

NYS FROI/SROI-02 transaction processing in Claims EDI R3.1

1. Differences between Electronic Data Interchange (EDI) 3.0 to EDI 3.1

FROI/SROI-02 transactions are processed differently in International Association of Industrial Accident Boards and Commissions (IAIABC) Claims EDI R3.1 compared to R3.0. The table below shows the main differences.

EDI 3.0	EDI 3.1
All DNs with a severity code of F, fy and Y are edited (using most recently accepted MTCs severity code) and accepted	Only Implied/Usable DNS (see definition below) are edited and accepted
All values on an O2 transactions are assumed to be the latest value regardless of each DNs value in the most recently accepted transaction	Only Implied/Usable DNS will be considered to have the latest value in the O2 transaction
Add, Update or Delete is not specified	Change Reason Code indicates Add, Update or Delete

2. Acceptance method

IAIABC Section 4 Change O2 Claims EDI R3.1 rules as approved in IRR897 on December 11, 2019, to be published in the IAIABC Implementation Guide on January 1, 2021, offer two methods of accepting an O2-Change transaction.

- i. Accepting data of only those DNs that are specified in the Change Variable Segment, after applying edits.
- ii. Accepting data of all DNs that are included in O2-Change transaction after applying appropriate edits.

New York has decided to go with option (i) above.

2a. Implied/Usable DNs

Upon further investigation, WCB determined that the edits will be applied to **Implied/Usable DNs**, which include the following:

- a. DNs that are listed in the Change Variable Segment
- b. Variable Segment DNs that have its counter ("Number Of" DN) listed in the Change Variable Segment
- c. All DNs with O2-Requirement code of (F – Fatal, m – Mandatory Match Data Element, mc – Mandatory Conditional Match Data Element, M – Mandatory).

Editing of Implied/Usable DNs implies that WCB will check for fatal/mandatory requirements, mandatory conditional requirements and population restrictions.

2b. Implementing Implied/Usable DNs

New York will implement method “i” above for accepting FROI/SROI-02 transactions. 02-Change transactions will be accepted if the following criteria are met:

- a. Implied/Usable DNs pass the edit specified in the “Applying edits on an ‘02-Change’ transaction” section below.
- b. Transaction contains valid/allowed Change Reason Code (DN0413) for each DN specified in Change Data Element (DN0412).
 1. Example: Variable Segment Counter DN is received with Change Reason Code of ‘R’ or Non-variable segment DN is received with Change Reason Code of ‘D’ will be rejected with error code 123 (Invalid Change Reason Code relationship).
- c. Data Element Requirements will only be applied to Implied/Usable DNs using the following steps to determine if the appropriate MTC was sent by the Claim Administrator in the past:
 1. **Non-Variable Segment DNs:**
 - i. Reportable Change Code will be used (without considering NA and X in the 02 Requirement to be treated as ignore, as it is done for other MTCs). In other words, if the 02 requirement says ‘NA’ but Reportable Change Code is ‘B’ or ‘N’ for Change Reason Code (A/U/D/R), then WCB will be rejecting the transaction.
 - ii. The Current Severity Code of MTC is based upon:
 1. The most recently accepted MTC other than ‘02’ and ‘RE’ that does not have Severity Code of ‘X’ or ‘NA’ for that Implied/Usable DNs (FROI/SROI depending upon which 02 was received) based upon the Severity Code at the time that transaction was accepted.
 2. If none are found, then use the most recently accepted MTC other than ‘02’ and ‘RE’ that does not have Severity Code of ‘X’ or ‘NA’ for that DN (FROI/SROI depending upon which 02 was received) based upon the current Severity Code (in other words, if that transaction was processed right now). If the previously accepted transaction is one of the now-obsolete Suspensions MTCs (e.g., S1, S3), then it will check current Severity Codes for the MTC of SX.
 3. If none are found, then reject the transaction.

Example: (DN0193) Suspension Effective Date is allowed to Update (U) on an 02 but it is only valid on MTC-SX/UR in 3.1. There is a possibility of WCB receiving an 02 with DN0193 without receiving an SX/UR. If our search did not find any MTC that was valid for this DN, then WCB will reject the transaction.
2. **Variable Segment DNs:**
 - i. The Severity Code will be determined using the same steps specified in the non-Variable Segment section above, except that when determining the most recently accepted MTC (in i & ii), all DNs within the variable segments are

evaluated but we will consider it having a match if any of those DNs have severity code other than “NA” or “X”.

