



Workers' Compensation Board

July 9, 2019

IME Committee

Quality of Reports and Technical Issues Discussion

IME Advisory Committee

Proposed Schedule

- IME Provider Access – *Thursday, March 14*
- Scheduling & Timing – *Tuesday, April 9*
- Injured Worker Experience – *Wednesday, May 8*
- Cost of IMEs and IME Entity Panel – *Thursday, June 13*
- Quality of Reports and Technical Issues ← *Tuesday, July 9*
- Process and IME Member Recommendations – *Wednesday, August 7*
- Fraud & Abuse – *Tuesday, September 10*
- Review & Discuss Report – *Thursday, October 10*
- Draft Report – *Wednesday, November 13*
- Final Report – *Thursday, December 12*

Agenda & Topics for Quality of Reports & Technical Issues

Agenda Topic	Time Allocation
Quality of Reports and Technical Issues	75 minutes
IME preclusions	
Accuracy of POIs	
Duplicate submissions	
IMEs performed but not filed with Board	
Break	15 minutes
Addendums <ul style="list-style-type: none">▪ Frequency▪ Scope<ul style="list-style-type: none">▪ Minor Clarifications▪ New Issues/Additional Clarification▪ Communication	30 minutes
Wrap-up and Overview of August meeting	30 Minutes

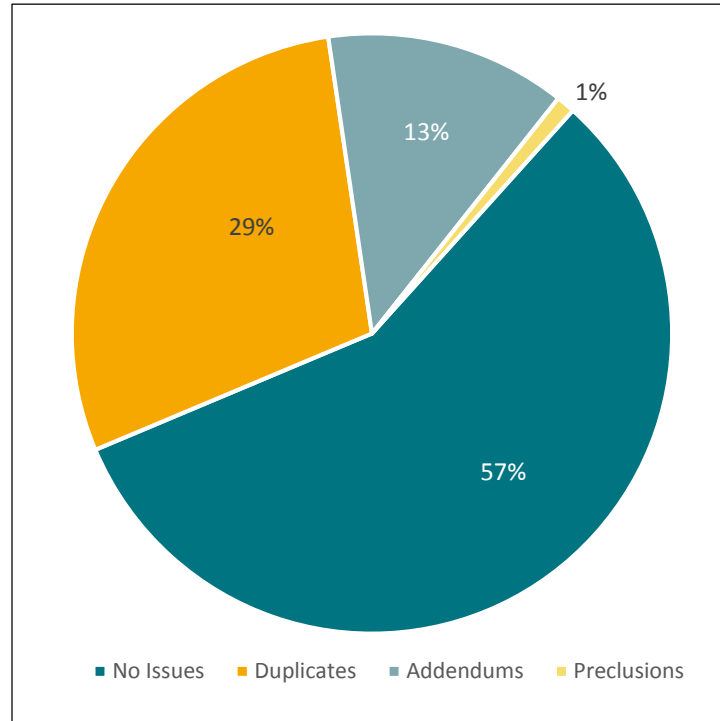
Quality of Reports Summary

Study Findings

Based on *IME-4* submissions after the initial notice of IME (*IME-5*), 57% of reports were accepted with no additional documentation needed, nor were they a duplicate.

Approximately 71% of IMEs required additional information be communicated between the IME provider and the carrier, either prior to, or after the IME

