



**Workers'
Compensation
Board**

ONBOARD

eClaims November Webinar

AGENDA

01  eClaims Recent News

02  eClaims Topics

03  Questions?

eClaims Recent News

eClaims Recent News

October 29, 2021

- The [NYS R3.1 Element Requirements](#), [NYS R3.1 Event Table](#), [NYS R3.1 Edit Matrix](#), and [Claims EDI R3.1 Change Log](#) have been updated and posted on the [NY Requirement Tables - EDI R3.1](#) page. The updates are summarized on the [Claims EDI R3.1 Change Log](#) under items #244-289.

November 3, 2021

- The [Subsequent Report of Injury-Sub Annual \(SROI-SA\) reference](#) document is now available on the [Implementation Guide - R3.1](#) page. This document shows the distinct scenarios for SROI-SA transactions.

eClaims Topics

R3.1 Date Reminders

- Onboarding continues through Friday, January 7, 2022.
 - Onboarding test files will be discontinued on January 7, 2022, and you will be put in production on January 24, 2022, regardless of passing the tests.
 - Any trading partner who submits through sFTP flat file and has not successfully passed the sFTP testing by January 24, 2022, is strongly encouraged to use the eClaims Web Data Entry application to submit R3.1 production transactions, as this will eliminate the risk of rejected transactions.
 - You will be held to the Ongoing Monitoring Requirements listed in Section 3.9 of the EDI R3.1 Implementation Guide and subject to penalties imposed by the Board.

EDI R3.1 eClaims Implementation Guide

3.8 Moving from Test Completion to Production Status

The length of the testing period depends on the submitter's transaction acceptance rate and data quality. Once the trading partner has met the data quality criteria as stated in the Test Plan, the Board will notify the trading partner by email that the trading partner has passed the tests and is approved for production Claims EDI reporting of workers' compensation FROI/SROI data with the Board on January 24, 2022.

All trading partners are expected to complete R3.1 testing and be ready to submit R3.1 production transactions on January 24, 2022. Any trading partner who submits through sFTP flat file and has not successfully passed sFTP testing by January 24, 2022, is strongly encouraged to utilize the eClaims Web Data Entry application to submit R3.1 production transactions, as this will eliminate the risk of rejected transactions.

While all trading partners will be placed into production for sFTP flat file and Web Data Entry on January 24, 2022, a testing environment will remain available for those sFTP filers who have not completed Test A, B, and C and wish to continue to test while in production for R3.1. Trading partners who wish to continue to submit test transactions should reach out to eClaims31@wcb.ny.gov.

3.8.1 Key Claims EDI R3.0 to R3.1 Cutover Dates

- Flat file submissions can be submitted until 6 p.m. ET on Thursday, January 20, 2022.
- Web Data Entry will be available until 5 p.m. ET on Friday, January 21, 2022.
- eCase will be available until 5 p.m. ET on Friday, January 21, 2022.
- Further information will be shared as available if any other online systems are impacted.

3.9 Ongoing Monitoring

During production, the Board will monitor data transmissions for completeness, validity, and accuracy. Trading partners may be sent quality control reports that measure their data quality. Trading partners are expected to meet or exceed a 90% accuracy rate for transaction acceptance. The Board will automatically monitor the quality of the data received during production from individual trading partners. The data quality is determined based upon all error types and the number of rejections over a specified period of time.

Trading partner profiles must be kept up to date. The Board must be notified of any changes. If the transmission mode or specifications are changed, re-testing some or all transaction types may be required.

3.10 Migration from Claims EDI R3.0 to R3.1

The test period is a transition time for trading partners. During the test period, claim administrators will be transmitting their R3.1 FROI/SROI test data files to the Board, while at the same time, still submitting R3.0 transactions. When the Board migrates to R3.1, the "Flip the Switch" strategy will be used to complete the process. As New York develops the final migration plans, the Board will communicate to trading partners any transition window when the Board cuts over to R3.1 submissions.