- ii. If the MTC is found in the above search, then WCB will apply the current Severity Code for all DNs from that MTC, including “NA” and “X”. Example:

On FROI, SROI, Both	REC	DN#	DATA ELEMENT NAME	FORMAT	02																UR - Upon Request (Grandfathered)	SA				
					04	AC	AP	CA	CB	CD	EP	ER	IP	PD	PY	RB	SX	SU								
					Reportable Change																					
		Dependent /Payee Relationships																								
SROI	R22	0097	Dependent/ Payee Relationship Code	2 A/N	MCO 82	H	H	H	B	AR	NA	MC	AR	MC	X	MC	MC	MC	AR	MC	MC	MC	MC	MC	MC	NA
SROI	R22	0425	Dependent First Name	15 A/N	MCO 82	H	H	H	B	MC	NA	MC	MC	MC	X	MC	MC	MC	MC	MC	MC	MC	MC	MC	MC	NA
SROI	R22	0426	Dependent Last Name	40 A/N	MCO 82	H	H	H	B	MC	NA	MC	MC	MC	X	MC	MC	MC	MC	MC	MC	MC	MC	MC	MC	NA
SROI	R22	0427	Dependent Date of Birth	DATE	MCO 82	H	H	H	B	MC	NA	MC	MC	MC	X	MC	MC	MC	MC	MC	MC	MC	MC	MC	MC	NA
SROI	R22	0428	Dependent Gender Code	1 A/N	NA	N	N	N	B	NA	NA	NA	NA	NA	X	NA	NA	NA	NA	NA	NA	NA	X	NA	NA	NA
SROI	R22	0429	Dependent Extent of Dependency	1 A/N	NA	N	N	N	B	NA	NA	NA	NA	NA	X	NA	NA	NA	NA	NA	NA	NA	X	NA	NA	NA

1. SROI-02 was received as the first 3.1 transaction with (DN0424) Number of Dependent/Payee Relationship and most recently accepted MTC in our search was SROI-CA, which was received in 3.0 and has current Severity Code of ‘AR’ for (DN0097) Dependent/Payee Relationship Code. Since all the other DNs did not exist in 3.0, the current Severity code of MTC-CA will be applied to all new DNs (e.g., DN0428 has ‘NA’ so it will be ignored).

- d. Population Restriction will be applied to the Implied/Usable DNs, unless WCB ignores the value due to DN’s Severity Code (based upon the search result above) being ‘X’ or ‘NA’.
- e. Transaction passes Sequencing requirement edits.

3. Applying edits on an “02-Change” transaction

- a. New York will edit only Implied/Usable DNs. All Other DNs will be ignored and they will not be considered as accepted data. Refer to “How to determine what DN values to use for cross editing” section for more information.
- b. Get the list of DNs listed in Change Variable Segment. If none are found, then reject the transaction with appropriate error; or else continue to next step.
- c. If there are duplicate DNs listed in the Change Data Element (DN0412) of the Change Variable Segment, then the transaction will be rejected with the appropriate error message. (See Population Restriction 0412-064 B for more information.)
- d. If any DNs that have 02 – DER code of ‘F’ or ‘m’ have a blank or null value in the transaction, then the transaction will be rejected with an error code of ‘001’ (Mandatory field not

present). If 02 – DER code = ‘mc’ and the condition requires the value to be present Mandatory Conditional Requirements will be applied and the transaction will be rejected if the DN value is blank or null.

- e. The transaction will be rejected with error code of 124 (No recognizable change found) on DN0411 (Number of Change Data Elements) when no change is detected (provided no other valid change is detected in the segment) for the following conditions.
 - 1. When a non-variable segment DN is listed in the Change Variable Segment, then compare the value of that DN with previously reported value. In case of DN0270 (Employee ID Type Qualifier) also compare actual Employee ID, e.g., DN0042 (Employee SSN). Refer to all Population Restrictions on match data elements returning new NY R3.1 Error Code 117 to apply this edit.
 - 2. When a variable segment counter DN is in the Change Variable Segment, then compare the value of all DNs within that variable segment with previously reported value of all DNs.
 - i. Change in the order of the segment will be ignored and it will not be considered as a change.
 - ii. Based on the IAIABC Section 4 Change 02 Benefit Processing rules and the Population Restrictions listed on the NY Edit Matrix, benefit segments are edited to ensure that another MTC is not more appropriate. Benefit segments are special when comparing DN values to determine a change. Listed below are the DNs and whether they will or not be compared:

DN	DN Description	Compare
0085	Benefit Type Code	Yes
0002	Maintenance Type Code	No
0174	Gross Weekly Amount	Yes
0175	Gross Weekly Amount Effective Date	Yes
0087	Net Weekly Amount	Yes
0211	Net Weekly Amount Effective Date	Yes
0088	Benefit Period Start Date	Yes
0089	Benefit Period Through Date	Yes
0090	Benefit Type Claim Weeks	Yes
0091	Benefit Type Claim Days	Yes
0086	Benefit Type Amount Paid	Yes
0192	Benefit Payment Issue Date	Yes

