid Change Reason Code Relationship) with the following additional message: "<DN#> is not allowed for the change reason code <change reason code>".
Examples:

• This applies to A/I/D/R for DN0411 (Number of Changed Data Element) and DN0434 (Number of Cancel Elements).

• This applies to A/D/R for DN0283 (Number of Payments).

6. The Severity Code of MTC 02 (02 Requirement) and current Severity Code from the previously accepted MTC (as defined on page 3, Variable Segment DN's) will be applied to each DN in the Variable Segment.

7. Apply all Population Restrictions defined for each DN in the Variable Segment/MTC. If any of the Population Restrictions fail, then reject the transaction with the appropriate error message; otherwise, continue to the next step.

4. How to determine what DN values to use for cross editing

1. Per the *IAABC's Claims Release 3.1 Implementation Guide*, Section 4 Change 02 Rules Considerations for Requiring an 02 Change Rule #3, data not included in the 02 Change Variable Segment will not be used to apply cross edits to the data being changed on the 02-Change itself. The Implied/Usable DN on the 02-Change being processed will be used for editing.
2. Otherwise, for all editing (including incoming 02 transactions that need DN values from the database instead of 02 being processed) that requires the most recently accepted transaction, get the most recent accepted transaction for Lead/Link FROI Number that does not have Severity Code of 'NA', 'X' on MTCs other than 02 that were received on or after implementation of R3.1. For R3.1 02s, use Implied/Usable DN.

For more information, see the [eClaims Implementation Guide](#) and the [New York Requirement Tables](#).



Steps for Further Editing

- For all other counter DN's that are not for Narrative segment DN's, then:
 - Examples:
 - This applies to A/U/D/R for DN0411 (Number of Changed Data Element) and DN0434 (Number of Cancel Elements).
 - This applies to A/D/R for DN0283 (Number of Payments).

eClaims Summary: EDI R3 to R3.1 Table Changes

4. If the DN0413 (Change Reason Code) is 'D', then the value in the 'Number of' DN's must be less than the previously accepted transaction. If not, then the transaction will be rejected with error 123 (Invalid Change Reason Code Relationship) with the following additional message: "<DN# of number of> value is > expected since DN0413-D."
5. If the 'Number of' DN's listed in DN0412 (Change Data Element) of the Change Variable Segment belongs to a Variable Segment that does not allow any change on any DN's of that Variable Segment, regardless of what the 02 Requirement Code is for that DN (i.e., 1) for Change Reason Code of 'A'; Reportable Change Code of 'B' or 'N' for all DN's, or 2) for Change Reason Code of 'U'; Reportable Change Code of 'B' or 'N' for all DN's, or 3) for Change Reason Code of 'D'; Reportable Change Code of 'B' or 'N' for all DN's, then the transaction will be rejected with error 123 (Invalid Change Reason Code Relationship) with the following additional message: "<DN#> is not allowed for the change reason code <change reason code>."

Examples:

- This applies to A/U/D/R for DN0411 (Number of Changed Data Element) and DN0434 (Number of Cancel Elements).
- This applies to A/D/R for DN0283 (Number of Payments).

6. The Severity Code of MTC 02 (02 Requirement) and current Severity Code from the previously accepted MTC (as defined on page 3, Variable Segment DN's) will be applied to each DN in the Variable Segment.
7. Apply all Population Restrictions defined for each DN in the Variable Segment/MTC. If any of the Population Restrictions fail, then reject the transaction with the appropriate error message; otherwise, continue to the next step.

4. How to determine what DN values to use for cross editing

- I. Per the IAIABC's Claims Release 3.1 Implementation Guide, Section 4 Change 02 Rules Considerations for Requiring an 02 Change Rule #3, data not included in the 02 Change Variable Segment will not be used to apply cross edits to the data being changed on the 02-Change itself. The Implied/Usable DN on the 02-Change being processed will be used for editing.
- II. Otherwise, for all editing (including incoming 02 transactions that need DN values from the database instead of 02 being processed) that requires the most recently accepted transaction, get the most recent accepted transaction for Lead/Link FROI Number that does not have Severity Code of 'NA', 'X' on MTCs other than 02 that were received on or after implementation of R3.1. For R3.1 02s, use Implied/Usable DN.

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Steps for Further Editing

- For all other counter DN's that are not for Narrative segment DN's, then:

6. The Severity Code of MTC 02 (02 Requirement) and current Severity Code from the previously accepted MTC (as defined on page 3, Variable Segment DN's) will be applied to each DN in the Variable Segment.
7. Apply all Population Restrictions defined for each DN in the Variable Segment/MTC.

