



**Workers'  
Compensation  
Board**

**ONBOARD**

# eClaims December Webinar

# AGENDA

01  Claims EDI R3.1 News

02  Claims EDI R3.1 Topics

03  Questions?

# Claims EDI R3.1 News



**Workers'  
Compensation  
Board**

# Introducing OnBoard!

- The Business Information System (BIS) is now OnBoard.
- The Claims EDI R3.1 upgrade is a part of the Board's OnBoard Project.
- Additional information can be found at [wcb.ny.gov/onboard/#overview](https://wcb.ny.gov/onboard/#overview).

The screenshot displays the OnBoard website interface. At the top, the logo 'ONBOARD' is shown in yellow and teal, with the tagline 'A Better System for a Better Board' below it. A dark sidebar on the left contains a 'SECTIONS' menu with the following items: Overview, Timeline, OnBoard: General FAQs, OnBoard: Limited Release, Get Involved & Stay Informed, A Business Process Re-Engineering Project, and Resources. The main content area features an 'Overview' section with a paragraph of text and a small image of a mobile device displaying the OnBoard app. Below this is a 'Timeline' section with another paragraph of text.

**ONBOARD**  
A Better System for a Better Board

SECTIONS

- Overview
- Timeline
- OnBoard: General FAQs
- OnBoard: Limited Release
- Get Involved & Stay Informed
- A Business Process Re-Engineering Project
- Resources

**Overview**

The next chapter in the modernization of the New York State Workers' Compensation Board, the OnBoard Project, is well underway. The Board, with our integration partner CapTech Consulting, is building a new claims system that will replace our multiple legacy, paper-based claims systems, such as CIS and eCase, with a single, web-based platform.

The new system will offer exciting elements, including improved and expanded access to real-time claim data, new electronic self-service features for interacting with the Board, and a reduction in the amount of overall paper forms to improve system responsiveness to stakeholder needs.

**Timeline**

The multi-year project officially kicked off in Summer 2019, with CapTech Consulting on site and actively working with Board staff to design the new and improved system.

The Board is committed to identifying opportunities to release system functionality early, to better assist stakeholders. Therefore, elements of the OnBoard system will be released in multiple waves.

# Claims EDI R3.1 News

- Additional time will be required to accurately implement Claims EDI R3.1.
- The Board has decided to postpone the original implementation date from March 15, 2021, until October 2021, at the earliest.
- This extension will allow the Board to perform an accurate and successful implementation of Claims EDI R3.1, while providing additional time for all Trading Partners to complete preparations for the R3.1 transition.
- The new go live date will be communicated as soon as possible. If you have questions, please email [eclaims31@wcb.ny.gov](mailto:eclaims31@wcb.ny.gov).

# Claims EDI R3.1 News

- The chart of DNs triggered on 02 has been revised on the New York Requirements Table page with the following modifications:
  - Removed DN0038 (Accident/Injury Description Narrative) as deletions on this data element are not allowed, as stated in the eClaims Change Log (9/15/2020) under ID#27
  - Reformatted to assist senders



## NYS Claims Release EDI 3.1 FROI/SROI Change 02 Required

Revised 10/9/2020

Abbreviation key	
<b>K</b>	Required Change on FROI
<b>Y</b>	Required Change on FROI or SROI, but not both: This code only applies to Data Elements not contained on both FROI and SROI
<b>YG</b>	Required Change on SROI with Exception (see YG Exceptions tab)
<b>I</b>	Required Change on either FROI or SROI, but not both – Claim Administrator Determines
<b>B</b>	Restricted – IAIABC Defined No Change Allowed
<b>N</b>	No Change Allowed – Will Reject if Present
<b>H</b>	No Change Required – Will Not Reject if Present

	FROI or SROI	DN#	FROI Data Element Name	Add	Update	Delete	Remove	MC Condition
1	Both	0006	Insurer FEIN	N	K	B	N	
2	FROI	0012	Claim Administrator City	N	Y	B	N	
3	FROI	0013	Claim Administrator State Code	N	Y	B	N	
4	Both	0014	Claim Administrator Postal Code	N	K	B	N	
5	Both	0015	Claim Administrator Claim Number (Key Match)	B	I	B	B	
6	Both	0016	Employer FEIN	I	I	B	I	
7	FROI	0028	Policy Number Identifier	Y	Y	B	Y	
8	FROI	0029	Policy Effective Date	Y	Y	B	Y	
9	Both	0031	Date of Injury	B	I	B	B	
10	FROI	0035	Nature of Injury Code	Y	Y	B	N	