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R3.1 Date Reminders

- Claims EDI R3.0 flat file submissions can be submitted until 6 p.m. ET on Thursday, January 20, 2022.
- Web Data Entry (WDE) will be available until 5 p.m. ET on Friday, January 21, 2022.
- eCase will be available until 5 p.m. ET on Friday, January 21, 2022.
- Claims EDI R3.1 will be live on Monday, January 24, 2022.

EDI R3.1 eClaims Implementation Guide

3.8 Moving from Test Completion to Production Status

The length of the testing period depends on the submitter's transaction acceptance rate and data quality. Once the trading partner has met the data quality criteria as stated in the Test Plan, the Board will notify the trading partner by email that the trading partner has passed the tests and is approved for production Claims EDI reporting of workers' compensation FROI/SROI data with the Board on January 24, 2022.

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While all trading partners will be placed into production for sFTP flat file and Web Data Entry on January 24, 2022, a testing environment will remain available for those sFTP filers who have not completed Test A, B, and C and wish to continue to test while in production for R3.1. Trading partners who wish to continue to submit test transactions should reach out to eClaims31@wcb.ny.gov.

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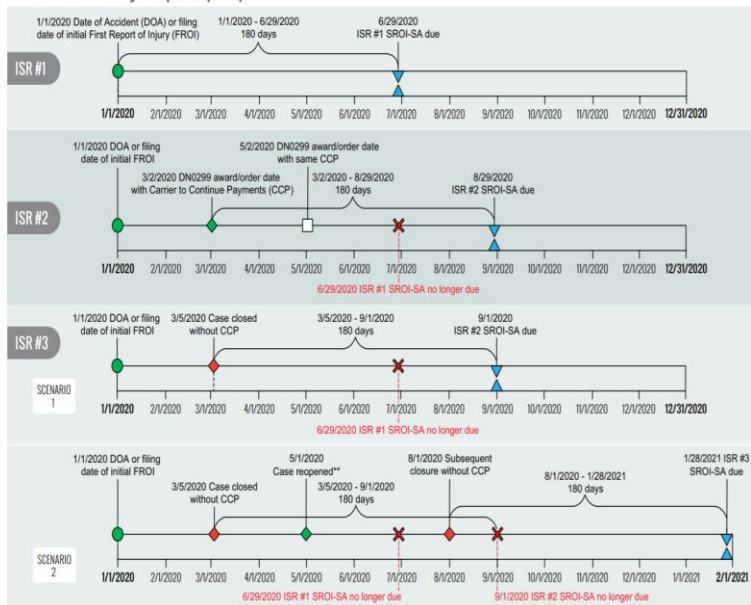
Web Data Entry Demo Recordings

The screenshot shows a webpage titled "eClaims Presentations". At the top left, it says "eClaims Presentations". Below this, there is a navigation link "< ECLAIMS". The page is divided into two sections based on dates. The first section is for "October 27, 2021" and lists two items: "October 19, 2021 eClaims Trading Partner Monthly Webinar - Video" with an external link icon, and "October 19, 2021 eClaims Trading Partner Monthly Webinar - PDF" with a PDF icon. The second section is for "October 8, 2021" and lists two items: "September 28, 2021 eClaims Trading Partner Monthly Webinar - Video" with an external link icon, and "September 28, 2021 eClaims Trading Partner Monthly Webinar - PDF" with a PDF icon.

- The September and October webinar recordings are available on the eClaims website.
- These recordings include a demo of the upcoming Web Data Entry tool.