- f. Steps for further editing are as follows:
1. Check for any variable segment DNs listed in the Change Variable Segment, then reject the transaction with error code 123 (Invalid Change Reason Code relationship) and process next DN; otherwise continue to the next step.
 2. Loop through each DN that is in the Change Variable segment that is not a counter DN.
 - i. If the DN0413(Change Reason Code) is R(Remove) and there is a value in the DN, then reject the transaction with error code 123 (Invalid Change Reason Code relationship) with additional message "<DN#> being removed has values, blank required" and process next DN.
 - ii. Find the Reportable Change Code for that DN and Change Reason Code. If Reportable Change Code is B or N, then reject the transaction with error code of 123 (Invalid Change Reason Code Relationship) and process next DN else continue to next step.
 - iii. If Reportable Change Code is I or IG, then continue to next step. WCB is not going to reject the transaction if WCB receives the change on both FROI and SROI unless the second transaction has no other recognizable change identified.
 - iv. If Reportable Change Code is J or JG, then check if a SROI has been already received, and if this is a FROI-02 transaction, then reject the transaction with appropriate error message and process next DN or else continue to next step. Note: NY does not have this Reportable Change Code listed on the Element Requirement Table at this time.
 - v. If Reportable Change Code is K or KG, then check if this is a SROI-02 transaction, then reject the transaction with appropriate error message and process next DN or else continue to next step.
 - vi. The severity code of MTC-02 (02 Requirement) and current Severity Code from previously accepted MTC (as defined in 2.b.iii) will be applied here.
 - vii. Apply all population restriction defined for this DN/MTC and reject the transaction with appropriate error message or else continue to next step.
 - viii. If the DN0413 (Change Reason Code) is A(Add) or U(Update) and value in the 02-Change transaction for the DNs (Non-variable segment) listed in the Change Variable Segment is blank or null, then reject the transaction with error code 001 (Mandatory field not present) and process next DN or else continue to the next step.
 3. Loop through each Counter DN in Change Variable segment.
 - i. Apply population restriction on the counter DN.
 - ii. If the Counter DN is for a Narrative Variable Segment, which includes DNs (DN0038 Accident/Injury Description Narrative, DN0197 Denial Reason Narrative, DN0233 Suspension Narrative, and DN0431 Narrative for Claim), there will be an exception to the rule regarding how Change Reason Code "D" is used. Rather than expecting change reason code "D" only for situations

where any variable segment is removed, WCB should expect D for these only when all variable segments are removed. When the following DNs (DN0274 Number of Accident/Injury Description Narrative, DN0276 Number of Denial Reason Narrative, DN0287 Number of Suspension Narrative and DN0430 Number of Narrative for Claim) are in the Change Variable Segment, then:

- I. If the change reason code = 'A' or 'U', then value in the DNs must be greater than zero. If the change reason code is 'A' or 'U' and Number of Narrative DNs value is zero, then the transaction will be rejected with an Error code 123 (Invalid Change Reason Code relationship) with additional message "<DN# of number of> must be > 0 when change reason code is A/U".
 - II. If change reason code = 'D', then value in the DNs must be zero. If the change reason code is 'D' and Number of Narrative DNs value is not zero, then the transaction will be rejected with an Error code 123 (Invalid Change Reason Code relationship) with additional message "<DN# of number of> must be = 0 when change reason code is D".
 - III. For these Number of Narrative DNs WCB will be using the Reportable Change Code of the DN within the variable segment (DN0038 Accident/Injury Description Narrative, DN0197 Denial Reason Narrative, DN0233 Suspension Narrative, and DN0431 Narrative for Claim) for edit. WCB will edit them using the same approach as stated above (see 3.f.ii.1-7 above).
2. For all other counter DNs that are not for Narratives segment, then:
- I. If the DN0413(Change Reason Code) is A(Add) or U(Update), the variable segment counter DNs listed in the Change Variable Segment must have more than zero segments. If not, then reject the transaction with error code 123 (Invalid Change Reason Code relationship) with additional message "<DN# of number of> value must be >0 since DN0413=A/U"
 - II. If the change reason code = 'A', then value in the "Number of" DNs must be greater than previously accepted transaction. If not, then transaction will be rejected with an Error code 123 (Invalid Change Reason Code relationship) with additional message "<DN# of number of> value is < expected since DN0413=A".
 - III. If the change reason code = 'U', then value in the "Number of" DNs must be equal to previously accepted transaction. If not, then transaction will be rejected with an Error code 123 (Invalid Change Reason Code relationship) with additional message "<DN# of number of> value is other than expected since DN0413=U".
 - IV. If the change reason code = 'D', then value in the "Number of" DNs must be less than previously accepted transaction. If not, then transaction will be rejected with an Error code 123 (Invalid Change Reason Code relationship) with additional message "<DN# of number of> value is > expected since DN0413=D".
 - V. If "Number of" DNs listed in the Change Data Element (DN0412) of the Change Variable Segment belongs to a variable segment that does not

allow any change on any DN's of that variable segment, regardless of what the 02 Requirement code is for that DN (i.e., 1) for change reason code of 'A', Reportable Change Code of 'B' or 'N' for all DN's for Add or 2) for change reason code of U, Reportable Change Code of 'B' or 'N' for all DN's for Update or 3) for change reason code of D, Reportable Change Code of 'B' or 'N' for all DN's), then the transaction will be rejected with error code 123 (Invalid Change Reason Code relationship) with the message "<DN#> is not allowed for the change reason code <change reason code>". Examples:

1. This applies to A/U/D/R for DN0411 (Number of Changed Data Element) and DN0434 (Number of Cancel Elements).
 2. This applies to A/D/R for DN0283 (Number of Payments).
- VI. The severity code of MTC-02 (02 Requirement) and current Severity Code from previously accepted MTC (as defined in 2.b.2ii) will be applied to each DN in the variable segment.
- VII. Apply all population restriction defined for each DN in the variable segment/MTC. If failed, then reject the transaction with appropriate error message else continue to next step.