(continued on page 2)

# Claims EDI R3.1 News

- The Summary of EDI Changes R3.0 to R3.1 has been updated and posted on the New York Requirements Table page with the following modifications:

- Element Requirement and Edit Matrix changes as stated in the eClaims Change Log (9/15/2020)
- Reformatted to assist senders



## eClaims Summary: EDI R3 to R3.1 Table Changes for New York State

Revised 10/20/2020 — changes are highlighted in gold

The New York State Workers' Compensation Board (Board) is transitioning to the International Association of Industrial Accident Boards and Commissions (IAIABC) Claims EDI Release 3.1 standard. This document summarizes the table changes related to First Report of Injury (FROI) and Subsequent Report of Injury (SROI).

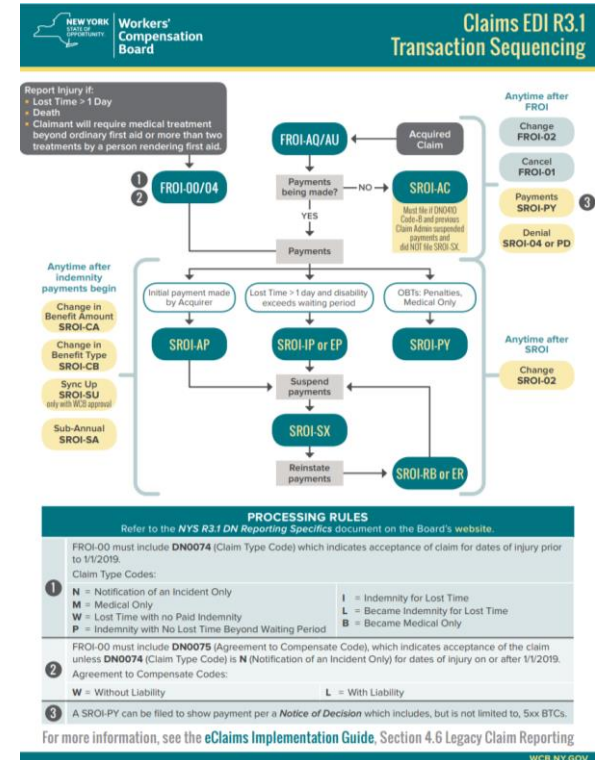
### Event Table

- **Updated** the Paper Forms and Receiver columns to "NA" for the FROI-02 Event where the Report Criteria is "R = 02 Change timeline".
- **New Maintenance Type Code (MTC) SROI-AC (Acquisition/Indemnity Ceased):** The claim administrator who acquired the claim and has not processed indemnity payments must notify the Board that payments are not being made within **16 days** of receiving "B" = SROI Due in DN0410 Acquisition Status Code on the returned acknowledgement from an AQ or AU pursuant to §300.23. Mailing is required.
- **New MTC SROI-SU (Sync Up):** Filed when the claim administrator has identified missed/delayed transactions or other data issues and has a need to send the most current value for SROI data elements to replace all the missed/delayed information. It is only filed when permission is granted by jurisdiction. The sender must email [eClaims@wcb.ny.gov](mailto:eClaims@wcb.ny.gov) for approval and file within **10 days** pursuant to § 25(3)(e).
- **New Event for SROI-RB for Net to Zero:** §300.22 (1)(2) will state that a report is due within **18 days** of a resumption of payments for a disability becoming due in the event that payments are not being made due to a credit owed to the carrier. Mailing is required.
- **New Event for SROI-02 for Overpayment:** When the claim administrator seeks to recover an alleged overpayment for benefits to the claim, the claim administrator must file an Add/Update to DN0433 (Overpayment Amount — Current) as defined by proposed amendment to §300.23(g).  
*Note: New York requested this new data element in R3.1 per the claim administrators' request, as they currently must file correspondence in R3.0 to indicate an alleged overpayment to request a recoupment directive by the Board.*
- **Updated** the Report Trigger Values in accordance with the IAIABC Event Table Instructions. 02s are due if a periodic report is still due or claim administrator is still paying medical on claim.
- **Modified Event for SROI-SA based on a modification to §300.22(1)(3):** The new event (which will reduce the number of sub-annuals required since open cases without a directive of continuing indemnity will no longer be required) will state:  
**For initial summary report:**
  1. The SROI-SA is due **180 days** from the date of accident. If there is not a full date of accident, the SROI-SA is due **180 days** from the filing date of the initial FROI.
  - OR
  2. If DN0299 (Award/Order Date) falls within that first **180 days**, the SROI-SA will instead be due **180 days** from the Award/Order Date (duly filed date of **Notice of Decision**) where there is a Board direction to continue payments. The SROI-SA is required every **180 days** until the continuing payments stops.

