eClaims July Webinar
AGENDA

01  eClaims Recent News
02  FROI/SROI-02 Reference Documents
03  NYS FROI/SROI-02 Transaction Processing in Claims EDI R3.1
04  Questions?
eClaims Recent News

July 2, 2021

- The June webinar slide deck is now available on the eClaims Presentation page. The agenda for June's webinar included:
  - eClaims Recent News
  - eClaims Topics
FROI/SROI 02 Reference Documents
During the preparation of the New York State Claims EDI R3.1 Requirement Tables, and review of the IAIABC EDI Release 3.1 Implementation Guide 2021, it was determined that edits were necessary in order to protect data integrity.

These edits and descriptions were added to the eClaims change log, and published on March 8, 2021 to item #78-165.

<table>
<thead>
<tr>
<th>Item #</th>
<th>Document</th>
<th>Item Description</th>
<th>Change Date</th>
<th>Publish Date</th>
<th>Implementation Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>79</td>
<td>FROI/SROI-02 Transaction-Processing Document</td>
<td>Included to provide further context to the In-Hos EDI F6 process error item F109 (Employee Name) for the claim.</td>
<td>5/8/2021</td>
<td>5/9/2021</td>
<td>5/10/2021</td>
</tr>
<tr>
<td>80</td>
<td>FROI/SROI-02 Transaction-Processing Document</td>
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<td>5/10/2021</td>
</tr>
</tbody>
</table>
Two reference documents have been published to assist trading partners understand the New York State Workers’ Compensation Board approach for processing 02-Change transactions.

These documents are:

- NYS FROI/SROI-02 Transaction Processing in Claims EDI R3.1
- NYS Claims EDI R3.1 FROI/SROI Change 02 Required
FROI/SROI-02 Reference Documents

- NYS FROI/SROI-02 Transaction Processing in Claims EDI R3.1
- Published on March 16, 2021
- This document is meant to be a reference guide on how to process a FROI/SROI-02
FROI/SROI-02 Reference Documents

- NYS Claims EDI R3.1 FROI/SROI Change 02 Required

Initially published on March 19, 2021, revised on May 19, 2021

This handout is meant to be a quick reference guide for Reportable Change Codes for the 02-Change

This is a condensed version of the Element Requirement table

All Data Elements that require a 02-Change to be triggered are listed in this document along with a summary of the Reportable Change Codes being used by New York State
NYS FROI/SROI-02
Transaction Processing in Claims EDI R3.1
The first section of the NYS FROI/SROI-02 Transaction Processing in Claims EDI R3.1 document explains Claims EDI R3.0 to Claims EDI R3.1 differences.

New York State will only edit Implied/Usable DNs.

Only DNs sent in the Change Variable Segment will be considered accepted data, any other data sent will be ignored.

Only Implied/Usable DNs (defined in Section 2 of the document) are edited and accepted.
Differences between EDI R3.0 to EDI R3.1

- Only Implied/Usable DNs will be considered to have the latest value in the 02-Change transaction with the previously accepted data still being displayed.

- This will be displayed in eCase on the FROI/SROI tab – Latest Value screen and on pdfs on the Change Data Elements Section (see below screen shot) in the case folder.
Differences between EDI R3.0 to EDI R3.1

- DN0413 (Change Reason Code) indicates Add (A), Update (U), R (Remove), or Delete (D).
The second section of the reference document explains the acceptance method that is being adopted by New York State based on the options that the IAIABC Section 4 Change 02 processing rules.

New York State has decided to go with option one:
- Accepting data of only those DNs that are specified in the Change Variable Segment, after applying edits.
Edits will be applied to **Implied/Usable DNs**, which include the following:

- DNs that are listed in the Change Variable Segment
- Variable Segment DNs that have their counter (‘Number Of’ DN) listed in the Change Variable Segment
Acceptance method

Edits will be applied to **Implied/Usable DNs**, which include the following:

- All DNs with 02-Requirement Code of:
  - ‘F’ (Fatal)
  - ‘m’ (Mandatory Match Data Element)
  - ‘mc’ (Mandatory Conditional Match Data Element)
  - ‘M’ (Mandatory)

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1. Differences between EDI R3.0 to EDI R3.1

<table>
<thead>
<tr>
<th>EDI R3.0</th>
<th>EDI R3.1</th>
</tr>
</thead>
<tbody>
<tr>
<td>All DNs with a Security Code of “F”, “f”, and “y” are edited, also any DNs with a Security Code of “M”, “m”, and “y” are edited and accepted.</td>
<td>Only implied/usable DNs (as defined below) are edited and accepted.</td>
</tr>
<tr>
<td>All values on 02 Transactions are assumed to be the latest values regardless of which DN’s values in the most recently accepted transaction.</td>
<td>Only implied/usable DNs will be considered to have the latest value in the 02 transaction.</td>
</tr>
<tr>
<td>Add, Update, or Delete is not specified.</td>
<td>Change Resume Code indicates Add (A), Update (U), or Delete (D).</td>
</tr>
</tbody>
</table>

2. Acceptance method

**IAABC Section 4 Change: 02 Claims EDI R3.1 rules as approved in RR057 on December 11, 2009**, and published in the **IAABC Claims EDI R3 Implementation Guide on January 1, 2010**, after two methods of accepting on 02 Change transaction.

