




**Workers'  
Compensation  
Board**



# eClaims May Webinar

# AGENDA

- 01  eClaims Recent News
- 02  eClaims Topics
- 03  EDI R3.1 eClaims Implementation Guide
- 04  Questions?

# eClaims Recent News



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# eClaims Recent News

## March 29, 2021

The March webinar slide deck is now available on the [eClaims Presentations page](#). The agenda for March's webinar included:

- Claims EDI R3.1 Update
- eClaims Recent News
- eClaims Topics:
  - WCIO Nature of Injury
  - Filing Suspensions Reminder
  - Claims EDI R3.1 Updated Documentation

## April 27, 2021

The EDI R3.1 eClaims [Implementation Guide](#) has been revised and posted on the Implementation Guide page. The updated Guide includes additional information in preparation for the Claims EDI R3.1 upgrade and been reformatted for Trading Partners' ease of use.

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# eClaims Topics



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# Claims EDI R3.0 Reminder

- As shared during the February eClaims Trading Partner webinar, the Claims EDI R3.0 FROI-UR event is effective July 6, 2021.
  - This event was published in the Claims EDI R3.0 Change Log (NYS eClaims Change Log) on January 6, 2021, as item #402 and is for medical only cases.
  - A 00 is acceptable in lieu of this UR, and this event will carry over to the NYS R3.1 Event Table.

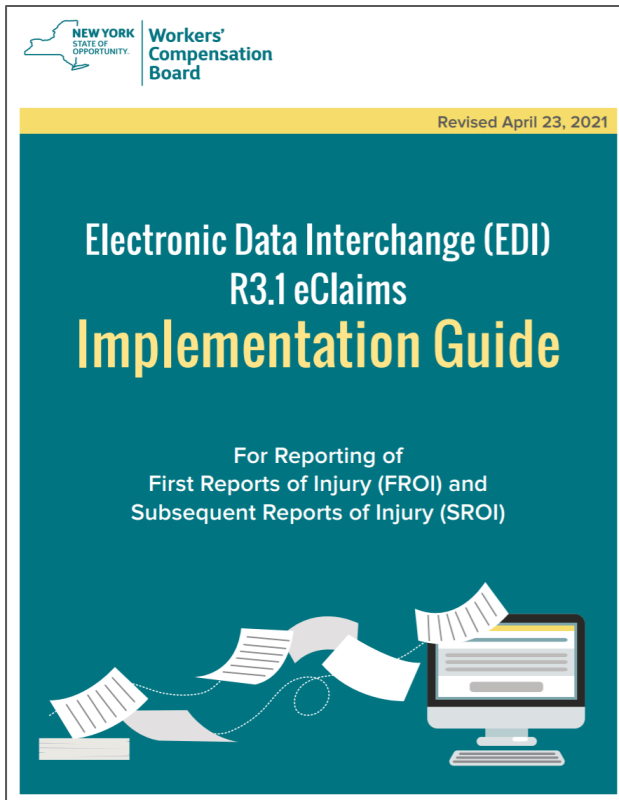
# Updated Claims EDI R3.1 Documents



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# EDI R3.1 eClaims Implementation Guide

- As posted in Revised Subject Number 046-1319, the implementation date for the Claims EDI R3.1 upgrade has been changed to January 24, 2022.
- The EDI R3.1 eClaims Implementation Guide was updated on April 23, 2021.





# Data Preparation and Testing

- The Board will conduct Claims EDI R3.1 testing with trading partners from October 12, 2021, to January 14, 2022.
- It is recommended that trading partners become familiar with the IAIABC Claims EDI R3.1 standard.
- It is also highly recommended that trading partners attend at least one training held by the IAIABC. Information about these trainings can be found on the [IAIABC EDI training site](#).

EDI R3.1 eClaims Implementation Guide

### 3 eClaims Data Preparation and Testing

This section discusses the eClaims technical requirements for submitting data via EDI and the test plan that all trading partners must complete to be approved to submit data electronically.