SROI-SA Scenarios Reference

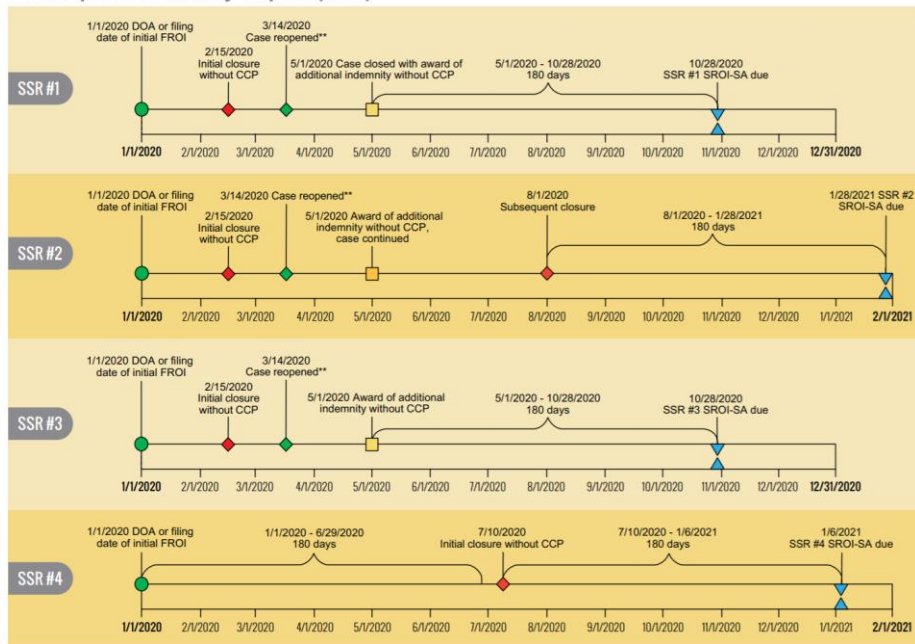
Initial Summary Report (ISR)



**SROI-SA on a reopened case is optional at this time unless the Board has directed continuing indemnity payments.

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Subsequent Summary Report (SSR)



**SROI-SA on a reopened case is optional at this time unless the Board has directed continuing indemnity payments.

WCB-Claims-EDI3.1-SROI-SA-scenarios-v1 11-21

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SROI-SA reference document available [here](#)

SROI-AC

- The Subsequent Report of Injury – Acquisition/Indemnity Ceased (SROI-AC) should only be filed if indemnity ceased prior to your acquisition.
- There are no changes to §300.23.
- The Board will be returning DN0410 (Acquisition Status Code) on the acknowledgement file from Acquired Claims (AQ) and Acquired/Unallocated (AU) submissions.
- Mailing of SROI-AC is required.
- Must provide DN0423 (Acquired Claim Last Known Indemnity Through Date) – Mandatory Conditional (MC) on Acquisition/Indemnity Ceased (AC)
 - Condition: Required if DN0203 (Employer Paid Salary Prior to Acquisition) is not equal to E (Only 2xx Benefit Type Code(s) paid prior to acquisition).
- Claim Administrator must notify the Board that payments are not being made within 16 days of receiving “B = SROI Due” in DN0410 (Acquisition Status Code) on the returned acknowledgement from an AQ or AU pursuant to §300.23, or Medical Treatment Code Acquired/Payment (MTC AP) should be filed if the new Claim Administrator is still paying.

Claims EDI R3.1 Change Log Updates

- The Claims EDI R3.1 Change Log was updated on Friday, October 29, 2021.
- Implementation dates for these changes will be on Monday, January 24, 2022, or Friday, April 29, 2022.
- The [NYS eClaims EDI R3.1 Change Log](#) is available on the [NY Requirements Tables page](#).