**OINC:** Accepting data of only those DNs that are specified in the Change Variable Segment, after applying edits.

**TWN:** Accepting any of the DNs that are included in the 02 Change transaction after applying appropriate edits.

New York has decided to go with option “TWN” above.

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2a. Implied/Usable Data Element Names (DNs)

Upon further investigation, the Board determined that the edits will be applied to Implied/Usable DNs, which include the following:

- DNs that are listed in the Change Variable Segment.
- Variable Segment DNs that have their counter (Number Of DN) listed in the Change Variable Segment.
- All DNs with 02-Requirement Code of “F” (Fatal), “m” (Mandatory Match Data Element), “mc” (Mandatory Conditional Match Data Element), “M” (Mandatory), “F” (Fatal), or “y” (Mandatory) editing of implied/usable DNs means that the Board will check for Fatal, Mandatory, and Mandatory Conditional requirements, and Population Restrictions. (Note: Population Restrictions on the counter DN [Number Of DN] will only be applied when the counter DN [Number Of DN] is in the Change Variable Segment.)
Acceptance method

- Edits will be applied to **Implied/Usable DNs**, which include the following:
  - Editing of Implied/Usable DNs means that the Board will check for Fatal, Mandatory, and Mandatory Conditional requirements, and Population Restrictions.
  - Note: Population Restrictions on the counter DN ['Number Of’ DN] will only be applied when the counter DN ['Number Of’ DN] is in the Change Variable Segment.
  - This is applied to all Match Data Elements.
Acceptance method

- 02-Change transactions will be accepted if the following criteria are met:

1. Implied/Usable DNs must pass the edit specified in the Section 3 “Applying edits on an ‘02-Change’ transaction” section.

2. Transaction contains a valid/allowed DN0413 (Change Reason Code) for each DN specified in DN0412 (Change Data Element).
   - Example: When a Variable Segment counter DN is received with a Change Reason Code of ‘R’, or a non-Variable Segment DN is received with a Change Reason Code of ‘D’, the 02-Change transaction will be rejected with error 123 (Invalid Change Reason Code Relationship) as listed on the Edit Matrix- DN Error Message Table.
Acceptance method

- 02-Change transactions will be accepted if the following criteria are met:

3. Data Element Requirements will be applied to Implied/Usable DN's only, using steps to determine if the appropriate MTC was sent by the Claim Administrator in the past based on whether they are NON-VARIABLE SEGMENT DNS or VARIABLE SEGMENT DNS.
Non-Variable Segment DNs

- The Reportable Change Code will be used, ignoring ‘NA’ and ‘X’ in the 02 Requirement, as it is done for other MTCs.

- If the 02 Requirement says ‘NA’ but the Reportable Change Code is ‘B’ or ‘N’ for Change Reason Code (A/U/D/R), then the Board will reject the transaction.
Non-Variable Segment DNs

The current Severity Code of the MTC is based upon:

- The most recently accepted MTC other than 02 and ‘RE’ that does not have a Severity Code of ‘X’ or ‘NA’ for that Implied/Usable DN (FROI/SROI depending upon which 02 was received) based upon the Severity Code at the time that transaction was accepted.
Non-Variable Segment DNs

The current Severity Code of the MTC is based upon

- If no MTCs are found, then use the most recently accepted MTC other than 02 and ‘RE’ that does not have a Severity Code of ‘X’ or ‘NA’ for that DN (FROI/SROI depending upon which 02 was received) based upon the current Severity Code (in other words, as if that transaction was processed right now).

- If the previously accepted transaction is one of the now-obsolete Suspension MTCs (e.g., S1, S3), then the system will check the current Severity Codes for the ‘SX’ MTC.
Non-Variable Segment DNs

The current Severity Code of the MTC is based upon

- If none are found, then reject the transaction except when an 02 is received that changes any of the following DNs:
  - DN0196 (Denial Rescission Date)
  - DN0075 (Agreement to Compensate Code)
    - Example: An update is allowed on DN0193 (Suspension Effective Date) with ‘U’ (Update) on an 02 but it is only valid on the ‘SX’ and ‘UR’ MTCs (Upon Request) in R3.1.
    - There is a possibility of the Board receiving an 02 with DN0193 (Suspension Effective Date) without receiving an ‘SX/UR’.
    - If our search did not find any MTC that was valid for this DN, then the Board will reject the transaction.
Non-Variable Segment DNs

■ The Mandatory Condition for 02s will be applied to DNs whose value is being removed with the Change Reason Code ‘R’ and only if a non-02 MTC (FROI/SROI depending upon which 02 was received) has ever been accepted that currently has a DER code of ‘MC’ or ‘M’.

■ The DER code will be based on the current DER code of ‘MC’ or ‘M’ as if that MTC was processed right now.
### Variable Segment DNs

- **The Severity Code will be determined using the same steps specified in the non-Variable Segment section except that when determining the most recently accepted MTC.**

- **All DNs within the Variable Segments are evaluated but we will consider it having a match if any of those DNs have a Severity Code other than ‘NA’ or ‘X’.