4. How to determine what DN values to use for cross editing

- a. Per IAIABC Section 4 Change 02 Rules Considerations for Requiring an 02 Change Rule #3, data not included in the 02 Change Variable Segment will not be used to apply cross edits to the data being changed on the 02 Change itself. The Implied/Usable DN on the 02 being processed will be used for editing.
- b. Otherwise for all editing (including incoming 02 transaction that need DN values from the database instead of 02 being processed), that requires the most recently accepted transaction, get the most recent accepted transaction for Lead/Link FROI Number that does not have severity code of NA, X on MTCs other than 02 that were received on or after implementation of 3.1 and for 3.1's 02s use Implied/Usable DN.

NYS Claims Release 3.1 FROI/SROI Change 02 Required – Revised June 12, 2020

This document provides a filtered list of the Element Requirement table for FROI/SROI-02, listing only those data elements that require a FROI/SROI-02 to be triggered based on the definitions of the Reportable Change Codes.

K	Required Change on FROI
Y	Required Change on FROI or SROI, but not both: This code only applies to Data Elements not contained on both FROI and SROI
YG	Required Change on SROI with Exception (see YG Exceptions tab)
I	Required Change on either FROI or SROI, but not both – Claim Administrator Determines
B	Restricted – IAIABC Defined No Change Allowed
N	No Change Allowed – Will Reject if Present
H	No Change Required – Will Not Reject if Present

FROI/ SROI/ BOTH	DN#	FROI Data Element Name	Add	Update	Remove	Delete	MC Condition
Both	0006	Insurer FEIN	N	K	B	N	
FROI	0012	Claim Administrator City	N	Y	B	N	
FROI	0013	Claim Administrator State Code	N	Y	B	N	
Both	0014	Claim Administrator Postal Code	N	K	B	N	
Both	0015	Claim Administrator Claim Number (Key Match)	B	I	B	B	
Both	0016	Employer FEIN	I	I	B	I	
FROI	0028	Policy Number Identifier	Y	Y	B	Y	
FROI	0029	Policy Effective Date	Y	Y	B	Y	
Both	0031	Date of Injury	B	I	B	B	
FROI	0035	Nature of Injury Code	Y	Y	B	N	
FROI	0037	Cause of Injury Code	Y	Y	B	N	
FROI	0039	Initial Treatment Code	Y	Y	B	Y	
FROI	0040	Date Employer Had Knowledge of the Injury	Y	Y	B	N	
FROI	0041	Date Claim Administrator Had Knowledge of Injury	N	Y	B	N	
Both	0044	Employee First Name	N	I	B	B	
FROI	0048	Employee Mailing City	N	Y	B	N	
FROI	0049	Employee Mailing State Code	Y	Y	B	Y	
FROI	0050	Employee Mailing Postal Code	N	Y	B	N	
Both	0052	Employee Date of Birth	I	I	B	N	
Both	0056	Initial Date Disability Began	I	I	B	I	
Both	0057	Employee Date of Death	I	I	B	I	
FROI	0059	Manual Classification Code	N	Y	B	N	
Both	0064	Number of Days Worked Per Week	I	I	B	N	
Both	0065	Initial Date Last Day Worked	I	I	B	I	
Both	0066	Full Wages Paid for Date of Injury Indicator	I	I	B	I	
Both	0068	Initial RTW Date	I	I	B	I	
Both	0015	Claim Administrator Claim Number	B	I	B	B	
Both	0187	Claim Administrator FEIN	N	K	B	N	
Both	0188	Claim Administrator Name	N	I	B	N	
FROI	0010	Claim Administrator Primary Address	N	Y	B	N	

