eClaims July Webinar
AGENDA

01 eClaims EDI R3.0 News
02 eClaims EDI R3.1 News
03 eClaims EDI R3.1 Change Log
04 Questions and Answers
eClaims EDI R3.0
News
Effective October 1, 2020, a COVID-19 code will be implemented

- Per IAIABC notification on March 20, 2020, the Workers’ Compensation Insurance Organizations (WCIO) has added a new Cause Code of 83 for Pandemic and a new Nature Code of 83 for COVID-19. The new Injury Description Tables may be found on the WCIO website at wcio.org. New York has added these codes to their Claims EDI tables for FROI reporting effective March 25, 2020, for DN0035 (Nature of Injury) Code and DN0037 (Cause of Injury) Code. Note: It is expected that FROI-02s are filed if FROIs have already been filed with incorrect Cause or Nature codes since the NY Element Requirement Table lists both data elements severity rankings as “Y”.
eClaims EDI R3.0 News

- FROI-AQ/AU requirements

<table>
<thead>
<tr>
<th>Release</th>
<th>Report Type</th>
<th>Code</th>
<th>Description</th>
<th>Criteria</th>
<th>From</th>
<th>Thru</th>
<th>Event Rule</th>
<th>Trigger Value</th>
<th>Due Type</th>
<th>From</th>
</tr>
</thead>
<tbody>
<tr>
<td>3.0</td>
<td>FROI</td>
<td>AQ</td>
<td>Acquired Claim</td>
<td>3= Jurisdiction Defined</td>
<td>4/23/14</td>
<td></td>
<td>M = MTC Defined. When the Claim Administrator acquires a claim.</td>
<td>NA</td>
<td>10</td>
<td>C</td>
</tr>
<tr>
<td>3.0</td>
<td>FROI</td>
<td>AU</td>
<td>Acquired/ Unallocated</td>
<td>3= Jurisdiction Defined</td>
<td>4/23/14</td>
<td></td>
<td>M = MTC Defined. When an AQ receives a TR because there is no match, the Claim Administrator is required to submit an AU.</td>
<td>NA</td>
<td>30</td>
<td>C</td>
</tr>
<tr>
<td>3.0</td>
<td>FROI</td>
<td>AU</td>
<td>Acquired/ Unallocated</td>
<td>3= Jurisdiction Defined</td>
<td>4/23/14</td>
<td></td>
<td>M = MTC Defined. When the Claim Administrator acquires a claim that has not yet been reported to the jurisdiction (Claim Administrator may file an AU instead of the AQ when acquiring a claim).</td>
<td>NA</td>
<td>10</td>
<td>C</td>
</tr>
</tbody>
</table>
FROI-02 requirements for Insurer changes

- Remember to file FROI-02 transactions when Insurer FEIN changes and you are paying indemnity and/or medical, as this could affect the Drug Formulary dashboard process.
- When the Board receives a transaction and the Insurer does not match our Insurance Company records based on Proof of Coverage reporting, a request for the FROI-02 is sent at the case level.
- The FROI-02 should be filed or a response to the request should be sent indicating the policy information so a determination can be made to change the Primary Insurer on the file. The Board could impose a Section 25-3-e penalty for failure to file a required form.
- This penalty would be imposed outside of the Monitoring Unit process but could be imposed at the case level based on statute.
eClaims EDI R3.1 News
eClaims EDI R3.1 News

June 18, 2020
• The June 16 webinar slide deck and video recording are now available on the eClaims Presentation page. The Agenda for June’s webinar included:
  ▪ eClaims EDI R3.0 News
  ▪ eClaims EDI R3.1 News
  ▪ eClaims EDI R3.1 Change Log

June 26, 2020
• The eClaims EDI R3.1 Change Log has been updated with the following changes:
  ▪ #8, #14, #21: Fixed a typo
  ▪ #19 & #20: Added the Population Restriction text from the Edit Matrix
eClaims EDI R3.1 News