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#### Example of Variable Segment DNs

<table>
<thead>
<tr>
<th>Segment DNs</th>
<th>Severity Code</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>Variable Segment DN 1</td>
<td>X</td>
<td>NA</td>
</tr>
<tr>
<td>Variable Segment DN 2</td>
<td>NA</td>
<td>X</td>
</tr>
</tbody>
</table>

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#### Example of Variable Segment DN 1

- **Severity Code:** NA
- **Comments:** NA

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#### Example of Variable Segment DN 2

- **Severity Code:** X
- **Comments:** X
Variable Segment DNs

If the MTC is found in the above search, then the Board will apply the current Severity Code for all DNs from that MTC, including ‘NA’ and ‘X’.

- Example: A SROI-02 was received as the first R3.1 transaction with DN0424 (Number of Dependent/Payee Relationship) and the most recently accepted MTC in our search was SROI-CA, which was received in R3.0 and has a current Severity Code of ‘AR’ for DN0097 (Dependent/Payee Relationship Code).

- Since all the other DNs did not exist in R3.0, the current Severity Code of ‘CA’ MTC will be applied to all new DNs (e.g., DN0428 [Dependent Gender Code] has ‘NA’ so it will be ignored).
Population Restrictions

Population Restrictions will be applied to the Implied/Usable DNs.

Note: Population Restrictions on the counter DN ['Number Of’ DN] will only be applied when the counter DN ['Number Of’ DN] is in the Change Variable Segment), unless the Board ignores the value due to the DN’s Severity Code (based upon the search result above) being ‘X’ or ‘NA’.

These were added to protect the data integrity.
Numerous Population Restrictions were posted on the eClaims change log on 3/8/2021 under IDs #78-165 using Error Code 064 (Invalid Data Relationship) with Element Error Text that includes “Data Elements missing in the Change Variable Segment”.

They are applied to all dependent DNs that are being impacted by the DN being changed on the 02, because of an existing Mandatory Condition on those dependent DNs.
Population Restrictions

Examples:

- Some DER codes were changed from ‘IA’ to ‘M’ in R3.0 and will continue to be in R3.1 (for example, DN0075 [Agreement to Compensate Code] on SROI-AP/EP).

- Some DER codes will be changing from ‘IA’ to ‘MC’ for R3.1 (for example, DN0144 [Current Date Disability Began] on SROI-ER/RB).

- Some DER codes will be changing from ‘M’ to ‘AR’ for R3.1 (for example, DN0041 [Date Claim Administrator Had Knowledge of Injury] on FROI-AQ).

- Some DER codes were changed from ‘IA’ to ‘M’ in R3.0 and will continue to be in R3.1 (for example, DN0075 [Agreement to Compensate Code] on SROI-AP/EP).

- Some DER codes will be changing from ‘IA’ to ‘MC’ for R3.1 (for example, DN0144 [Current Date Disability Began] on SROI-ER/RB).

- Some DER codes will be changing from ‘M’ to ‘AR’ for R3.1 (for example, DN0041 [Date Claim Administrator Had Knowledge of Injury] on FROI-AQ).
Population Restrictions

Examples:

- Some DER codes will be changing from ‘MC’ to ‘AR’ for R3.1 (for example, DN0281 [Initial Date Employer Had Knowledge of Date of Disability] on SROI-AP).

- Some DER codes will be changing from ‘MC’ to ‘NA’ for R3.1 (for example, DN0124 [Actual Reduced Earnings] on SROI-UR).

- Some DER codes will be changing from ‘MC’ to ‘X’ for R3.1 (for example, DN0066 [Full Wages Paid for Date of Injury Indicator] on SROI-CD).

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3. Applying edits on an O2-Change transaction

I. New York will not only re-issued 2s. All other 2s will be ignored and they will not be considered as accepted data. Refer to “How to determine what 2s values in use for mass editing” section for more information.

II. Get the list of 2s in the Change Variable Segment, if none are found, then reject the transaction with the appropriate error message, otherwise, continue to the next step.

III. If there are duplicate 2s listed in O2R01 (Change Data Elements) of the Change Variable Segment, then the transaction will be rejected with the appropriate error message. (See the NYS 2s Edit Matrix, Population Restrictions tab for O2R01, Error Message: Number 069, Population Restriction 1b.)

IV. If any 2s that have an O2-Change transaction with a DER code of ‘X’ or ‘Y’ and there is a blank or null value in the transaction, then the transaction will be rejected with error 001 (mandatory field not present). If the DER code is ‘X’ and the condition requires the value to be present, Mandatory Conditional Requirements will be applied and the transaction will be rejected if the DN value is blank or null.

V. The transaction will be rejected with error 1259 (this recognizable change code based on DN0491[number of Change Data Elements] where no change is detected (provided no other valid change is detected in the segment) for the following conditions:

A. When a non-Variable Segment DN is listed in the Change Variable Segment, then compare the value of that DN with the previously reported value. In the case of DN0220 (employee ID Type Qualifier), also compare the actual Employee ID, e.g., DN0041 (Employee ID), DN0073 (Agreement to Compensate Code) will be considered as a recognizable change on an O2-Change transaction with the same value as previously reported because of a mandatory-only claim being accepted after a denial, Refer to all Population Restrictions on match data elements returning now NY R3 Error 1259 to apply this edit.

B. When a Variable Segment counter DN is in the Change Variable Segment, then compare the values of all the DN’s within that Variable Segment with the previously reported values of those DN’s.