FROI	0136	Claim Administrator Country Code	Y	Y	B	Y	
Both	0270	Employee ID Type Qualifier	B	I	B	B	
FROI	0042	Employee SSN	B	I	B	B	
Both	0154	Employee ID Assigned by Jurisdiction	B	I	B	B	
Both	0043	Employee Last Name	N	I	B	B	
Both	0046	Employee Mailing Primary Address	N	Y	B	N	
FROI	0047	Employee Mailing Secondary Address	Y	Y	B	Y	
FROI	0155	Employee Mailing Country Code	Y	Y	B	Y	
FROI	0146	Death Result of Injury Code	I	I	B	I	
Both	0290	Type of Loss	I	I	B	I	
Both	0314	Insured FEIN	I	I	B	I	
Both	0017	Insured Name	Y	Y	B	Y	
FROI	0184	Insured Type Code	Y	Y	B	N	
FROI	0204	Work Week Type Code	I	I	B	I	
Both	0205	Work Days Scheduled Code	I	I	B	I	
Both	0007	Insurer Name	N	Y	B	N	
FROI	0281	Initial Date Employer Had Knowledge of Date of Disability	I	I	B	I	
Both	0075	Agreement to Compensate Code	I	I	B	N	
Both	0018	Employer Name	N	Y	B	N	
FROI	0072	Latest RTW/Status Date	I	I	B	I	
Both	0403	Initial RTW Type Code	I	I	B	I	
Both	0404	Initial RTW Physical Restrictions Indicator	I	I	B	I	
Both	0405	Initial RTW With Same Employer Indicator	I	I	B	I	
Both	0406	Latest RTW Type Code	I	I	B	I	
Both	0407	Latest RTW Physical Restrictions Indicator	I	I	B	I	
Both	0408	Latest RTW With Same Employer Indicator	I	I	B	I	
Both	0144	Current Date Disability Began	I	I	B	I	
Both	0145	Current Date Last Day Worked	I	I	B	I	
Both	0165	Employer Mailing City	N	Y	B	N	
FROI	0166	Employer Mailing Country Code	Y	Y	B	Y	
FROI	0167	Employer Mailing Postal Code	N	Y	B	N	
FROI	0168	Employer Mailing Primary Address	N	Y	B	N	
FROI	0169	Employer Mailing Secondary Address	Y	Y	B	Y	
FROI	0170	Employer Mailing State Code	Y	Y	B	Y	
FROI	0060	Occupation Description	Y	Y	B	Y	
FROI	0199	Full Denial Effective Date	N	I	B	N	
Both	0138	Claim Administrator Claim Representative E-Mail Address	N	I	B	N	
Both	0140	Claim Administrator Claim Representative Name	N	I	B	N	
Both	0137	Claim Administrator Claim Representative Business Phone Number	N	I	B	N	
Both	0074	Claim Type Code	N	I	B	N	
Both	0273	Employer Paid Salary in Lieu of Compensation Indicator	I	I	B	N	

Accident/Injury Description Narratives							
FROI	0038	Accident/Injury Description Narrative	N	Y	N	B	MC274
MC274 – Mandatory and must be > 00 when DN0412 Change Data Element/Segment Number = 0274 and DN0413 Change Reason Code = = D (Delete). Must have at least one remaining occurrence when Change Reason Code = D							

Part of Body Injured							
FROI	0036	Part of Body Injured Code	Y	Y	Y	B	
MC420 – Mandatory and must be > 00 when DN0412 Change Data Element/Segment Number = 0420 and DN0413 Change Reason Code = A (added) or U (Updated). NOT D (deleted). Must have at least one remaining occurrence when Change Reason Code = D.							MC420
FROI	0421	Part of Body Injured Location Code	Y	Y	Y	B	
FROI	0422	Part of Body Injured Fingers/Toes Location Code	Y	Y	Y	B	

FROI/ SROI/ BOTH	DN#	SROI Data Element Name	Add	Update	Remove	Delete	MC Condition
Both	0006	Insurer FEIN	B	K	B	B	
Both	0014	Claim Administrator Postal Code	B	K	B	B	
Both	0056	Initial Date Disability Began	I	I	B	I	
Both	0072	Latest RTW/Status Date	I	I	B	I	
Both	0057	Employee Date of Death	I	I	B	I	
Both (Diff)	0063	Wage Period Code	H	N	B	I	
Both	0064	Number of Days Worked Per Week	I	I	B	N	
Both	0031	Date of Injury	B	I	B	B	
Both	0015	Claim Administrator Claim Number	B	I	B	B	
Both	0074	Claim Type Code	N	I	B	N	
Both	0075	Agreement to Compensate Code	I	I	B	N	
Both	0015	Claim Administrator Claim Number	B	I	B	B	
Both	0187	Claim Administrator FEIN	B	K	B	B	
Both	0188	Claim Administrator Name	N	I	B	N	
Both	0140	Claim Administrator Claim Representative Name	N	I	B	N	
Both	0137	Claim Administrator Claim Representative Business Phone Number	N	I	B	N	
Both	0138	Claim Administrator Claim Representative E-mail Address	N	I	B	N	
Both	0270	Employee ID Type Qualifier	B	I	B	B	
Both	0042	Employee SSN	B	I	B	B	
Both	0154	Employee ID Assigned by Jurisdiction	B	I	B	B	
Both	0043	Employee Last Name	B	I	B	B	
Both	0044	Employee First Name	B	I	B	B	
Both	0052	Employee Date of Birth	I	I	B	N	
SROI	0201	Anticipated Wage Loss Indicator	Y	Y	B	N	
Both	0146	Death Result of Injury Code	I	I	B	I	
Both	0314	Insured FEIN	I	I	B	I	
Both	0016	Employer FEIN	I	I	B	I	
Both	0281	Initial Date Employer Had Knowledge of Date of Disability	I	I	B	I	
Both	0145	Current Date Last Day Worked	I	I	B	I	