June 30, 2020

- The eClaims EDI R3.1 FAQs has been updated with four new questions:
  - If we are reporting an overpayment on a SROI-02, do we also have to file a Request for Further Action by Carrier/Employer (Form RFA-2) requesting reimbursement?
  - If we previously submitted a SROI-02 reporting an overpayment, and are required to file Form RFA-2 if we want reimbursement, do we have to file another SROI-02 at the same time the Form RFA-2 is filed?
  - Can we submit Form RFA-2 requesting that the overpayment be addressed without filing a SROI-02 reporting the overpayment?
  - Can more than one update on a Change-02 still be submitted?
eClaims EDI R3.1 News

July 8, 2020

- Trading Partner onboard testing for the eClaims EDI R3.1 upgrade will be held from November 16, 2020, through February 27, 2021. It is important to begin testing as early as possible in order to begin production on March 15, 2021. **All Trading Partners must be ready to submit R3.1 transactions on March 15, 2021, no exceptions.** Information on onboard testing can be found in the eClaims EDI R3.1 [Implementation Guide]:
  - Flat Filers – Section 3.7
  - Web Data Entry – Section 3.12
Payor Compliance
Payor Compliance

Beginning in or about September 2020, the Board will begin measuring timeliness of the Suspension filings (SROI-Sx) required when payment(s) made to Claimants have been suspended. Prior to implementing penalties, the Board will be conducting a period of outreach and education on the measurement of Suspension transactions. The penalty phase is tentatively scheduled to begin January of 2021.

The Monitoring Unit is in the process of developing a report indicating claims in which there appears to be a missing suspension filing. We will be contacting claim administrators on these cases and request that they submit the Sx filing(s) as soon as possible or contact the Payor Compliance Unit if they feel a suspension is not required. This report will be similar to current reports used for missing FROI and SROI filings.

Similar to the implementation of the FROI, SROI and Controversy measurements, stakeholder input is encouraged when reviewing the Payor Compliance application as well as any missing suspension reports you may receive.
# Payor Compliance