C. Changes in the order of the Variable Segment will be ignored and it will not be considered as a change.
Population Restrictions

Examples:

- Some DER codes will be changing from ‘X’ to ‘MC’ for R3.1 (for example, DN0124 [Actual Reduced Earnings] on SROI-CA).

Example: DN0146 (Death Result of Injury Code) is being changed on a SROI-02, which impacts the Mandatory Condition on DN0097 (Dependent/Payee Relationship Code), meaning DN0097 is the dependent DN in this example.

- DN0097 has a DER code of ‘AR’ for SROI-04/CA/PD, ‘NA’ for SROI-AC/SA, ‘X’ for SROI-CD, ‘MC424’ for SROI-02, and ‘MC’ for all other SROIs.

- If SROI-02 was received any time after accepting a SROI with a DER code of ‘MC’ (besides 02) for DN0097, then Population Restriction on DN0146 for MTC 02 will be applied.

- Otherwise, Population Restriction on DN0146 for MTC 02 will not be applied.

3. Applying edits on an 02-Change transaction

I. New York will not only implemented Unique DNs. All other DNs will be ignored and they will not be considered as accepted data. Refers to “View to determine what DNs values to use for case editing” section for more information.

II. Get the list of DNs in the Change Variable Segment. If none are found, then reject the transaction with the appropriate error message; otherwise, continue to the next step.

III. If there are duplicate DNs listed in UNH(1) (Change Data Elements) of the Change Variable Segment, then the transaction will be rejected with the appropriate error message. (Use the NYS [dummy] Matrix, Population Resolution tab for UNH, Error Message Number 046, Population Resolution 00)

IV. If any DNs that have an 02-Change transaction with a DER code of ‘X’ or ‘X’, and there is a black or null value in the transaction, then the transaction will be rejected with error 001 (Mandatory field not present). If the DER code is ‘X’ and the condition requires the value be present, Mandatory Conditional Requirements will be applied and the transaction will be rejected if the DN value is blank or null.

V. The transaction will be rejected with error 124 (unsuitable change code) based on DN0414 (Number of Change Data Elements) where no change is detected (provided no other value change is detected in the segment) for the following conditions:

A. When a non-Variable Segment DN is listed in the Change Variable Segment, then compare the value of that DN with the previously reported value. In the case of DN020 (Employee ID Type Qualifier), also compare the actual Employee ID, e.g., DN004[2] replace SSN, DN007S (Agreement to Compensate Code) will be considered as a reasonable change on an 02-Change transaction with the same value as previously reported because of a medical-only claim being accepted after a death. Refer to all Population Resolutions on match data elements returning new NY R31 Error 124 to apply this edit.

B. When a Variable Segment counter DN is in the Change Variable Segment, then compare the values of all the DNs that Variable Segment with the previously reported values of those DNs.

C. Changes in the order of the Variable Segment will be ignored and it will not be considered as a change.

D. TRANSACTIONS PASSES SIZING REQUIREMENT EDITS IN THE NYS R31 EDIT MATRIX.
New York State will edit only Implied/Usable DNs. Only DNs sent in the Change Variable Segment will be considered accepted data. Any other data sent will be ignored.

Get the list of DNs in the Change Variable Segment, if none are found, then reject the transaction with the appropriate error message; otherwise, continue to the next step.
Applying Edits on an 02-Change transaction

- If any DNs that have an 02-Change transaction with a DER code of ‘F’ or ‘m’ and there is a blank or null value in the transaction, then the transaction will be rejected with error 001 (Mandatory field not present).

- If the DER code is ‘mc’ and the condition requires the value to be present, Mandatory Conditional Requirements will be applied and the transaction will be rejected if the DN value is blank or null.

3. Applying edits on an 02-Change transaction

L. Now you will only implement Unique DNs. All other DNs will be ignored and they will not be considered as accepted data. Refer to "How to determine when DN values in use for mass editing" section for more information.

M. Get the list of DNs in the Change Variable Segment. If none are found, then reject the transaction with the appropriate error message; otherwise, continue to the next step.

N. If there are duplicate DNs listed in the Change Data Element of the Change Variable Segment, then the transaction will be rejected with the appropriate error message. (See the NYS 02-Edit Matrix, Population Regulations tab for 004633 Error Message: Number 094, Population Identification)

O. If any DNs that have an 02-Change Transaction with a DER code of ‘F’ or ‘m’ and there is a blank or null value in the transaction, then the transaction will be rejected with error 001 (Mandatory field not present). If the DER code is ‘mc’ and the condition requires the value to be present, Mandatory Conditional Requirements will be applied and the transaction will be rejected if the DN value is blank or null.

V. The transaction will be rejected with error 0543 (Non-reconcilable change) on 004633 (Number of Change Data Elements) where no change is detected (provided no other valid change is detected in the segment) for the following conditions:

A. When a non-Variable Segment DN is listed in the Change Variable Segment, then compare the value of that DN with the previously reported value. In the case of 00463 (Employee ID Type Qualifier), also compare the actual Employee ID, e.g., 004633 01 rel 544. 004635 (Agreement to Compensation Code) will be considered as a reconcilable change on an 02-Change transaction with the same value as previously reported because of a medical-only claim being accepted after a denial. Refer to all Population Restrictions on match data elements returning new NY 03 Error 107 to apply this edit.