Both	0144	Current Date Disability Began	I	I	B	I	
Both	0065	Initial Date Last Day Worked	I	I	B	I	
SROI	0193	Suspension Effective Date	B	Y	B	N	
Both	0199	Full Denial Effective Date	B	I	B	N	
SROI	0294	Partial Denial Code	B	Y	B	N	
SROI	0134	Calculated Weekly Compensation Amount	N	Y	B	N	
Both	0290	Type of Loss Code	I	I	B	I	
Both	0068	Initial RTW Date	I	I	B	I	
Both	0066	Full Wages Paid for Date of Injury Indicator	I	I	B	I	
Both	0273	Employer Paid Salary in Lieu of Compensation Indicator	I	I	B	N	
Both	0204	Work Week Type Code	I	I	B	I	
Both	0205	Work Days Scheduled Code	I	I	B	I	
Both	0403	Initial RTW Type Code	I	I	B	I	
Both	0404	Initial RTW Physical Restrictions Indicator	I	I	B	I	
Both	0405	Initial RTW With Same Employer Indicator	I	I	B	I	
Both	0406	Latest RTW Type Code	I	I	B	I	
Both	0407	Latest RTW Physical Restrictions Indicator	I	I	B	I	
Both	0408	Latest RTW With Same Employer Indicator	I	I	B	I	
SROI	0423	Acquired Claim Last Known Indemnity Through Date	Y	Y	B	N	
SROI	0433	Overpayment Amount - Current	Y	Y	B	B	
SROI	0418	Suspension Reason Code – Full	B	Y	B	B	
SROI	0436	Partial Denial Effective Date	B	Y	B	N	

Benefits			Add	Update	Remove	Delete	MC Condition
SROI	0085	Benefit Type Code	YG	Y	N	B	MC288a
MC288a - A (Add), U (Update): Mandatory and must be > 00 when DN0412 Change Data Element/Segment Number = DN0288 and DN0413 Change Reason Code = A (added) or U (Updated).							
SROI	0002	Maintenance Type Code	B	B	B	B	
SROI	0174	Gross Weekly Amount	N	N	N	B	
SROI	0175	Gross Weekly Amount Effective Date	H	H	N	B	MC288a
SROI	0087	Net Weekly Amount	N	N	N	B	
SROI	0211	Net Weekly Amount Effective Date	H	H	N	B	MC288a
SROI	0088	Benefit Period Start Date	YG	Y	N	B	MC288a
SROI	0089	Benefit Period Through Date	YG	Y	N	B	MC288a
SROI	0090	Benefit Type Claim Weeks	YG	YG	N	B	MC288a
SROI	0091	Benefit Type Claim Days	YG	YG	N	B	MC288a
SROI	0086	Benefit Type Amount Paid	YG	YG	N	B	MC288a
SROI	0192	Benefit Payment Issue Date	YG	Y	N	B	MC288a

Payments			Add	Update	Remove	Delete	MC Condition
SROI	0222	Payment Reason Code	B	YG	B	B	MC283
MC283 - Mandatory and must be > 00 when DN0412 Change Data Element/Segment Number = 0283 and DN0413 Change Reason Code U (Updated).							
SROI	0217	Payee	B	YG	B	B	MC283
SROI	0218	Payment Amount	B	YG	B	B	MC283
SROI	0219	Payment Covers Period Start Date	B	YG	B	B	MC283
SROI	0220	Payment Covers Period Through Date	B	YG	B	B	MC283
SROI	0195	Payment Issue Date	B	YG	B	B	MC283
Benefit ACR Segment contains Adjustments, Credits or Redistributions			Add	Update	Remove	Delete	MC Condition
SROI	0092	Benefit Adjustment Code	B	YG	B	B	
SROI	0094	Benefit Adjustment Start Date	B	Y	B	B	
SROI	0125	Benefit Adjustment End Date	B	Y	B	B	
SROI	0093	Benefit Adjustment Weekly Amount	B	B	B	B	
SROI	0409	Weekly Income Amount For Offset	B	N	B	B	
SROI	0126	Benefit Credit Code	B	YG	B	B	
SROI	0127	Benefit Credit Start Date	B	Y	B	B	
SROI	0128	Benefit Credit End Date	B	Y	B	B	
SROI	0129	Benefit Credit Weekly Amount	B	B	B	B	
SROI	0409	Weekly Income Amount For Offset	B	N	B	B	
SROI	0130	Benefit Redistribution Code	Y	Y	Y	B	
SROI	0131	Benefit Redistribution Start Date	Y	Y	Y	B	
SROI	0132	Benefit Redistribution End Date	Y	Y	Y	B	
SROI	0133	Benefit Redistribution Weekly Amount	Y	Y	Y	B	

02 MTC

SROI Data Element

Note for MTC 02: If there is a Reportable Change Code of YG on the Element Requirement Table, then there is an entry here that indicates when a MTC 02 should be triggered. This table does not communicate the edits. The edits are provided on the SROI Conditions Table and/or Edit Matrix Population Restrictions table.