## Missing Suspension Report

<table>
<thead>
<tr>
<th>WCB Case ID</th>
<th>Claimant Name</th>
<th>Insurer POI ID</th>
<th>Insurer Name</th>
<th>Claim Admin POI ID</th>
<th>Claim Admin Name</th>
<th>Claim Admin Claim Num</th>
<th>Injury Date</th>
<th>Last Benefit Through Date</th>
<th>Initial RTW Date</th>
<th>Latest RTW Date</th>
<th>Last Month Indicator</th>
</tr>
</thead>
<tbody>
<tr>
<td>XXXXXXXXXX</td>
<td>Doe, John</td>
<td>WXXXXXXX</td>
<td>ABC Insurance Company</td>
<td>TXXXXXXX</td>
<td>ABC TPA</td>
<td>XXXXXXXXXX</td>
<td>02/17/2018</td>
<td>02/21/2018</td>
<td>02/22/2018</td>
<td>Y</td>
<td></td>
</tr>
<tr>
<td>XXXXXXXXXX</td>
<td>Doe, John</td>
<td>WXXXXXXX</td>
<td>ABC Insurance Company</td>
<td>TXXXXXXX</td>
<td>ABC TPA</td>
<td>XXXXXXXXXX</td>
<td>10/13/2018</td>
<td>10/21/2018</td>
<td>10/22/2018</td>
<td>Y</td>
<td></td>
</tr>
<tr>
<td>XXXXXXXXXX</td>
<td>Doe, John</td>
<td>WXXXXXXX</td>
<td>ABC Insurance Company</td>
<td>TXXXXXXX</td>
<td>ABC TPA</td>
<td>XXXXXXXXXX</td>
<td>02/20/2019</td>
<td>03/28/2019</td>
<td></td>
<td>Y</td>
<td></td>
</tr>
<tr>
<td>XXXXXXXXXX</td>
<td>Doe, John</td>
<td>WXXXXXXX</td>
<td>ABC Insurance Company</td>
<td>TXXXXXXX</td>
<td>ABC TPA</td>
<td>XXXXXXXXXX</td>
<td>06/14/2018</td>
<td>07/09/2018</td>
<td>07/10/2018</td>
<td>Y</td>
<td></td>
</tr>
<tr>
<td>XXXXXXXXXX</td>
<td>Doe, John</td>
<td>WXXXXXXX</td>
<td>ABC Insurance Company</td>
<td>TXXXXXXX</td>
<td>ABC TPA</td>
<td>XXXXXXXXXX</td>
<td>03/18/2018</td>
<td>04/01/2018</td>
<td></td>
<td>Y</td>
<td></td>
</tr>
<tr>
<td>XXXXXXXXXX</td>
<td>Doe, John</td>
<td>WXXXXXXX</td>
<td>ABC Insurance Company</td>
<td>TXXXXXXX</td>
<td>ABC TPA</td>
<td>XXXXXXXXXX</td>
<td>03/02/2018</td>
<td>05/16/2018</td>
<td></td>
<td>Y</td>
<td></td>
</tr>
<tr>
<td>XXXXXXXXXX</td>
<td>Doe, John</td>
<td>WXXXXXXX</td>
<td>ABC Insurance Company</td>
<td>TXXXXXXX</td>
<td>ABC TPA</td>
<td>XXXXXXXXXX</td>
<td>05/07/2018</td>
<td>06/07/2018</td>
<td></td>
<td>Y</td>
<td></td>
</tr>
<tr>
<td>XXXXXXXXXX</td>
<td>Doe, John</td>
<td>WXXXXXXX</td>
<td>ABC Insurance Company</td>
<td>TXXXXXXX</td>
<td>ABC TPA</td>
<td>XXXXXXXXXX</td>
<td>07/23/2018</td>
<td>08/05/2018</td>
<td></td>
<td>Y</td>
<td></td>
</tr>
<tr>
<td>XXXXXXXXXX</td>
<td>Doe, John</td>
<td>WXXXXXXX</td>
<td>ABC Insurance Company</td>
<td>TXXXXXXX</td>
<td>ABC TPA</td>
<td>XXXXXXXXXX</td>
<td>09/11/2018</td>
<td>10/03/2018</td>
<td></td>
<td>Y</td>
<td></td>
</tr>
<tr>
<td>XXXXXXXXXX</td>
<td>Doe, John</td>
<td>WXXXXXXX</td>
<td>ABC Insurance Company</td>
<td>TXXXXXXX</td>
<td>ABC TPA</td>
<td>XXXXXXXXXX</td>
<td>11/24/2018</td>
<td>12/03/2018</td>
<td>12/03/2018</td>
<td>Y</td>
<td></td>
</tr>
<tr>
<td>XXXXXXXXXX</td>
<td>Doe, John</td>
<td>WXXXXXXX</td>
<td>ABC Insurance Company</td>
<td>TXXXXXXX</td>
<td>ABC TPA</td>
<td>XXXXXXXXXX</td>
<td>11/01/2018</td>
<td>11/02/2018</td>
<td>11/02/2018</td>
<td>Y</td>
<td></td>
</tr>
<tr>
<td>XXXXXXXXXX</td>
<td>Doe, John</td>
<td>WXXXXXXX</td>
<td>ABC Insurance Company</td>
<td>TXXXXXXX</td>
<td>ABC TPA</td>
<td>XXXXXXXXXX</td>
<td>02/01/2019</td>
<td>05/05/2019</td>
<td></td>
<td>Y</td>
<td></td>
</tr>
<tr>
<td>XXXXXXXXXX</td>
<td>Doe, John</td>
<td>WXXXXXXX</td>
<td>ABC Insurance Company</td>
<td>TXXXXXXX</td>
<td>ABC TPA</td>
<td>XXXXXXXXXX</td>
<td>01/05/2018</td>
<td>01/10/2018</td>
<td>01/09/2018</td>
<td>Y</td>
<td></td>
</tr>
<tr>
<td>XXXXXXXXXX</td>
<td>Doe, John</td>
<td>WXXXXXXX</td>
<td>ABC Insurance Company</td>
<td>TXXXXXXX</td>
<td>ABC TPA</td>
<td>XXXXXXXXXX</td>
<td>01/12/2019</td>
<td>01/26/2019</td>
<td></td>
<td>Y</td>
<td></td>
</tr>
<tr>
<td>XXXXXXXXXX</td>
<td>Doe, John</td>
<td>WXXXXXXX</td>
<td>ABC Insurance Company</td>
<td>TXXXXXXX</td>
<td>ABC TPA</td>
<td>XXXXXXXXXX</td>
<td>06/03/2019</td>
<td>06/04/2019</td>
<td>06/05/2019</td>
<td>Y</td>
<td></td>
</tr>
<tr>
<td>XXXXXXXXXX</td>
<td>Doe, John</td>
<td>WXXXXXXX</td>
<td>ABC Insurance Company</td>
<td>TXXXXXXX</td>
<td>ABC TPA</td>
<td>XXXXXXXXXX</td>
<td>07/04/2019</td>
<td>07/09/2019</td>
<td>07/09/2019</td>
<td>Y</td>
<td></td>
</tr>
<tr>
<td>XXXXXXXXXX</td>
<td>Doe, John</td>
<td>WXXXXXXX</td>
<td>ABC Insurance Company</td>
<td>TXXXXXXX</td>
<td>ABC TPA</td>
<td>XXXXXXXXXX</td>
<td>08/25/2019</td>
<td>02/16/2020</td>
<td>08/25/2019</td>
<td>Y</td>
<td></td>
</tr>
<tr>
<td>XXXXXXXXXX</td>
<td>Doe, John</td>
<td>WXXXXXXX</td>
<td>ABC Insurance Company</td>
<td>TXXXXXXX</td>
<td>ABC TPA</td>
<td>XXXXXXXXXX</td>
<td>12/03/2019</td>
<td>12/04/2019</td>
<td>12/05/2019</td>
<td>Y</td>
<td></td>
</tr>
<tr>
<td>XXXXXXXXXX</td>
<td>Doe, John</td>
<td>WXXXXXXX</td>
<td>ABC Insurance Company</td>
<td>TXXXXXXX</td>
<td>ABC TPA</td>
<td>XXXXXXXXXX</td>
<td>02/20/2020</td>
<td>02/27/2020</td>
<td>02/27/2020</td>
<td>Y</td>
<td></td>
</tr>
<tr>
<td>XXXXXXXXXX</td>
<td>Doe, John</td>
<td>WXXXXXXX</td>
<td>ABC Insurance Company</td>
<td>TXXXXXXX</td>
<td>ABC TPA</td>
<td>XXXXXXXXXX</td>
<td>01/22/2020</td>
<td>01/24/2020</td>
<td>01/25/2020</td>
<td>Y</td>
<td></td>
</tr>
</tbody>
</table>
Payor Compliance