B. When a Variable Segment counter DN in the Change Variable Segment, then compare the values of all the DNs within that Variable Segment with the previously reported values of those DNs.

C. Changes in the order of the Variable Segment will be ignored and it will not be considered as a change.
Applying Edits on an 02-Change transaction

The transaction will be rejected with error 124 (No recognizable change found) on DN0411 (Number of Change Data Elements) when no change is detected (provided no other valid change is detected in the segment) for the following conditions:

- When a non-Variable Segment DN is listed in the Change Variable Segment, then compare the value of that DN with the previously reported value.

- In the case of DN0270 (Employee ID Type Qualifier), also compare the actual Employee ID, e.g., DN0042 (Employee SSN).

3. Applying edits on an 02-Change transaction

1. New York will not only implied Unvalid DNs. All other DNs will be ignored and they will not be considered as accepted data. Refer to "How to determine what DN values are used for other editing sections for more information.

2. Get the list of DNs in the Change Variable Segment, if none are found, then reject the transaction with the appropriate error message, otherwise, continue to the next step.

3. If there are duplicate DNs listed in DN0412 (Change Data Elements), then the transaction will be rejected with the appropriate error message. Give the NYS 0412 edit Notes, Population Restrictions for DN0412, Error Message Number 0412, Population Restrictions B.

4. If any DNs that have no 02-Change transaction with a 002 code of 'Y' or 'N', and there is a blank or null value in the transaction, then the transaction will be rejected with error 001 (Mandatory field not present).

5. If the 002 code is 'N' and the condition requires the value to be present, Mandatory Conditional Requirements will be applied and the transaction will be rejected if the DN is blank or null.

The transaction will be rejected with edit 014 (No recognizable change found) on DN0411(Number of Change Data Elements) when no change is detected (provided no other valid change is detected in the segment) for the following conditions:

A. When a non-Variable Segment DN is listed in the Change Variable Segment, then compare the value of that DN with the previously reported value. In the case of DN0270 (employee ID Type Qualifier), also compare the actual Employee ID, e.g., DN0042 (employee SSN). DN0015 (Agreement to Compensate Code) will be considered as a recognizable change on an 02-Change transaction with the same value as previously reported because of a medical-only claim being accepted after a denial. Refer to all Population restrictions on match data elements returning new NY 001 Error 014 to apply this edit.

B. When a Variable Segment counter DN is in the Change Variable Segment, then compare the values of all the DNs within that Variable Segment with the previously reported values of those DNs.

C. Changes in the order of the Variable Segment will be ignored and it will not be considered as a change.
Applying Edits on an 02-Change transaction

The transaction will be rejected with error 124 (No recognizable change found) on DN0411 (Number of Change Data Elements) when no change is detected (provided no other valid change is detected in the segment) for the following conditions:

- DN0075 (Agreement to Compensate Code) will be considered as a recognizable change on an 02-Change transaction with the same value as previously reported because of a medical-only claim being accepted after a denial.
- Refer to all Population Restrictions on match data elements returning new NY R3.1 Error 117 to apply this edit.

3. Applying edits on an 02-Change transaction

- New York will not allow implied Unique IDs. All other Unique IDs will be ignored and they will not be considered as accepted data. Refer to “How to determine what EDI values to use for cross-editing” section for more information.
- The list of IDs in the Change Variable Segment, if none are found, then reject the transaction with the appropriate error message; otherwise, continue to the next step.
- If there are duplicate IDs listed in (DN0412) Change Data Elements, the transaction will be rejected with the appropriate error message. See the NYS R3.1 Edit Matrix, Population Restrictions tab for DN0412. Error Message-Number N06, Population Restriction R8.
- If any IDs that have an 02-Change transaction with a DFS code of ‘Y’ or ‘N’ and there is a blank or null value in the transaction, then the transaction will be rejected with error 001 (Mandatory field not present).
- The transaction will be rejected with error 124 (no recognizable change found) on DN0411 (Number of Change Data Elements) when no change is detected (provided no other valid change is detected in the segment) for the following conditions:
  A. When a non-Variable Segment DN is listed in the Change Variable Segment, then compare the value of that DN with the previously reported value. In the case of DN0075 (Employee ID Type Qualifier), also compare the actual Employee ID, e.g., DN0041 @ Employee ID. DN0075 (Agreement to Compensate Code) will be considered as a recognizable change on an O2-Change transaction with the same value as previously reported because of a medical-only claim being accepted after a denial. Refer to all Population Restrictions on match data elements returning new NY R3.1 Error 117.
  B. When a Variable Segment counter DN is in the Change Variable Segment, then compare the values of all the DNs within that Variable Segment with the previously reported values of those DNs.
  C. Changes in the order of the Variable Segment will be ignored and it will not be considered as a change.
Applying Edits on an 02-Change transaction

The transaction will be rejected with error 124 (No recognizable change found) on DN0411 (Number of Change Data Elements) when no change is detected (provided no other valid change is detected in the segment) for the following conditions:

- When a Variable Segment counter DN is in the Change Variable Segment, then compare the values of all the DNs within that Variable Segment with the previously reported values of those DNs.

D. TRANSACTION PASSES SIGNIFICANCE REQUIREMENT EDITS IN THE 023 EDIT MATRIX.

3. Applying edits on an 02-Change transaction

1. Now 'will not only implied, unliked DN s. All other DNs will be ignored and they will not be considered as accepted data. Refer to 'How to determine which DNs values in use for mass-editing' section for more information.

