EDI Compliance Reminder

In 2013, the Board adopted a national standard (International Association of Industrial Accident Boards and Commissions' [IAIABC] Claims Electronic Data Interchange [EDI] Release 3.0) for Claim Administrators to electronically submit employer claims data. The electronic filing of claims data was instituted by the Board to provide timely, accurate, and credible electronic reporting.

12 NYCRR 300.22 was amended in 2014 to mandate First Report of Injury (FROI) and Subsequent Report of Injury (SROI) filings for all Claim Administrators. As part of this regulatory amendment, certain Board forms (C-2, C-669, C-7, C-8/8.6, VAW-2, and VF-2) were replaced by their EDI-equivalent FROI/SROI transactions.

It has recently come to the Board’s attention that compliance with this EDI mandate may not be 100%, especially in instances where there are ongoing payments in closed cases.

The Board is sending this reminder to Claim Administrators to ensure that the appropriate FROI/SROI transaction is filed in every case in which there is a current, ongoing payment. This reminder extends to all cases regardless of their age or status (open or closed) with the Board. It is vital that all data in the Board’s claims information system (CIS) concerning ongoing payments in individual workers’ compensation cases is up-to-date and accurate, especially as it takes the first steps towards creating a new Business Information System (BIS).

The Board has partnered with CapTech Ventures (CapTech) to work on its BIS project. BIS is a part of the Board’s multi-year business process re-engineering project, which will modernize and convert the Board’s multiple paper-based legacy systems (including, but not limited to, CIS) to a single web-based platform. BIS will allow the Board to automate and design efficient workflows, provide self-service capabilities to stakeholders, and measure the effectiveness of the workers’ compensation system. The Office of the State Comptroller recently approved the Board’s contract with CapTech, and CapTech representatives began project work on-site at the Board’s Executive Office in Schenectady at the end of August.

Your anticipated cooperation with this directive is greatly appreciated. Failure to abide will (1) minimize the goals of the Board’s electronic reporting mandate, (2) negatively impact the effectiveness of the planned migration of data from CIS to BIS, and (3) interfere with the ability to measure the effectiveness of the workers’ compensation system once BIS is implemented.

Failure to abide by this directive may also result in the assessment of penalties against Claim Administrators consistent with Workers’ Compensation Law and is regulations.

Should you have any questions, please direct them to eClaims@wcb.ny.gov. Additional information is also be located on the eClaims frequently asked questions webpage.