#### 3.1 eClaims Implementation Plan and Training

The Board will conduct R3.1 testing with trading partners from October 12, 2021, to January 14, 2022.

It is recommended that trading partners become familiar with the IAIABC Claims EDI R3.1 standard. It is also highly recommended that the trading partner attend at least one training held by the IAIABC. Information about these trainings can be found on the [IAIABC EDI training site](#).

##### 3.1.1 eClaims Contact Information and Resources

**Questions about eClaims:**  
Email: [eClaims31@wcb.ny.gov](mailto:eClaims31@wcb.ny.gov)

**IAIABC Resources:**  
[IAIABC EDI Release 3.1 Implementation Guide](#): Key technical resource for Claims EDI implementations. See [Claims Release 3.1.4 Implementation Guide and Supporting Documents](#) on the IAIABC website ([www.iaibc.org](http://www.iaibc.org)).

Note: There is a fee for the [IAIABC EDI Release 3.1 Implementation Guide](#) for those who are not IAIABC members. The [IAIABC EDI Release 3.1 Implementation Guide](#) cannot be distributed by the Board or other jurisdictions. It must be obtained from the IAIABC.

##### 3.1.2 Data Submission Options

Claim administrators have two options for the electronic submission of claim information to the Board:

- Flat file via a secure file transfer protocol (sFTP)
- eClaims web application

Claim administrators can use one or both options. Flat files can be submitted directly to the Board or through a vendor. Acknowledgement processing for flat file submissions will be completed daily.

The eClaims web application is primarily for low-volume files, but it is not limited solely to that group. Acknowledgement processing for submissions via the eClaims web application will be immediate.

#### 3.2 Data Files Delivery Technical Requirements

Trading partners and vendors must contact the Board to be set up as a submitter for EDI transmission. If the trading partner or vendor does not already have an sFTP user account with the Board, then they must contact the Board for instructions to get a new user account for upload and download access.

All submitters must successfully complete the test process using test data files before they can upload production data files to the sFTP server.

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# Test Plan for Flat Filers

- Section 3.7 of the Implementation Guide includes updated test plan information for flat filers.
- Required tests include:
  - **Test A:** Single Data File (FROIs only)
  - **Test B:** Two Data Files (FROIs and SROIs)
  - **Test C:** Single Data File (SROIs only)
  - **Test D:** One or Two Data Files (FROIs and/or SROIs as needed)
- During the testing period, the submitter uploads the test data file as specified to the Board's sFTP server.
- Once the file is uploaded to the sFTP server, the submitter must notify the Board Testing Coordinator ([eClaims-Support@wcb.ny.gov](mailto:eClaims-Support@wcb.ny.gov)) that the file has been uploaded.

**EDI R3.1 eClaims Implementation Guide**

**3.6.4 Forms Not Included in Forms Zip File**

The "paper forms" column in the NY FROI Event Table in the *NYS R3.1 Event Table* refers to two legacy paper forms that are not EDI forms. The *Statement of Rights (Form C-430S)* and the *Diagnostic Testing Network (Form DT-1)* will never be included in the Forms Zip file as they contain little or no EDI data. Claim administrators should continue to use existing procedures for obtaining/completing/mailing these forms to the parties. These forms can be found on the [Forms section](#) of the Board's website.

**3.7 Test Plan for Flat Filers**

The testing process includes a test plan made up of three mandatory tests and a fourth test that the submitter completes, as needed. All submitters must complete all mandatory tests described in this section to be approved to submit production data files. Completion of these tests ensures that the submitter has established connectivity with the Board and can handle all types of MTCs. Vendors do not have to repeat the test plan for every customer. Once a vendor is in production, there is no need to repeat the test plan when implementing additional clients for New York. The exception is if the customer is a pass-thru. Then they would need to test. Each test is listed below:

- 1. Test A:** Single Data File (FROIs only)
- 2. Test B:** Two Data Files (FROIs and SROIs)
- 3. Test C:** Single Data File (SROIs only)
- 4. Test D:** One or Two Data Files (FROIs and/or SROIs) (As Needed)

During the test period, the submitter uploads the test data file as specified in Test A to the Board's sFTP server. Once the file is uploaded to the sFTP server, the submitter must notify the Board Testing Coordinator ([eClaims-Support@wcb.ny.gov](mailto:eClaims-Support@wcb.ny.gov)) that the file has been uploaded.