2. Get the list of DNs in the Change Variable Segment. If none are found, then reject the transaction with the appropriate error message; otherwise, continue to the next step.

3. If there are duplicate DNs listed in (DN0412) Change Data Elements of the Change Variable Segment, then the transaction will be rejected with the appropriate error message. See the NYS 023 Edit Matrix, Population Restrictions tab for DN0412, Error Message Number 064, Population Restrictions B.

4. If any DNs that have an O2-Change transaction with a DSN code of 'X' or 'Y' and there is a blank or null value in the transaction, then the transaction will be rejected with error 001 (Mandatory field not present). If the DSN code is 'X', and the condition requires the value to be present, Mandatory Conditional Requirements will be applied and the transaction will be rejected if the DN value is blank or null.

5. The transaction will be rejected with error 124 (No recognizable change found) on DN0411 (Number of Change Data Elements) when no change is detected (provided no other valid change is detected in the segment) for the following conditions:

A. When a non-Variable Segment DN is listed in the Change Variable Segment, then compare the value of that DN with the previously reported value. In the case of DN070 (Employee ID, Type Qualifier), also compare the actual Employee ID, e.g., DN0701 (Employee SSN), DN0702 (Agreement to Compensable Code) will be considered as a recognizable change on an 02-Change transaction with the same value as previously reported because of a medical-only claim being accepted after a denial. Refer to all Population Restrictions on match data elements returning new NYRS4 Error 107 to apply this edit.

B. When a Variable Segment counter DN is in the Change Variable Segment, then compare the values of all the DNs within the Variable Segment with the previously reported values of those DNs.

5. Changes in the order of the Variable Segment will be ignored and will not be considered as a change.
Steps for Further Editing

- Check for any Variable Segment DNs listed in the Change Variable Segment, then reject the transaction with error 123 (Invalid Change Reason Code Relationship) and process the next DN; otherwise, continue to the next step.

- Loop through each DN that is in the Change Variable Segment that is not a counter DN.

1. If the DN0413 (Change Reason Code) is ‘R’ (Remove) and there is a value in the DN, then reject the transaction with error 123 (Invalid Change Reason Code Relationship) with additional message “being removed has values, blank required” and process the next DN.

NYS FR01/SRO1-02 transaction processing

<table>
<thead>
<tr>
<th>DN#</th>
<th>DN Description</th>
<th>Compare</th>
</tr>
</thead>
<tbody>
<tr>
<td>0085</td>
<td>Benefit Type Code</td>
<td>Yes</td>
</tr>
<tr>
<td>0042</td>
<td>Maintenance Type Code</td>
<td>No</td>
</tr>
<tr>
<td>0074</td>
<td>Gross Weekly Amount</td>
<td>Yes</td>
</tr>
<tr>
<td>0075</td>
<td>Gross Weekly Amount Effective Date</td>
<td>Yes</td>
</tr>
<tr>
<td>0087</td>
<td>Net Weekly Amount</td>
<td>Yes</td>
</tr>
<tr>
<td>0211</td>
<td>Net Weekly Amount Effective Date</td>
<td>Yes</td>
</tr>
<tr>
<td>0088</td>
<td>Benefit Period Start Date</td>
<td>Yes</td>
</tr>
<tr>
<td>0089</td>
<td>Benefit Period Through Date</td>
<td>Yes</td>
</tr>
<tr>
<td>0090</td>
<td>Benefit Type Claim Weeks</td>
<td>Yes</td>
</tr>
<tr>
<td>0091</td>
<td>Benefit Type Claim Days</td>
<td>Yes</td>
</tr>
<tr>
<td>0086</td>
<td>Benefit Type Amount Paid</td>
<td>Yes</td>
</tr>
<tr>
<td>0092</td>
<td>Benefit Payment Issue Date</td>
<td>Yes</td>
</tr>
</tbody>
</table>

2. Based on the UIABC’s Claims Revision 3.1 Implementation Guide Section 4.0 - Change Processing Rules and the Pop-Up Restrictions Listed on the NYS SRO1 Form Mobile, Benefit segments are edited to ensure that another MTC is not more appropriate. Benefit segments are special when comparing DN values to determine a change. Listed below are the DNs and whether they will or will not be compared.
Steps for Further Editing

- Loop through each DN that is in the Change Variable Segment that **is not** a counter DN.

2. Find the Reportable Change Code for that DN and the Change Reason Code. If the Reportable Change Code is ‘B’ or ‘N’, then reject the transaction with error 123 (Invalid Change Reason Code Relationship) and process the next DN; otherwise, continue to the next step.

3. If the Reportable Change Code is ‘I’ or ‘IG’, then continue to the next step. The Board is not going to reject the transaction if it receives the change on both the FROI and SROI unless the second transaction has no other recognizable change identified.
Steps for Further Editing

- Loop through each DN that is in the Change Variable Segment that is not a counter DN.

4. If the Reportable Change Code is ‘J’ or ‘JG’, then check if a SROI has already been received. If this is a FROI-02 transaction, then reject the transaction with the appropriate error message and process the next DN; otherwise, continue to the next step.