Summary of IME Report Submissions



SOURCE: IME ENTITY DATA & BOARD DATA

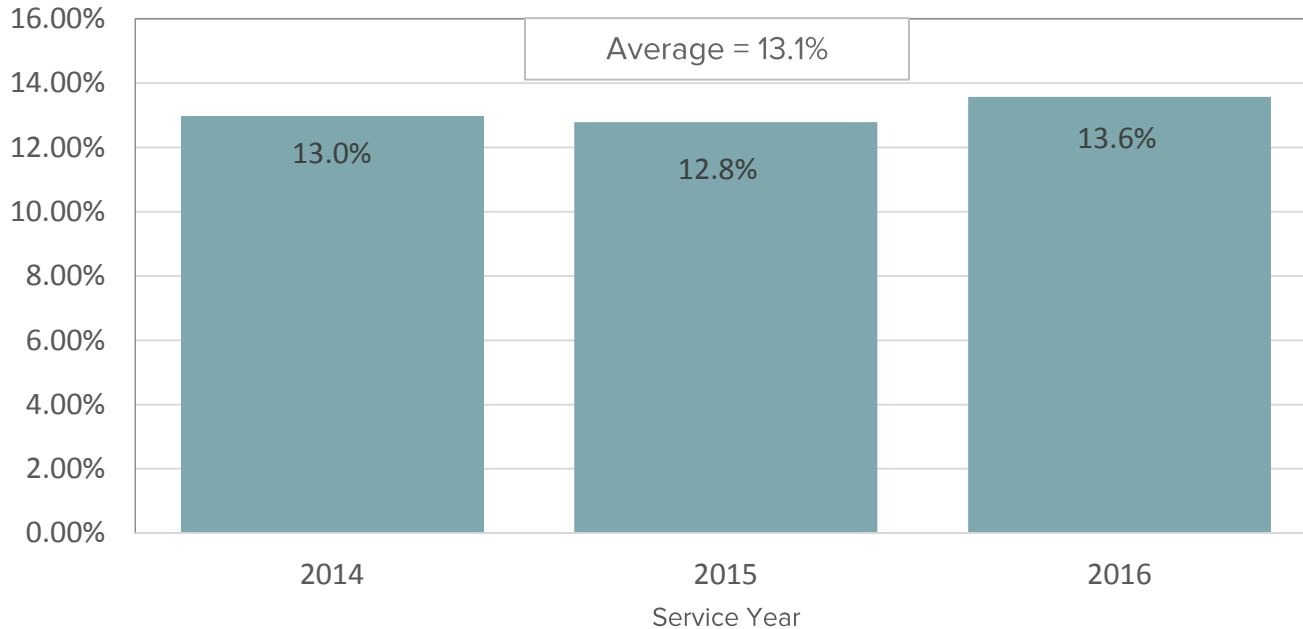
Unmatched IME-5 and IME-4s

Reasons for Unmatched IME-5s	Percent of IME-5s	Revised Cancellation/No-Show Rates
No Show by Claimant	0.65%	9.60% (8.95+0.65)
Cancelled / Rescheduled	5.74%	19.78% (14.04+5.74)
Unknown	2.61%	
Total	9%	

IME-4: Independent Examiner's Report of Independent Medical Examination

IME-5: Claimant's Notice of Independent Medical Examination

Percentage of IMEs with Addendums (2014-2016)