In response to the submitter's email notification, the Board will process the test file and generate an Acknowledgement file on the sFTP server for the submitter to download. An acknowledgement to a test data file contains a 'T' in the Test/Production Indicator in the Header Record.

After the Board returns the results of each test, the submitter should submit their next test file within three business days.

**3.7.1 Basic Tests**

For all tests A through D, basic tests will be performed on the test data file to ensure that the file meets connectivity and file structure requirements. If a test data file is rejected during any test, the submitter is required to correct the test data file and resend it.

All test data files must consist of the following:

- Header Record (with the Test/Production indicator, DN 104, set to 'T')
- Trailer Record

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# Test Plan for Flat Filers

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## EDI R3.1 eClaims Implementation Guide

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- Header Record (with the Test/Production indicator, DN 104, set to "T")
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# Moving to Production

- The length of the testing period depends on the submitter's transaction acceptance rate and data quality.
- Key Claims EDI R3.0 to R3.1 Cutover Dates:
  - Claims EDI R3.0 flat file submissions can be submitted until 6 p.m. ET on Thursday, January 20, 2022.
  - Web Data Entry will be available until 5 p.m. ET on Friday, January 21, 2022.
  - eCase will be available until 5 p.m. ET on Friday, January 21, 2022.
  - Further information will be shared as available if any other online systems are affected.

EDI R3.1 eClaims Implementation Guide

### 3.8 Moving from Test Completion to Production Status

The length of the testing period depends on the submitter's transaction acceptance rate and data quality. Once the trading partner has met the data quality criteria as stated in the Test Plan, the Board will notify the trading partner by email that the trading partner has passed the tests and is approved for production Claims EDI reporting of workers' compensation FROI/SROI data with the Board on January 24, 2022.

All trading partners are expected to complete R3.1 testing and be ready to submit R3.1 production transactions on January 24, 2022. Any trading partner who submits through sFTP flat file and has not successfully passed sFTP testing by January 24, 2022, is strongly encouraged to utilize the eClaims Web Data Entry application to submit R3.1 production transactions, as this will eliminate the risk of rejected transactions.

While all trading partners will be placed into production for sFTP flat file and Web Data Entry on January 24, 2022, a testing environment will remain available for those sFTP filers who have not completed Test A, B, and C and wish to continue to test while in production for R3.1. Trading partners who wish to continue to submit test transactions should reach out to [eClaims31@wcb.ny.gov](mailto:eClaims31@wcb.ny.gov).

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- Further information will be shared as available if any other online systems are impacted.

### 3.9 Ongoing Monitoring

During production, the Board will monitor data transmissions for completeness, validity, and accuracy. Trading partners may be sent quality control reports that measure their data quality. Trading partners are expected to meet or exceed a 90% accuracy rate for transaction acceptance. The Board will automatically monitor the quality of the data received during production from individual trading partners. The data quality is determined based upon all error types and the number of rejections over a specified period of time.

Trading partner profiles must be kept up to date. The Board must be notified of any changes. If the transmission mode or specifications are changed, re-testing some or all transaction types may be required.

### 3.10 Migration from Claims EDI R3.0 to R3.1

The test period is a transition time for trading partners. During the test period, claim administrators will be transmitting their R3.1 FROI/SROI test data files to the Board, while at the same time, still submitting R3.0 transactions. When the Board migrates to R3.1, the "Flip the Switch" strategy will be used to complete the process. As New York develops the final migration plans, the Board will communicate to trading partners any transition window when the Board cuts over to R3.1 submissions.

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# Migration to Claims EDI R3.1

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- As New York develops the final migration plans, the Board will communicate any transition window to trading partners before the Board cuts over to Claims EDI R3.1 submissions.