5. If the Reportable Change Code is ‘K’ or ‘KG’, then check if this is a SROI-02 transaction, then reject the transaction with the appropriate error message and process the next DN; otherwise, continue to the next step.
Steps for Further Editing

- Loop through each DN that is in the Change Variable Segment that is not a counter DN.

6. The Severity Code of MTC 02 (02 Requirement) and current Severity Code from the previously accepted MTC (as defined under Non-Variable Segment DNs, page 2) will be applied here.

7. Apply all Population Restrictions defined for this DN/MTC and reject the transaction with the appropriate error message; otherwise, continue to the next step.
Steps for Further Editing

- Loop through each DN that is in the Change Variable Segment that is not a counter DN.

8. If DN0413 (Change Reason Code) is ‘A’ (Add) or ‘U’ (Update) and the value in the 02-Change transaction for the DNs (non-Variable Segment) listed in the Change Variable Segment is blank or null, then reject the transaction with error 001 (Mandatory field not present) and process the next DN; otherwise, continue to the next step.
Steps for Further Editing

- Loop through each counter DN in the Change Variable Segment.
  1. Apply the appropriate Population Restriction(s) on the counter DN.
  2. If Counter DN is for a Narrative Variable Segment there are some exceptions as noted in the NYS FROI/SROI-02 transaction processing reference document.

NYS FROI/SROI-02 transaction processing

6. The Severity Code of MTC 02 (62 Requirement) and current Severity Code from the previously accepted MTC (if defined under Non-Variable Segment DNs) page 2 will be applied here.
7. Apply all Population Restrictions defined for this DN/MTC and reject the transaction with the appropriate error message; otherwise, continue to the next step.
8. If DN0413 (Change Reason Code) is X (Add) or Y (Update) and the value in the 02 Change Transaction for the DNs (non-Variable Segment) listed in the Change Variable Segment is blank or null, then reject the transaction with error 109 (mandatory field not present) and process the next DN; otherwise, continue to the next step.

C. Loop through each counter DN in the Change Variable Segment.

1. Apply the appropriate Population Restriction(s) on the counter DN.
2. If the counter DN is for a Narrative Variable Segment that includes the following DNs: DN0338 (Accident/Injury Description Narrative), DN024Y (Dental Reason Narrative), DN0234 (Suspension Narrative), and DN026H (Narrative for Claim), there will be an exception to the rule regarding how “U” is used. Rather than expecting Change Reason Code “E” only for situations where any Narrative Segment is removed, the Board should expect “U” for those only when all Narrative Segments are removed. When the following DNs: DN024Y (Number of Accident/Injury Description Narrative), DN0234 (Number of Dental Reason Narrative), DN026H (Number of Suspension Narrative) and DN026H (Number of Narrative for Claim) are in the Change Variable Segment, then:
   a. If the Change Reason Code is W or Y, then the value of the DNs must be greater than zero.
   b. If the Change Reason Code is W or Y and the value of the Number of Narrative DNs is zero, then the transaction will be rejected with error 123 (Invalid Change Reason Code Relationship) with the following additional message: "DNM of number of DN value must be > 0 unless DN0413 = A.U."
   c. If the Change Reason Code is U, then the narrative of the Number of Narrative DNs must be zero.
   d. If the Change Reason Code is W and the value of the Narrative DNs is not zero, then the transaction will be rejected with error 123 (Invalid Change Reason Code Relationship) with the following additional message: "DNM of number of DN value must be = 0 unless DN0413 = A.U."

D. For all other counter DNs that are not for Narrative Segment DNs, then:

1. If the DN403 (Change Reason Code) is X (Add) or Y (Update), the Variable Segment counter DNs listed in the Change Variable Segment must have more than zero segments. If not, then reject the transaction with error 123 (Invalid Change Reason Code Relationship) with the following additional message: "DNM of number of DN value must be > 0 unless DN403 = A.U."
2. If the DN403 (Change Reason Code) is A, then the value in the “Number” field must be greater than the previously accepted transaction. If not, then the transaction will be rejected with error 123 (Invalid Change Reason Code Relationship) with the following additional message: "DNM of number of DN value must be > 0 unless DN403 = A.U."
3. If the DN403 (Change Reason Code) is Y, then the value in the “Number” of DNs must be equal to the previously accepted transaction. If not, then the transaction will be rejected with error 123 (Invalid Change Reason Code Relationship) with the following additional message: "DNM of number of DN value must be = 0 unless DN403 = A.U."

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For all other counter DNs that are not for Narrative segment DNs, then:

1. If the DN0413 (Change Reason Code) is ‘A’ (Add) or ‘U’ (Update), the Variable Segment counter DNs listed in the Change Variable Segment must have more than zero segments.