Req. Code	Change Reason Code	DN#	Data Element Name	Exceptions	IAIABC Note
YG	A	0085	Benefit Type Code	SROI 02 Change may be sent to report a waiting period paid for a different Benefit Type from the Benefit Type previously reported on the IP (Initial Payment), EP (Employer Paid), or AP (Acquired Payment). This will be indicated as an A (Add) in the Change Variable Segment for the Benefit Segment because a new Benefit Segment occurrence was added. A new DN0085 Benefit Type Code was added and the DN0089 Benefit Period Through Date of the new DN0085 Benefit Type Code was prior to the DN0088 Benefit Period Start Date of the Initial Payment (IP, EP or AP). See Edit Matrix: Population Restriction for Benefit Type Code DN0085 Error 059.	Refer to Code Usage Limitations in 02 Change Processing Rules in Section 4 of Claims Release 3.1 Implementation Guide
YG	A	0086	Benefit Type Amount Paid	SROI 02 Change may be sent to report a waiting period paid for a different Benefit Type from the Benefit Type previously reported on the IP (Initial Payment), EP (Employer Paid), or AP (Acquired Payment). This will be indicated as an A (Add) in the Change Variable Segment for the Benefit Segment because a new Benefit Segment occurrence was added. A new DN0085 Benefit Type Code was added and the DN0089 Benefit Period Through Date of the new DN0085 Benefit Type Code was prior to the DN0088 Benefit Period Start Date of the Initial Payment (IP, EP or AP). See Edit Matrix: Population Restriction for Benefit Type Code DN0085 Error 059.	Refer to Code Usage Limitations in 02 Change Processing Rules in Section 4 of Claims Release 3.1 Implementation Guide
YG	U	0086	Benefit Type Amount Paid	SROI 02 Change may be sent if change to financials occurs after the last Suspension (SX).	Refer to Code Usage Limitations in 02 Change Processing Rules in Section 4 of Claims Release 3.1 Implementation Guide
YG	A	0088	Benefit Period Start Date	SROI 02 Change may be sent to report a waiting period paid for a different Benefit Type from the Benefit Type previously reported on the IP (Initial Payment), EP (Employer Paid), or AP (Acquired Payment). This will be indicated as an A (Add) in the Change Variable Segment for the Benefit Segment because a new Benefit Segment occurrence was added. A new DN0085 Benefit Type Code was added and the DN0089 Benefit Period Through Date of the new DN0085 Benefit Type Code was prior to the DN0088 Benefit Period Start Date of the Initial Payment (IP, EP or AP). See Edit Matrix: Population Restriction for Benefit Type Code DN0085 Error 059.	refer to Code Usage Limitations in 02 Change Processing Rules in Section 4 of Claims Release 3.1 Implementation Guide
YG	A	0089	Benefit Period Through Date	SROI 02 Change may be sent to report a waiting period paid for a different Benefit Type from the Benefit Type previously reported on the IP (Initial Payment), EP (Employer Paid), or AP (Acquired Payment). This will be indicated as an A (Add) in the Change Variable Segment for the Benefit Segment because a new Benefit Segment occurrence was added. A new DN0085 Benefit Type Code was added and the DN0089 Benefit Period Through Date of the new DN0085 Benefit Type Code	Refer to Code Usage Limitations in 02 Change Processing Rules in Section 4 of Claims Release 3.1 Implementation Guide

				<p>was prior to the DN0088 Benefit Period Start Date of the Initial Payment (IP, EP or AP).</p> <p>See Edit Matrix: Population Restriction for Benefit Type Code DN0085 Error 059.</p>	
YG	A	0090	Benefit Type Claim Weeks	<p>SROI 02 Change may be sent to report a waiting period paid for a different Benefit Type from the Benefit Type previously reported on the IP (Initial Payment), EP (Employer Paid), or AP (Acquired Payment). This will be indicated as an A (Add) in the Change Variable Segment for the Benefit Segment because a new Benefit Segment occurrence was added. A new DN0085 Benefit Type Code was added and the DN0089 Benefit Period Through Date of the new DN0085 Benefit Type Code was prior to the DN0088 Benefit Period Start Date of the Initial Payment (IP, EP or AP).</p> <p>See Edit Matrix: Population Restriction for Benefit Type Code DN0085 Error 059.</p>	Refer to Code Usage Limitations in 02 Change Processing Rules in Section 4 of Claims Release 3.1 Implementation Guide
YG	U	0090	Benefit Type Claim Weeks	<p>SROI 02 Change may be sent if change to financials occurs after the last Suspension (SX).</p>	Refer to Code Usage Limitations in 02 Change Processing Rules in Section 4 of Claims Release 3.1 Implementation Guide
YG	A	0091	Benefit Type Claim Days	<p>SROI 02 Change may be sent to report a waiting period paid for a different Benefit Type from the Benefit Type previously reported on the IP (Initial Payment), EP (Employer Paid), or AP (Acquired Payment). This will be indicated as an A (Add) in the Change Variable Segment for the Benefit Segment because a new Benefit Segment occurrence was added. A new DN0085 Benefit Type Code was added and the DN0089 Benefit Period Through Date of the new DN0085 Benefit Type Code was prior to the DN0088 Benefit Period Start Date of the Initial Payment (IP, EP or AP).</p> <p>See Edit Matrix: Population Restriction for Benefit Type Code DN0085 Error 059.</p>	Refer to Code Usage Limitations in 02 Change Processing Rules in Section 4 of Claims Release 3.1 Implementation Guide
YG	U	0091	Benefit Type Claim Days	<p>SROI 02 Change may be sent if change to financials occurs after the last Suspension (SX).</p>	
YG	U	0092	Benefit Adjustment Code	<p>SROI 02 Change may be sent when the alpha portion of the Benefit Adjustment Code (DN0092) changes but the related Benefit Type portion of the Code does not change.</p> <p>For example, a Benefit Adjustment Code of A050 is reported and later it is determined that the correct Benefit Adjustment Code is B050, an 02 Change is reported to communicate the Update in the alpha portion of the code; however, the Benefit Type portion of the Benefit Adjustment/Credit Code remains the same.</p>	Refer to Code Usage Limitations in 02 Change Processing Rules in Section 4 of Claims Release 3.1 Implementation Guide, See 7.d.iii
YG	U	0126	Benefit Credit Code	<p>SROI 02 Change may be sent when the alpha portion of the Benefit Credit Code (DN0126) changes but the related Benefit Type portion of the Code does not change.</p> <p>For example using random non-specific code examples, a Benefit Credit Code of P220 is reported and later it is determined that the correct Benefit Credit Code is M220, an 02 Change is reported to communicate the Update in the alpha portion of the code; however, the Benefit Type portion of the Benefit Credit Code remains the same.</p>	Refer to Code Usage Limitations in 02 Change Processing Rules in Section 4 of Claims Release 3.1 Implementation Guide, See 7.d.iii