10/29/2021					
244	Event Table - SROI	SROI-ER MTC SROI-ER MTC	See IAABC Systems Committee Change Number ERT225. Added "Z" = Net to Zero D0442 Adjustments/Credits reporting". Also added those criteria to the legend.	10/29/2021	1/24/2022
245	Event Table - Periodic	SROI-SA MTC	Clerical Edit: Added the following note to both Initial Summary Report and Subsequent Summary Report trigger values: **SROI-SA on a reopened case is optional at this time unless the Board has directed continuing indemnity payments.	10/29/2021	1/24/2022
246	Element Requirements - FRCI Element Requirements Element Requirements - SROI Element Requirements	DN005 (Jurisdiction Claim Number)	Relaxed Edit: Modified the FRCI-02 Reportable Change Code for Update from "X" to "Y". Business Need: DN005 is a match data element.	10/29/2021	1/24/2022
247	Element Requirements - FRCI Element Requirements	DN018 (Insurer Type Code)	Clerical Edit: See IAABC Systems Committee Change Number ERT209. Changed the coloring of DN018 from orange to black for FRCI MTC AQ & UR.	10/29/2021	1/24/2022
248	Element Requirements - FRCI Element Requirements Element Requirements - SROI Element Requirements	DN004 (Number of Days Worked Per Week)	Modified the FRCI-02 and SROI-02 Reportable Change Codes for AS01 and update from Y to X. Business Need: This is to prevent DN004 from becoming out of sync with DN020 (Work Days Scheduled Codes).	10/29/2021	4/29/2022
249	Element Requirements - SROI Element Requirements Edit Matrix - ON Error Messages Edit Matrix - Valid Value Table Edit Matrix - Valid Values Detailed Page 1 Edit Matrix - Population Restrictions	DN042 (Net to Zero Code)	Add New Data Element for 888 CLM 914. Element Requirements - IAABC Change Log ERT 207. Add for 888_AQ, AP, CA, CB, CR, PR, PY, RB, SX, SU, UR For SROI-02, Add -H, Update -H, Remove -H Added to R22, position 646, format 1 A/N Edit Matrix - IAABC Change Log EM 135 to add Valid Values: Z: Net to Zero Added Error 058 (Code ID Invalid) and Error 064 (Invalid data relationship) for DN042. Added Population Restrictions 0442-064 A) through 0442-064 E)	10/29/2021	4/29/2022
250	Element Requirements - SROI Conditional Requirements	DN007 (Employee Date of Death) DN0146 (Death Result of Injury Code)	Clerical Edit: Added the following note to both the Business and Technical Conditions of the SROI Mandatory conditions for D01007 and 0146: Note: If DN0146 was never received on a 3.0 transaction, then the Suspension MTC equivalent from 3.0 applies (i.e. SROI-04 is DN0418 = 54 (Suspension, Claimant Death)). Business Need: This clarifies how the Mandatory Conditions for DN007 and DN0146 work when the previous transaction was a legacy Suspension MTC.	10/29/2021	1/24/2022
251	Element Requirements - SROI Conditional Requirements	DN0403 (Initial RTW Type Code) DN0406 (Latest RTW Type Code)	Relaxed Edit: Modified SROI Conditional Requirement on DN0403 and DN0406 by removing "or Effective 1/22/2019 required if DN0203 (Number of Reduced Earnings) is > 0," from both Business and Technical Conditions. Business Need: This removes an un-needed scope for when Latest RTW Type Code is required.	10/29/2021	1/24/2022
252	Element Requirements - Event Benefit Conditional	DN0192 (Benefit Payment Issue Date)	Modified Mandatory Condition on DN0192 (Benefit Payment Issue Date) to reflect new D010403 (Net to Zero Code) New Business Condition: Must be present if Maintenance Type Code = AP, CA, CB, CR, PR, RB, SX and Benefit Type Code = 0xx unless Net to Zero Code = 2 (Net to Zero) is present. MTC-UR: This field should be populated with the Benefit Payment Issue Date of the first payment made to the claim. New Technical Condition:	10/29/2021	4/29/2022

Claims EDI R3.1 Change Log Updates

■ Relaxed Edits will be implemented on Monday, January 24, 2022:

- 251, 269, 275, 283

10/29/2021					
244	Event Table - SROI	SROI-IB MTC SROI-ER MTC	See IAABC Systems Committee Change Number EVT25. Added "Z" = Net to Zero DN0442 Adjustments/Credits reporting". Also added those criteria to the legend.	10/29/2021	1/24/2022
245	Event Table - Periodic	SROI-SA MTC	Clerical Edit: Added the following note to both Initial Summary Report and Subsequent Summary Report trigger values: **SROI-SA on a reopened case is optional at this time unless the Board has directed continuing indemnity payments.	10/29/2021	1/24/2022
246	Element Requirements - FRCI Element Requirements Element Requirements - SROI Element Requirements	DN005 (Jurisdiction Claim Number)	Relaxed Edit: Modified the FRCI-02 Reportable Change Code for update from "X" to "Y". Business Need: DN005 is a match data element.	10/29/2021	1/24/2022
247	Element Requirements - FRCI Element Requirements	DN185 (Insurer Type Code)	Clerical Edit: See IAABC Systems Committee Change Number ERT309. Changed the coloring of DN185 from orange to blank for FRCI MTC AQ & UR.	10/29/2021	1/24/2022
248	Element Requirements - FRCI Element Requirements Element Requirements - SROI Element Requirements	DN006 (Number of Days Worked Per Week)	Modified the FRCI-02 and SROI-02 Reportable Change Codes for AS0 and update from Y to X. Business Need: This is to prevent DN006 from becoming out of sync with DN035 (Work Days Scheduled Codes).	10/29/2021	4/29/2022
249	Element Requirements - SROI Element Requirements Edit Matrix - ON Error Messages Edit Matrix - Valid Value Table Edit Matrix - Valid Values Detailed Page 1 Edit Matrix - Population Restrictions	DN042 (Net to Zero Code)	Add New Data Element for 888 CLM 914. Element Requirements - IAABC Change Log ERT 207. Add for 88, AC, AP, CA, CB, CP, PD, PY, RB, SX, SU, UR For SROI-02, AGE - H, Update - H, Remove - H Added to R22, position 646, format 1 A/N Edit Matrix - IAABC Change Log EM 135 to add Valid Values: Z: Net to Zero Added Error 058 (Code ID Invalid) and Error 064 (Invalid data relationship) for DN042. Added Population Restrictions (042-064 A) through (042-064 E)	10/29/2021	4/29/2022
250	Element Requirements - SROI Conditional Requirements	DN057 (Employee Date of Death) DN0146 (Death Result of Injury Code)	Clerical Edit: Added the following note to both the Business and Technical Conditions of the SROI Mandatory Conditions for Dns 057 and 0146: Note: If DN0146 was never received on a 3.0 transaction, then the Suspension MTC equivalent from 3.0 applies (i.e. SROI-04 is DN0418 = 54 (Suspension, Claimant Death)). Business Need: This clarifies how the Mandatory Conditions for DN057 and DN0146 work when the previous transaction was a legacy Suspension MTC.	10/29/2021	1/24/2022
251	Element Requirements - SROI Conditional Requirements	DN043 (Initial RTW Type Code) DN0406 (Latest RTW Type Code)	Relaxed Edit: Modified SROI Conditional Requirement on DN043 and DN0406 by removing 'or Effective 1/22/2019 required if DN0285 (Number of Reduced Earnings) is > 0,' from both Business and Technical Conditions. Business Need: This removes an un-needed scope for when Latest RTW Type Code is required.	10/29/2021	1/24/2022
252	Element Requirements - Event Benefit Conditional	DN0192 (Benefit Payment Issue Date)	Modified Mandatory Condition on DN0192 (Benefit Payment Issue Date) to reflect new DN0406 (Net to Zero Code) New Business Condition: Must be present if Maintenance Type Code = AP, CA, CB, CP, PY, RB, SX and Benefit Type Code = 0xx unless Net to Zero Code = 2 (Net to Zero) is present. MTC-UR: This field should be populated with the Benefit Payment Issue Date of the first payment made to the claim. New Technical Condition:	10/29/2021	4/29/2022

Claims EDI R3.1 Change Log Updates

- Modified Edits will be implemented on Monday, January 24, 2022:

- 264, 265, 273, 274

- Modified Edits will be implemented on Friday, April 29, 2022:

- 248, 252, 259-261, 263, 266-268, 270-272, 276-279, 281, 282

10/29/2021					
244	Event Table - SROI	SROI-RB MTC SROI-ER MTC	See IAABC Systems Committee Change Number ERT23: Added "Z" = Net to Zero DN0442 Adjustments/Credits reporting". Also added those criteria to the legend.	10/29/2021	1/24/2022
245	Event Table - Periodic	SROI-SA MTC	Clerical Edit: Added the following note to both Initial Summary Report and Subsequent Summary Report trigger values: **SROI-SA on a reopened case is optional at this time unless the Board has directed continuing indemnity payments.	10/29/2021	1/24/2022
246	Element Requirements - FRCI Element Requirements Element Requirements - SROI Element Requirements	DN0005 (Jurisdiction Claim Number)	Relaxed Edit: Modified the FRCI-Q2 Reportable Change Code for update from "X" to "Y". Business Need: DN0005 is a match data element.	10/29/2021	1/24/2022
247	Element Requirements - FRCI Element Requirements	DN0285 (Insurer Type Code)	Clerical Edit: See IAABC Systems Committee Change Number ERT230: Changed the coloring of DN0285 from orange to black for FRCI MTC AQ & UR.	10/29/2021	1/24/2022
248	Element Requirements - FRCI Element Requirements Element Requirements - SROI Element Requirements	DN0064 (Number of Days Worked Per Week)	Modified the FRCI-Q2 and SROI-Q2 Reportable Change Codes for A60 and update from Y to X. Business Need: This is to prevent DN0064 from becoming out of sync with DN0035 (Work Days Scheduled Codes).	10/29/2021	4/29/2022
249	Element Requirements - SROI Element Requirements Edit Matrix - ON Error Messages Edit Matrix - Valid Value Table Edit Matrix - Valid Values Detailed Page 1 Edit Matrix - Population Restrictions	DN0442 (Net to Zero Code)	Add New Data Element for 888 CLM 914. Element Requirements: IAABC Change Log ERT 207. All for 88, AC, AP, CA, CB, CP, PD, PY, RB, SC, SU, UR For SROI-Q2: Add -H, Update -H, Remove -H Added to R22, position 646, format 1 A/N Edit Matrix: IAABC Change Log EM 135 to add Valid Values: Z: Net to Zero Added Error 058 (Code ID Invalid) and Error 064 (Invalid data relationship) for DN0442. Added Population Restrictions (042-064 A) through (042-064 E)	10/29/2021	4/29/2022
250	Element Requirements - SROI Conditional Requirements	DN0057 (Employee Date of Death) DN0146 (Death Result of Injury Code)	Clerical Edit: Added the following note to both the Business and Technical Conditions of the SROI Mandatory Conditions for Dns 0057 and 0146: Note: If DN0146 was never received on a 3.0 transaction, then the Suspension MTC equivalent from 3.0 applies (i.e. SROI-S4 is DN0418 + 54 (Suspension, Claimant Death)). Business Need: This clarifies how the Mandatory Conditions for DN0057 and DN0146 work when the previous transaction was a legacy Suspension MTC.	10/29/2021	1/24/2022
251	Element Requirements - SROI Conditional Requirements	DN0403 (Initial RTW Type Code) DN0406 (Latest RTW Type Code)	Relaxed Edit: Modified SROI Conditional Requirement on DN0403 and DN0406 by removing "or Effective 1/22/2019 required if DN0285 (Number of Reduced Earnings) is > 0," from both Business and Technical Conditions. Business Need: This removes an un-needed scope for when Latest RTW Type Code is required.	10/29/2021	1/24/2022
252	Element Requirements - Event Benefit Conditional	DN0192 (Benefit Payment Issue Date)	Modified Mandatory Condition on DN0192 (Benefit Payment Issue Date) to reflect new DN0463 (Net to Zero Code) New Business Condition: Must be present if Maintenance Type Code = AP, CA, CB, CP, PY, RB, SC and Benefit Type Code = 0xx unless Net to Zero Code = 2 (Net to Zero) is present. MTC-UR: This field should be populated with the Benefit Payment Issue Date of the first payment made to the claim. New Technical Condition:	10/29/2021	4/29/2022