If not, then reject the transaction with error 123 (Invalid Change Reason Code Relationship) with the following additional message: “value must be > 0 since DN0413=A/U.”
Steps for Further Editing

For all other counterDNs that are not for Narrative segmentDNs, then:

2. If the DN0413 (Change Reason Code) is 'A', then the value in the 'Number' of DNsmust be greater than the previously accepted transaction. If not, then the transaction will be rejected with error 123 (Invalid Change Reason Code Relationship) with the following additional message: "value is < expected since DN0413=A."
Steps for Further Editing

For all other counter DNs that are not for Narrative segment DNs, then:

3. If the DN0413 (Change Reason Code) is ‘U’, then the value in the ‘Number of’ DNs must be equal to the previously accepted transaction. If not, then the transaction will be rejected with error 123 (Invalid Change Reason Code Relationship) with the following additional message: “value is other than expected since DN0413=U.”
For all other counter DNs that are not for Narrative segment DNs, then:

4. If the DN0413 (Change Reason Code) is ‘D’, then the value in the ‘Number of’ DNs must be less than the previously accepted transaction. If not, then the transaction will be rejected with error 123 (Invalid Change Reason Code Relationship) with the following additional message: “value is > expected since DN0413=D.”
Steps for Further Editing

- For all other counter DNs that are not for Narrative segment DNs, then:

5. If the ‘Number of’ DNs listed in DN0412 (Change Data Element) of the Change Variable Segment belongs to a Variable Segment that does not allow any change on any DNs of that Variable Segment, regardless of what the 02 Requirement Code is for that DN (i.e., 1) for Change Reason Code of ‘A’, Reportable Change Code of ‘B’ or ‘N’ for all DNs, or 2) for Change Reason Code of ‘U’, Reportable Change Code of ‘B’ or ‘N’ for all DNs, or 3) for Change Reason Code of ‘D’, Reportable Change Code of ‘B’ or ‘N’ for all DNs), then the transaction will be rejected with error 123 (Invalid Change Reason Code Relationship) with the following additional message: “is not allowed for the change reason code.”
Steps for Further Editing

- For all other counter DNs that are not for Narrative segment DNs, then:
  - Examples:
    - This applies to A/U/D/R for DN0411 (Number of Changed Data Element) and DN0434 (Number of Cancel Elements).
    - This applies to A/D/R for DN0283 (Number of Payments).
Steps for Further Editing

For all other counter DNs that are not for Narrative segment DNs, then:

6. The Severity Code of MTC 02 (02 Requirement) and current Severity Code from the previously accepted MTC (as defined on page 3, Variable Segment DNs) will be applied to each DN in the Variable Segment.

7. Apply all Population Restrictions defined for each DN in the Variable Segment/MTC.

If any of the Population Restrictions fail, then reject the transaction with the appropriate error message; otherwise, continue to the next step.

4. If the DN for MTC 03 (Change Reason Code) is ‘F’, then the value in the ‘Number of DNs’ must be less than the previously accepted transaction. If not, then the transaction will be rejected with error 122 (Invalid Change Reason Code Relationship) with the following additional message: “DNP of number off value is expected since DNADN=DN.”

5. If the ‘Number of DNs’ listed in DNADN (Change Data Element) of the Change Variable Segment belongs to a Variable Segment that does not allow any change in any DNs of that Variable Segment, regardless of what the O2 Requirement Code is for that DN (i.e., 0 for Change Reason Code of 0, 0Replacable Change Code of 0F or 0Y for all DNs, or 2 for Change Reason Code of 02, Replacable Change Code of 0F or 0Y for all DNs), then the transaction will be rejected with error 123 (Invalid Change Reason Code Relationship) with the following additional message: “DNSP of number off value is not allowed for the change reason code ‘Change Reason Code’.”

Examples:
- This applies to AUF/EDISP for DNADN (Number of Changed Data Elements) and DNP (Number of Changed Elements).
- This applies to AUF for DNP (Number of Payments).

6. The Severity Code of MTC 02 (02 Requirement) and current Severity Code from the previously accepted MTC (as defined on page 3, Variable Segment DNs) will be applied to each DN in the Variable Segment.

7. Apply all Population Restrictions defined for each DN in the Variable Segment/MTC. If any of the Population Restrictions fail, then reject the transaction with the appropriate error message; otherwise, continue to the next step.

4. How to determine what DN values to use for cross editing

1. For the NYS MTC’s Claims Release 6.1 Implementation Guide, Section 4 Change O2, State Considerations for Requiring an O2 Change Risk A3, data not included in the O2 Change Variable Segment will not be used to apply cross edits to the data being changed on the O2 Change itself. The implied/unused DN on the O2 Change being processed will be used for editing.

2. Otherwise, for all editing including incoming O2 transactions that need DN values from the database instead of O2 being processed that requires the most recently accepted transaction, get the most recent accepted transaction for Local/Inv PHN Number that does not have Severity Code of ‘01’ on MTC other than O2 that were received on or after implementation of F001. For F001 O2, use implied/unused DN.
Determining DN Values for Cross Editing

- IAIABC’s Claims Release 3.1 Implementation Guide, Section 4 Change 02 Rules Considerations for Requiring an 02 Change Rule #3 refers to cross edits.

- For New York State processing the Board won’t be able to understand what is changing if data is not included in the 02 Change Variable Segment and will not be used to apply cross edits to the data being changed on the 02-Change itself.

- The Implied/Usable DN on the 02-Change being processed will be used for editing.
Determining DN Values for Cross Editing

- Otherwise, for all editing (including incoming 02 transactions that need DN values from the database instead of 02 being processed) that requires the most recently accepted transaction, get the most recent accepted transaction for Lead/Link FROI Number that does not have Severity Code of ‘NA’, ‘X’ on MTCs other than 02 that were received on or after implementation of R3.1.

- For R3.1 02s, use Implied/Usable DN.

For more information, see the eClaims Implementation Guide and the New York Requirement Tables.
Questions?
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