- Missing Suspension Report
  - The missing suspension report will be sent monthly.
  - The report will continue to be sent monthly once penalties are implemented.
  - Once the penalties are implemented, should the same missing suspension appear on the report for multiple months, a manual penalty may be assessed for failure to file the required suspension.
Payor Compliance

- The penalties that will be enforced are WCL §25(1)(d) and (3)(e)

- Suspension is not timely under §25(1)(d) when:
  - The Suspension received date is more than 16 days after the greater of the Benefit Payment Issue Date (DN0192), Benefit Period through Date (DN0089) or Suspension Effective Date (DN0193) the filing is late. The 16-day timeframe under §25(1)(d) applies regardless of whether payments are being made pursuant to §21(a) or not.

- Suspension is not timely under §25(3)(e) if Paying Pursuant to §21(a) when:
  - The Suspension received date is more than 5 days after the greater of the Benefit Payment Issue Date (DN0192), Benefit Period through Date (DN0089) or Suspension Effective Date (DN0193).
Payor Compliance

- What claims are being measured?
  - The Board will be measuring all suspension filings in which the date of accident is on or after January 1, 2018, and a suspension filing is made on or after September 1, 2020
  - Similar to the implementation of the FROI, SROI, and Controversy measurements, the Board will be assessing penalties on a sliding scale based on the Carrier’s compliance rate:
    - 1st Quarter 2021 – 70%
    - 2nd Quarter 2021 – 75%
    - 3rd Quarter 2021 – 80%
    - 4th Quarter 2021 – 85%

- Questions and comments should be emailed to Monitoring@wcb.ny.gov
Q&A
GET INVOLVED / STAY INFORMED

Visit the eClaims website at wcb.ny.gov for eClaims news, engagement opportunities and to register for email updates.

For eClaims EDI R3.1 upgrade questions, email eClaims31@wcb.ny.gov

For eClaims EDI R3.0 questions, email eClaims@wcb.ny.gov

Follow the Board on Social Media
Thank You