(continued on page 2)

# Claims EDI R3.1 News

- The Claims EDI R3.1 Transaction Sequencing document has been updated and posted on the New York Implementation Guide – R3.1 page with the following modifications:
  - Reformatted to assist senders





# Claims EDI R3.1 News

- The October 2020 webinar slide deck and video recording are now available on the [eClaims Presentation page](#). The agenda for October's webinar included:
  - eClaims EDI R3.1 News
  - eClaims Topics

# Claims EDI R3.1 Topics



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# Claims EDI R3.1 Change Log

Document	Description of Change
Event Table - Periodic	<p><b>Additional Notes:</b>            Added to clarify the Periodic Report Trigger Values:</p> <ol style="list-style-type: none"> <li>1) Initial Summary Report Scenario 1 – “Note: Controverted Cases or Accepted Claims with no Medical or Indemnity Paid does not require this filing.”</li> <li>2) Initial Summary Report Scenario 2 – “Note: Reporting on Initial Reopened Cases is Voluntary.”</li> </ol>
<b>Item</b>	
Periodic Tab - SROI-SA	

# Claims EDI R3.1 Change Log

Document	Description of Change
Element Requirements - FROI Element Requirements	<p><b>Changed Code:</b> For DN0005 (Jurisdiction Claim Number), we changed the FROI-02 Reportable Change Code for Update from N to K.</p> <p><b>Business Need:</b> This change was made in the event that the DN0005 is now incorrect on the previous transaction.</p>
<b>Item</b>	
DN0005 (Jurisdiction Claim Number)	

# Claims EDI R3.1 Change Log

Document	Description of Change
Element Requirements - FROI Element Requirements	<b>Clerical Error:</b> For DN0005 (Jurisdiction Claim Number), we changed the FROI-02 Reportable Change Code from “mc” to “m,” since there are no conditional requirements.
<b>Item</b>	
DN0005 (Jurisdiction Claim Number)	

# Claims EDI R3.1 Change Log

Document	Description of Change
Element Requirements - SROI Element Requirements Element Requirements - SROI Conditional Requirements	<p><b>Changed Code:</b>                      Modified Requirement Table for SROI-ER and DN0083 (Permanent Impairment Body Part Code) and DN0084 (Permanent Impairment Percentage) - changed from AR to MC.</p> <p><b>Business Need:</b>                      Changed AR to MC to match condition for other SROI MTCs at the request of Claim Administrators.</p>
<p style="text-align: center;"><b>Item</b></p>	
DN0083 (Permanent Impairment Body Part Code) DN0084 (Permanent Impairment Percentage)	

# Claims EDI R3.1 Change Log

Document	Description of Change
Element Requirements - SROI Element Requirements Element Requirements - SROI 02 Exceptions	<p><b>Changed Code:</b>            Modified the SROI-02 Reportable Change Code for “Delete” from “N” to “JG” to allow delete only on the SROI-02 if either DN0075 Agreement to Compensate changes from Without Liability to With Liability (for cases with dates of accident on or after 1/1/2019) or DN0196 (Denial Rescission Date) is being added (for cases with dates of accident prior to 1/1/2019) and no benefit segments have been accepted to date.</p> <p><b>Business Need:</b>            To support acceptance after denial as described in Release 3.1 <a href="#">FAQ #13</a>.</p>
<p style="text-align: center;"><b>Item</b></p>	
DN0197 (Denial Reason Narrative) DN0198 (Full Denial Reason Code)	

# Claims EDI R3.1 Change Log

Document	Description of Change
Element Requirements - Event Benefit Conditional	<p><b>Relaxed Edit:</b> DN0192 (Benefit Payment Issue Date). DN0192 is not required when Reduced Benefit Amount Code = Z (Net to Zero).</p> <p><b>Was:</b> Mandatory if Maintenance Type Code (MTC) = AP, IP, PY, RB, SX and Benefit Type Code = 0xx. Edit on SROI-RB and SX is effective 2/14/2020.</p>
<b>Item</b>	<p><b>Now:</b> Mandatory if Maintenance Type Code (MTC) = AP, IP, PY, RB, SX and Benefit Type Code = 0xx. Edit on SROI-RB and SX is effective 2/14/2020. This data element is required under these conditions unless Reduced Benefit Amount Code = Z (Net to Zero) is present.</p>
DN0192 (Benefit Payment Issue Date)	<p><b>Business Need:</b> This was changed in accordance with Section 4 of the 2020 IAIABC R3.1 Implementation Guide.</p>