YG	A	0192	Benefit Payment Issue Date	<p>SROI 02 Change may be sent to report a waiting period paid for a different Benefit Type from the Benefit Type previously reported on the IP (Initial Payment), EP (Employer Paid), or AP (Acquired Payment). This will be indicated as an A (Add) in the Change Variable Segment for the Benefit Segment because a new Benefit Segment occurrence was added. A new DN0085 Benefit Type Code was added and the DN0089 Benefit Period Through Date of the new DN0085 Benefit Type Code was prior to the DN0088 Benefit Period Start Date of the Initial Payment (IP, EP or AP).</p> <p>See Edit Matrix: Population Restriction for Benefit Type Code DN0085 Error 059.</p>	Refer to Code Usage Limitations in 02 Change Processing Rules in Section 4 of Claims Release 3.1 Implementation Guide
YG	U	0195	Payment Issue Date	<p>SROI 02 Change may be sent if data in the Payment Segment is updated related to the last reported payment.</p> <p>See Edit Matrix: Population Restriction Number of Payments DN0283 Error 064.</p>	Refer to Code Usage Limitations in 02 Change Processing Rules in Section 4 of Claims Release 3.1 Implementation Guide, See 7.c.
YG	U	0217	Payee	<p>SROI 02 Change may be sent if data in the Payment Segment is updated related to the last reported payment.</p> <p>See Edit Matrix: Population Restriction Number of Payments DN0283 Error 064.</p>	Refer to Code Usage Limitations in 02 Change Processing Rules in Section 4 of Claims Release 3.1 Implementation Guide, See 7.c.
YG	U	0218	Payment Amount	<p>SROI 02 Change may be sent if data in the Payment Segment is updated related to the last reported payment.</p> <p>See Edit Matrix: Population Restriction Number of Payments DN0283 Error 064.</p>	Refer to Code Usage Limitations in 02 Change Processing Rules in Section 4 of Claims Release 3.1 Implementation Guide, See 7.c.
YG	U	0219	Payment Covers Period Start Date	<p>SROI 02 Change may be sent if data in the Payment Segment is updated related to the last reported payment.</p> <p>See Edit Matrix: Population Restriction Number of Payments DN0283 Error 064.</p>	Refer to Code Usage Limitations in 02 Change Processing Rules in Section 4 of Claims Release 3.1 Implementation Guide, See 7.c.
YG	U	0220	Payment Covers Period Through Date	<p>SROI 02 Change may be sent if data in the Payment Segment is updated related to the last reported payment.</p> <p>See Edit Matrix: Population Restriction Number of Payments DN0283 Error 064.</p>	Refer to Code Usage Limitations in 02 Change Processing Rules in Section 4 of Claims Release 3.1 Implementation Guide, See 7.c.
YG	U	0222	Payment Reason Code	<p>SROI 02 Change may be sent if data in the Payment Segment is updated related to the last reported payment.</p> <p>See Edit Matrix: Population Restriction Number of Payments DN0283 Error 064.</p>	Refer to Code Usage Limitations in 02 Change Processing Rules in Section 4 of Claims Release 3.1 Implementation Guide, See 7.c.
NA	A	Varies	Varies by DN0412 Change Data Element/Segment Number in the Change Data Elements segment for Match Data elements	<p>SROI 02 may be sent to add data that has not been reported when indicated in the Reportable Change Column for A (Add) only if the data element was not previously reported.</p>	Refer to Code Usage Limitations in 02 Change Processing Rules in Section 4 of Claims Release 3.1 Implementation Guide
NA	A, U, R, D	Varies	Varies by DN0412 Change Data Element/Segment Number in the Change Data Elements segment	<p>SROI 02 may be sent and for specific DN that has changed must be valid per DN0413 Change Reason Code.</p>	Refer to Code Usage Limitations in 02 Change Processing Rules in Section 4 of Claims Release 3.1 Implementation Guide