Claims EDI R3.1 Change Log Updates

■ New Edits will be implemented on Friday, April 29, 2022:

- 280, 284-288

10/29/2021					
244	Event Table - SROI	SROI-IB MTC SROI-ER MTC	See IAABC Systems Committee Change Number EVT25. Added "Z" = Net to Zero DN0442 Adjustments/Credits reporting". Also added those criteria to the legend.	10/29/2021	1/24/2022
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246	Element Requirements - FRCI Element Requirements Element Requirements - SROI Element Requirements	DN005 (Jurisdiction Claim Number)	Relaxed Edit: Modified the FRCI-02 Reportable Change Code for updates from "X" to "Y". Modified the SROI-02 Reportable Change Code for update from "N" to "Y". Business Need: DN005 is a match data element.	10/29/2021	1/24/2022
247	Element Requirements - FRCI Element Requirements	DN018 (Insurer Type Code)	Clerical Edit: See IAABC Systems Committee Change Number ERT309. Changed the coloring of DN018 from orange to blank for FRCI MTC AQ & UR.	10/29/2021	1/24/2022
248	Element Requirements - FRCI Element Requirements Element Requirements - SROI Element Requirements	DN004 (Number of Days Worked Per Week)	Modified the FRCI-02 and SROI-02 Reportable Change Codes for A65 and update from Y to X. Business Need: This is to prevent DN004 from becoming out of sync with DN035 (Work Days Scheduled Codes).	10/29/2021	4/29/2022
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250	Element Requirements - SROI Conditional Requirements	DN057 (Employee Date of Death) DN0146 (Death Result of Injury Code)	Clerical Edit: Added the following note to both the Business and Technical Conditions of the SROI Mandatory Conditions for Dns 057 and 0146: Note: If DN0146 was never received on a 3.0 transaction, then the Suspension MTC equivalent from 3.0 applies (i.e. SROI-54 is DN0418 = 54 (Suspension, Claimant Death)). Business Need: This clarifies how the Mandatory Conditions for DN057 and DN0146 work when the previous transaction was a legacy Suspension MTC.	10/29/2021	1/24/2022
251	Element Requirements - SROI Conditional Requirements	DN043 (Initial RTW Type Code) DN0406 (Latest RTW Type Code)	Relaxed Edit: Modified SROI Conditional Requirement on DN043 and DN0406 by removing "or Effective 1/22/2019 required if DN0285 (Number of Reduced Earnings) is > 0," from both Business and Technical Conditions. Business Need: This removes an un-needed scope for when Latest RTW Type Code is required.	10/29/2021	1/24/2022
252	Element Requirements - Event Benefit Conditional	DN0192 (Benefit Payment Issue Date)	Modified Mandatory Condition on DN0192 (Benefit Payment Issue Date) to reflect new DN0406 (Net to Zero Code) New Business Condition: Must be present if Maintenance Type Code = AP, CA, CB, CP, PP, RB, SX and Benefit Type Code = 0xx unless Net to Zero Code = 2 (Net to Zero) is present. MTC-UR: This field should be populated with the Benefit Payment Issue Date of the first payment made to the claim. New Technical Condition:	10/29/2021	4/29/2022

Questions?



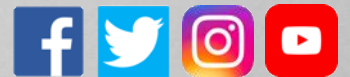
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For eClaims EDI R3.1 upgrade questions, email eClaims31@wcb.ny.gov.

For eClaims EDI R3.0 questions, email eClaims@wcb.ny.gov.

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Thank You