# Claims EDI R3.1 Change Log

Document	Description of Change
Edit Matrix - Population Restrictions	<p><b>Relaxed Edit:</b> Modified DN0036 (Part of Body Injured Code) - 064/C so that it excludes B Bilateral when the Body Part Code is either 36 – Fingers or 57 – Toes.</p> <p><b>Was:</b> C) DN0036 (Part of Body Injured Code) cannot be duplicated in the transaction. Use B (Bilateral) in DN0421 (Part of Body Injured Location Code) for reporting injuries in multiple locations for the same body part.</p>
<b>Item</b>	<p><b>Now:</b> C) DN0036 (Part of Body Injured Code) cannot be duplicated in the transaction. Use B (Bilateral) in DN0421 (Part of Body Injured Location Code) except when the body part code is 36 – Fingers or 57 – Toes for reporting injuries in multiple locations for the same body part.</p>
DN0036 (Part of Body Injured Code)	<p><b>Business Need:</b> This relaxed edit will allow submission of multiple Left or Right Fingers or Toes in the event there are multiple injuries on the same hand or foot.</p>

# Claims EDI R3.1 Change Log

Document	Description of Change
Edit Matrix - Population Restrictions	<p><b>New Population Restriction:</b> D) DN0036 (Part of Body Injured Code) can use Error 65 - Insufficient Info to Properly Identify only when DN0074 (Claim Type Code) is N (Notification Only) on a case; Error 064 - Invalid data relationship; Element Error Text - can be 65 only when DN0074 is N.</p> <p><b>Business Need:</b> Body parts must be properly identified for any claims that aren't Notification Only. Otherwise it is not clear how a claim was accepted when the body part wasn't properly identified.</p>
<b>Item</b>	
DN0036 (Part of Body Injured Code)	

# Claims EDI R3.1 Change Log

Document	Description of Change
Edit Matrix - Population Restrictions	<p><b>Clerical Error:</b> DN0040 (Date Employer Had Knowledge of Injury), Error 064 - Invalid data relationship was published on all R3.0 Edit Matrix Population Restriction tables from 10/5/2015 (when it was first announced) up until the R3.1 Edit Matrix on 6/27/2020, where it was accidentally removed. It's being added back.</p> <p><b>Business Need:</b> This corrects the Edit Matrix - Population Restrictions tables to include a still active Population Restriction.</p>
<b>Item</b>	
DN0040 (Date Employer Had Knowledge of the Injury)	

# Claims EDI R3.1 Change Log

Document	Description of Change
Edit Matrix - Population Restrictions	<p><b>Clerical Error:</b> 0075-064E is limited to dates of accident on or after 1/1/2019.</p> <p><b>Was:</b> E) DN0075 (Agreement to Compensate Code) must be L (With Liability) if Claim Type Code is P (Indemnity with No Lost Time Beyond Waiting Period) if only BTC accepted to date is 030, 090, 530, or 590. This applies to all dates of accident effective 1/1/2019.</p>
<b>Item</b>	<p><b>Now:</b> E) DN0075 (Agreement to Compensate Code) must be L (With Liability) if Claim Type Code is P (Indemnity with No Lost Time Beyond Waiting Period) if only BTC accepted to date is 030, 090, 530, or 590. This applies to dates of accident on or after 1/1/2019.</p>
DN0075 (Agreement to Compensate Code)	<p>Note: This was implemented on 1/1/2019.</p> <p><b>Business Need:</b> This corrects the Edit Matrix - Population Restrictions tables to match the coding that was implemented on 1/1/2019.</p>

# Claims EDI R3.1 Change Log

Document	Description of Change
Edit Matrix - Population Restrictions	<p><b>Relaxed Edit:</b> Modified 126-064A so that it is not required when Reduced Benefit Amount Code = Z (Net to Zero) and a Reduced Earnings segment is being sent.</p> <p><b>Was:</b> A) DN0126 (Benefit Credit Code) must contain a DN0085 (Benefit Type Code) that is being reported.</p> <p><b>Now:</b> A) DN0126 (Benefit Credit Code) must contain a DN0085 (Benefit Type Code) that is being reported unless Reduced Benefit Amount Code = Z (Net to Zero) and a Reduced Earnings segment is being sent.</p> <p><b>Business Need:</b> This was changed in accordance with Section 4 of the 2020 IAIABC R3.1 Implementation Guide. ACR is not required if a Reduced Earnings Segment is being sent on Net to Zero.</p>
Item	
DN0126 (Benefit Credit Code)	