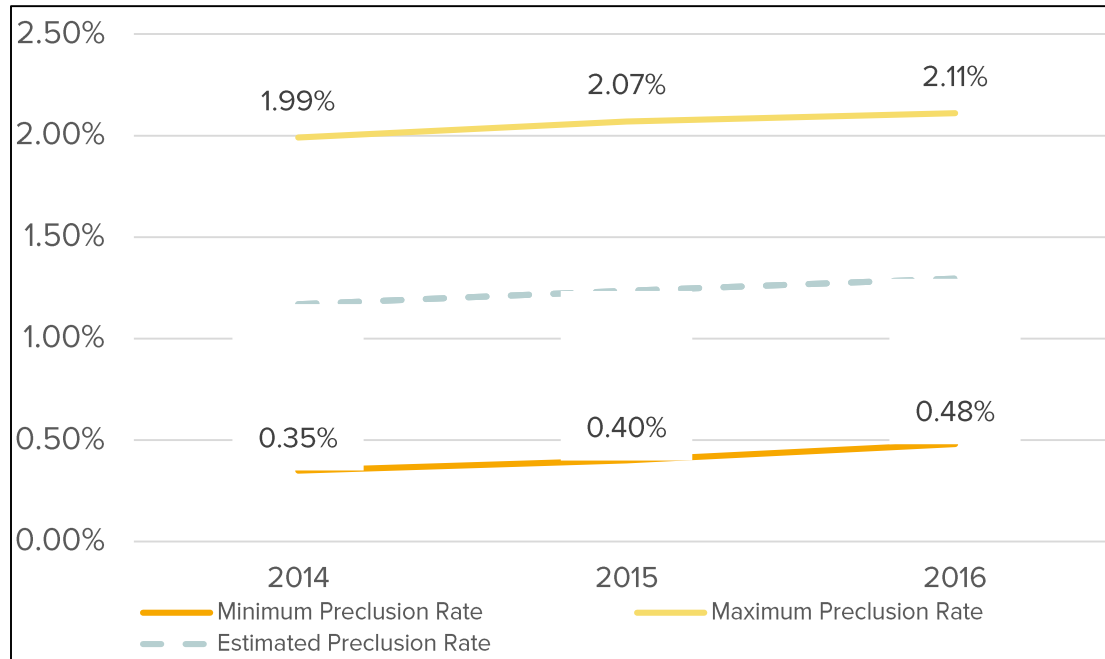
Source: IME Entity Data

Average Addendum Rates by High/Low Providers

IME Range	Total Providers		Total IMEs Performed		Average Addendum Rate	Min Addendum Rate	Max Addendum Rate
	(Total completed)	#	%	#	%	%	%
1-5 IMEs	2,889	70.65%	5,130	1.30%	74.94%	20.00%	100.00%
6-100 IMEs	860	21.03%	20,152	5.09%	21.58%	1.11%	100.00%
101-500 IMEs	173	4.23%	39,688	10.03%	12.53%	0.41%	55.30%
501-1000 IMEs	63	1.54%	44,087	11.14%	11.76%	1.10%	33.76%
1001-5000 IMEs	94	2.30%	221,551	55.99%	10.21%	0.06%	21.97%
5001-9000 IMEs	10	0.24%	65,105	16.45%	8.50%	4.49%	13.51%

Source: IME Entity Data

IME Preclusion Rate (2014-2016)



Source: IME Entity Data

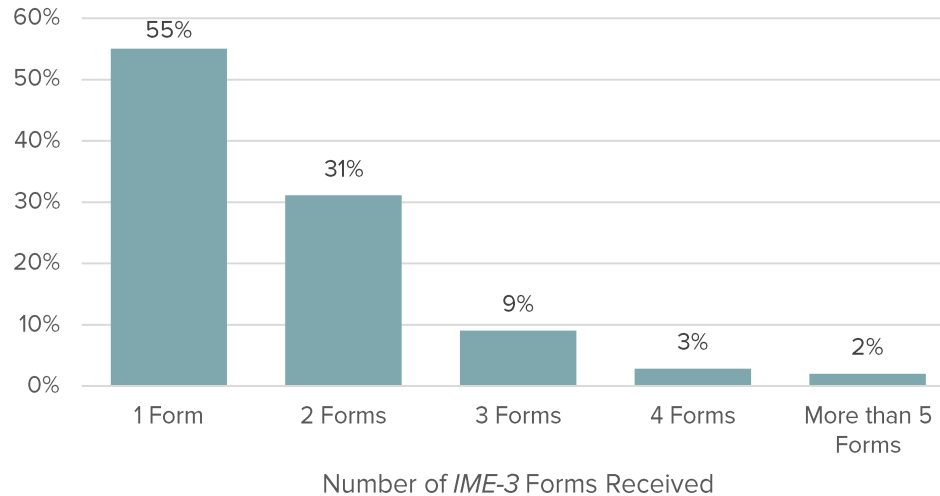
Duplicate IME Report Submissions

IME Service Year	IME Duplicate Submission Rate
2014	49%
2015	47%
2016	39%
2017	31%
2018	29%

Source: Board Data

Frequency of IME-3s (2014-2016)

(For IME reports that have one or more associated IME-3)



IME-3: Independent Examiners Report of Request for Information/Response to Request for Independent Examination

Discussion

- IME preclusions
- Accuracy of POIs
- Duplicate submissions
- IMEs performed but not filed with Board

15-minute break

Discussion

■ Addendums

- Frequency
- Scope
 - Minor Clarifications
 - New Issues/Additional Clarification
- Communication

Consensus on topic?

Topics for Process

- **State physician vs IME panels, statewide networks or other arrangements**
- **Records Review vs IME**
- **Regulation & Monitoring**
 - IME provider
 - IME entities
 - Accreditation
 - Reporting

Expanded Provider Bill IME Member Recommendations

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- Discuss Revised Draft Report – *Thursday, October 10*
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Proposed Report Format

- 1. Background on statute**
 - a) Summary of Utilization Study
- 2. Meeting schedule / Content**
- 3. Consensus Areas**
 - a) Questionnaire
 - b) Feedback
- 4. Recommendations**

Next Meeting

- Wednesday, August, 7th
- 12pm-3pm
- Send questions/comments to IMEAdvisory@wcb.ny.gov if you will not be present for this meeting