## EDI R3.1 eClaims Implementation Guide

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# Web Data Entry Testing

## ■ Existing Claims EDI R3.0 Web Data Entry Trading Partners:

- Organizations that have already been approved to submit through the eClaims Web Data Entry application in Claims EDI R3.0 will be provided the opportunity to access a separate Claims EDI R3.1 test environment.
- This will allow users to gain experience and note any changes to the Web Data Entry application for Claims EDI R3.1.

## ■ New Trading Partners:

- New trading partners must participate in a formal testing process.
- The formal testing process includes a test plan made up of entering data into the Web Data Entry application.
- All submitters must complete all mandatory tests described in this section to be approved to submit production data files.

### EDI R3.1 eClaims Implementation Guide

#### 3.11 Use of Vendors

As part of the Board's eClaims migration, it will authorize experienced Claims EDI R3.1 vendors to submit claims data to the Board following satisfactory completion of testing with the Board.

The list below includes known vendors offering a Claims EDI R3.1 related product and/or service for submitting claims data electronically. This listing is in alphabetical order and does not represent an endorsement by the Board of any vendor listed, or a recommendation of one vendor over another. The Board does not warrant or represent that this information is current, complete, or accurate. The Board assumes no responsibility for any errors in the information provided, nor assumes any liability for any damages incurred as a consequence, directly or indirectly, of the use and application of this information, and shall be held harmless against all claims, suits, judgments and/or damages resulting from the disclosure of any of this information, including all costs and fees.

**NOTE:** If you are a vendor with Claims EDI R3.1 experience and would like to have your company added or removed, please contact [eClaims31@wcb.ny.gov](mailto:eClaims31@wcb.ny.gov).

- Actec Systems, Inc. ([www.actec.net](http://www.actec.net))
- Aerie EDI Group ([www.aerieedioup.com](http://www.aerieedioup.com))
- Ebix/Peak Performance Solutions, Inc. ([www.ebix.com](http://www.ebix.com))
- HealthTech, Inc. ([www.htedi.com](http://www.htedi.com))
- Insurance Services Office ([www.iso.com](http://www.iso.com))
- Mitchell Workers' Compensation Solutions ([www.mitchell.com](http://www.mitchell.com))
- Riskconnect (<http://www.Riskconnect.com>)

Additional information regarding the use of data vendors may be obtained from the [IAABC](http://IAABC).

#### 3.12 eClaims Web Data Entry Testing and Instructions

The eClaims Web Data Entry application is primarily for low-volume filers, but it is not limited solely to that group.

##### Existing R3.0 Web Data Entry Trading Partners

Organizations that have already been approved to submit through Web Data Entry in Claims EDI R3.0 will be provided the opportunity to access a separate Claims EDI R3.1 test environment. This will allow users to gain experience and note any changes to the Web Data Entry application for Claims EDI R3.1. There is no formal testing requirement and use of the test environment is optional. All existing organizations will have production access to the Claims EDI R3.1 Web Data Entry application effective January 24, 2022, regardless of whether they utilize the R3.1 test environment.

##### New Trading Partners

New trading partners must participate in a formal testing process. The formal testing process includes a test plan made up of entering data into the eClaims Web Data Entry application. All submitters must complete all mandatory tests described in this section to be approved to submit production data files. Completion of these tests ensures that the submitter understands a minimum of five scenarios per FROI/SROI type and is capable of handling a representative sample of MTCs. Each new trading partner is expected to submit no more than 50 total transactions.

# Questions?



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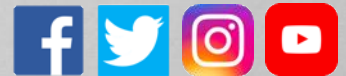
## GET INVOLVED/STAY INFORMED

Visit the eClaims webpage at **wcb.ny.gov** for eClaims news, engagement opportunities, and to register for email updates.

For **eClaims EDI R3.1** upgrade questions, email [\*\*eClaims31@wcb.ny.gov\*\*](mailto:eClaims31@wcb.ny.gov).

For **eClaims EDI R3.0** questions, email [\*\*eClaims@wcb.ny.gov\*\*](mailto:eClaims@wcb.ny.gov).

Follow the Board on Social Media:





# Thank You



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