# Claims EDI R3.1 Change Log

Document	Description of Change
Edit Matrix - Population Restrictions Edit Matrix - DN Error Message	<p><b>New Population Restriction:</b>                      If Reduced Benefit Amount Code = Z (Net to Zero), then DN0285 (Number of Reduced Earnings) must be &gt; 0, unless DN0289 (Number of Benefit ACR) &gt; 0; Error 045 - Value is &lt; required by jurisdiction; Element Error Text - Must be &gt; 0 if RBAC=Z unless ACR &gt; 0.                      Adding "L" on DN Error Message tab for DN0285 Error 045.</p> <p><b>Business Need:</b>                      This was changed in accordance with Section 4 of the 2020 IAIABC R3.1 Implementation Guide. If the Reduced Benefit Amount Code is being sent as Net to Zero, then number of ACR or number of Reduced Earnings must be sent.</p>
Item	
DN0285 (Number of Reduced Earnings)	

# Claims EDI R3.1 Change Log

Document	Description of Change
Edit Matrix - Population Restrictions	<p><b>New Population Restriction:</b>            If Reduced Benefit Amount Code = Z (Net to Zero), then DN0289 (Number of Benefit ACR) must be &gt; 0 unless DN0285 (Number of Reduced Earnings)&gt; 0; Error 045 - Value is &lt; required by jurisdiction; Element Error Text - Must be &gt; 0 if RBAC=Z unless RE Segment &gt; 0.</p>
<b>Item</b>	
DN0289 (Number of Benefit ACR)	<p><b>Business Need:</b>            This was changed in accordance with Section 4 of the 2020 IAIABC R3.1 Implementation Guide. If Reduced Benefit Amount Code is being sent as Net to Zero, then Number of Benefit ACR or Number of Reduced Earnings must be sent.</p>

# Claims EDI R3.1 Change Log

Document	Description of Change
Edit Matrix - Population Restrictions	<p><b>Removed Code:</b> Removing AQ as an exception for applicable MTCs to Population Restrictions 0421-064 and 0422-064.</p> <p><b>Was:</b> ALL except 01, AQ.</p> <p><b>Now:</b> ALL except 01.</p> <p><b>Business Need:</b> DN0422 (Part of Body Injured Fingers/Toes Location Code) should be included on the AQ when a pertinent DN0036 (Part of Body Injured Code) is sent.</p>
Item	
DN0421 (Part of Body Injured Location Code) DN0422 (Part of Body Injured Fingers/Toes Location Code)	



# Claims EDI R3.1 Change Log

Document	Description of Change
Edit Matrix - SROI Sequencing	<b>Clerical Error:</b> Updated error text for Section 4, Edit #3.1 so that it did not exceed the 50-character limit for the Element Error Text.
<b>Item</b>	<b>Was:</b> "Z) SROI-SU needs to be pre-approved first (see Edit #3)."
SROI-SU	<b>Now:</b> "Z) SU needs pre-approval (see Edit #3)."  <b>Business Need:</b> This corrects the Edit Matrix - SROI Sequencing error as the previous error message text was over the 50-character limit for the Element Error Text.

# Reminder: Future eClaims Webinars

- The eClaims webinars will continue to be held bimonthly.
- The next webinar will be held on Tuesday, February 16, from 2:00 p.m. – 3:00 p.m.
  - Invites will be distributed via email, and agendas will be provided to attendees prior to the webinar. You will also be able to see details and register at [wcb.ny.gov/webinars](http://wcb.ny.gov/webinars).
  - If you have any questions, please contact us at [eclaims31@wcb.ny.gov](mailto:eclaims31@wcb.ny.gov).

# Questions?



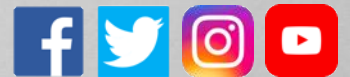
## GET INVOLVED/STAY INFORMED

Visit the eClaims website at [wcb.ny.gov](http://wcb.ny.gov) for eClaims news, engagement opportunities and to register for email updates.

For eClaims EDI R3.1 upgrade questions, email [eClaims31@wcb.ny.gov](mailto:eClaims31@wcb.ny.gov).

For eClaims EDI R3.0 questions, email [eClaims@wcb.ny.gov](mailto:eClaims@wcb.ny.gov).

Follow the Board on Social Media:



# Thank You