If any of the Population Restrictions fail, then reject the transaction with the appropriate error message; otherwise, continue to the next step.

eClaims Summary: EDI R3 to R3.1 Table Changes

4. If the DN0413 (Change Reason Code) is 'D', then the value in the 'Number of' DN's must be less than the previously accepted transaction. If not, then the transaction will be rejected with error 123 (Invalid Change Reason Code Relationship) with the following additional message: "<DN# of number of> value is > expected since DN0413-D".
5. If the 'Number of' DN's listed in DN0412 (Change Data Element) of the Change Variable Segment belongs to a Variable Segment that does not allow any change on any DN's of that Variable Segment, regardless of what the 02 Requirement Code is for that DN (i.e., 1) for Change Reason Code of 'A'; Reportable Change Code of 'B' or 'N' for all DN's, or 2) for Change Reason Code of 'U'; Reportable Change Code of 'B' or 'N' for all DN's, or 3) for Change Reason Code of 'D'; Reportable Change Code of 'B' or 'N' for all DN's, then the transaction will be rejected with error 123 (Invalid Change Reason Code Relationship) with the following additional message: "<DN#> is not allowed for the change reason code <change reason code>".
Examples:
 - This applies to A/U/D/R for DN0411 (Number of Changed Data Element) and DN0434 (Number of Cancel Elements).
 - This applies to A/D/R for DN0283 (Number of Payments).
6. The Severity Code of MTC 02 (02 Requirement) and current Severity Code from the previously accepted MTC (as defined on page 3, Variable Segment DN's) will be applied to each DN in the Variable Segment.
7. Apply all Population Restrictions defined for each DN in the Variable Segment/MTC. If any of the Population Restrictions fail, then reject the transaction with the appropriate error message; otherwise, continue to the next step.

4. How to determine what DN values to use for cross editing

- I. Per the *IAIABC's Claims Release 3.1 Implementation Guide*, Section 4 Change 02 Rules Considerations for Requiring an 02 Change Rule #3, data not included in the 02 Change Variable Segment will not be used to apply cross edits to the data being changed on the 02-Change itself. The Implied/Usable DN on the 02-Change being processed will be used for editing.
- II. Otherwise, for all editing (including incoming 02 transactions that need DN values from the database instead of 02 being processed) that requires the most recently accepted transaction, get the most recent accepted transaction for Lead/Link FROI Number that does not have Severity Code of 'NA', 'X' on MTC's other than 02 that were received on or after implementation of R3.1. For R3.1 02's, use Implied/Usable DN.

For more information, see the [eClaims Implementation Guide](#) and the [New York Requirement Tables](#).



Determining DN Values for Cross Editing

- IAIABC's Claims Release 3.1 Implementation Guide, Section 4 Change 02 Rules Considerations for Requiring an 02 Change Rule #3 refers to cross edits.
- For New York State processing the Board won't be able to understand what is changing if data is not included in the 02 Change Variable Segment and will not be used to apply cross edits to the data being changed on the 02-Change itself.
- The Implied/Usable DN on the 02-Change being processed will be used for editing.

eClaims Summary: EDI R3 to R3.1 Table Changes

4. If the DN0413 (Change Reason Code) is 'D', then the value in the 'Number of' DNs must be less than the previously accepted transaction. If not, then the transaction will be rejected with error 123 (Invalid Change Reason Code Relationship) with the following additional message: "<DN# of number of> value is > expected since DN0413-D."
5. If the 'Number of' DNs listed in DN0412 (Change Data Element) of the Change Variable Segment belongs to a Variable Segment that does not allow any change on any DNs of that Variable Segment, regardless of what the 02 Requirement Code is for that DN (i.e., 1) for Change Reason Code of 'A'; Reportable Change Code of 'B' or 'N' for all DNs, or 2) for Change Reason Code of 'U'; Reportable Change Code of 'B' or 'N' for all DNs, or 3) for Change Reason Code of 'D', Reportable Change Code of 'B' or 'N' for all DNs), then the transaction will be rejected with error 123 (Invalid Change Reason Code Relationship) with the following additional message: "<DN#> is not allowed for the change reason code <change reason code>." Examples:
 - This applies to A/U/D/R for DN0411 (Number of Changed Data Element) and DN0434 (Number of Cancel Elements).
 - This applies to A/D/R for DN0283 (Number of Payments).
6. The Severity Code of MTC 02 (02 Requirement) and current Severity Code from the previously accepted MTC (as defined on page 3, Variable Segment DNs) will be applied to each DN in the Variable Segment.
7. Apply all Population Restrictions defined for each DN in the Variable Segment/MTC. If any of the Population Restrictions fail, then reject the transaction with the appropriate error message; otherwise, continue to the next step.

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Determining DN Values for Cross Editing

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eClaims Summary: EDI R3 to R3.1 Table Changes

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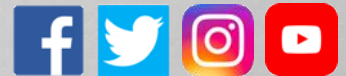
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