



**Workers'  
Compensation  
Board**

# eClaims July Webinar

# AGENDA

01  eClaims EDI R3.0 News

02  eClaims EDI R3.1 News

03  eClaims EDI R3.1 Change Log

04  Questions and Answers

# eClaims EDI R3.0 News

# eClaims EDI R3.0 News

- Effective October 1, 2020, a COVID-19 code will be implemented
  - Per IAIABC notification on March 20, 2020, the Workers' Compensation Insurance Organizations (WCIO) has added a new Cause Code of 83 for Pandemic and a new Nature Code of 83 for COVID-19. The new Injury Description Tables may be found on the WCIO website at [wcio.org](http://wcio.org). New York has added these codes to their Claims EDI tables for FROI reporting effective March 25, 2020, for DN0035 (Nature of Injury) Code and DN0037 (Cause of Injury) Code. Note: It is expected that FROI-02s are filed if FROIs have already been filed with incorrect Cause or Nature codes since the NY Element Requirement Table lists both data elements severity rankings as "Y".

# eClaims EDI R3.0 News

## ■ FROI-AQ/AU requirements

NYS Claims Release 3  
First Report of Injury Event Table

| Release | Report Type | Maintenance |                       | Event Rule              |           |      | Report Trigger   |               | When is the Report Due? |          |  |
|---------|-------------|-------------|-----------------------|-------------------------|-----------|------|--|---------------|-------------------------|----------|--|
|         |             | Code        | Description           | Criteria                | From      | Thru | Criteria   | Trigger Value | Value                   | Due Type | From   |
| 3.0     | FROI        | AQ          | Acquired Claim        | 3= Jurisdiction Defined | 4/23/2014 |      | M = MTC Defined. When the Claim Administrator acquires a claim.  | NA            | 10                      | C        | J = After Report Trigger Effective Date of Acquisition |
| 3.0     | FROI        | AU          | Acquired/ Unallocated | 3= Jurisdiction Defined | 4/23/2014 |      | M = MTC Defined. When an AQ receives a TR because there is no match, the Claim Administrator is required to submit an AU.  | NA            | 30                      | C        | J = Report Trigger, after TR                           |
| 3.0     | FROI        | AU          | Acquired/ Unallocated | 3= Jurisdiction Defined | 4/23/2014 |      | M = MTC Defined. When the Claim Administrator acquires a claim that has not yet been reported to the jurisdiction (Claim Administrator may file an AU instead of the AQ when acquiring a claim). | NA            | 10                      | C        | J = After Report Trigger Effective Date of Acquisition |

# eClaims EDI R3.0 News

- FROI-02 requirements for Insurer changes
  - Remember to file FROI-02 transactions when Insurer FEIN changes and you are paying indemnity and/or medical, as this could affect the Drug Formulary dashboard process.
  - When the Board receives a transaction and the Insurer does not match our Insurance Company records based on Proof of Coverage reporting, a request for the FROI-02 is sent at the case level.
  - The FROI-02 should be filed or a response to the request should be sent indicating the policy information so a determination can be made to change the Primary Insurer on the file. The Board could impose a Section 25-3-e penalty for failure to file a required form.
  - This penalty would be imposed outside of the Monitoring Unit process but could be imposed at the case level based on statute.

# eClaims EDI R3.1 News



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# eClaims EDI R3.1 News

## ■ June 18, 2020

- The June 16 webinar slide deck and video recording are now available on the [eClaims Presentation page](#). The Agenda for June's webinar included:
  - eClaims EDI R3.0 News
  - eClaims EDI R3.1 News
  - eClaims EDI R3.1 Change Log

## ■ June 26, 2020

- [The eClaims EDI R3.1 Change Log](#) has been updated with the following changes:
  - #8, #14, #21: Fixed a typo
  - #19 & #20: Added the Population Restriction text from the Edit Matrix

# eClaims EDI R3.1 News

## ■ June 30, 2020

- The [eClaims EDI R3.1 FAQs](#) has been updated with four new questions:
  - If we are reporting an overpayment on a SROI-02, do we also have to file a Request for Further Action by Carrier/Employer (Form RFA-2) requesting reimbursement?
  - If we previously submitted a SROI-02 reporting an overpayment, and are required to file Form RFA-2 if we want reimbursement, do we have to file another SROI-02 at the same time the Form RFA-2 is filed?
  - Can we submit Form RFA-2 requesting that the overpayment be addressed without filing a SROI-02 reporting the overpayment?
  - Can more than one update on a Change-02 still be submitted?

# eClaims EDI R3.1 News

## ■ July 8, 2020

- Trading Partner onboard testing for the eClaims EDI R3.1 upgrade will be held from November 16, 2020, through February 27, 2021. It is important to begin testing as early as possible in order to begin production on March 15, 2021. **All Trading Partners must be ready to submit R3.1 transactions on March 15, 2021, no exceptions.** Information on onboard testing can be found in the eClaims EDI R3.1 [Implementation Guide](#):
  - Flat Filers – Section 3.7
  - Web Data Entry – Section 3.12

# Payor Compliance

# Payor Compliance

Beginning in or about September 2020, the Board will begin measuring timeliness of the Suspension filings (SROI-Sx) required when payment(s) made to Claimants have been suspended. Prior to implementing penalties, the Board will be conducting a period of outreach and education on the measurement of Suspension transactions. The penalty phase is tentatively scheduled to begin January of 2021.

The Monitoring Unit is in the process of developing a report indicating claims in which there appears to be a missing suspension filing. We will be contacting claim administrators on these cases and request that they submit the Sx filing(s) as soon as possible or contact the Payor Compliance Unit if they feel a suspension is not required. This report will be similar to current reports used for missing FROI and SROI filings.

Similar to the implementation of the FROI, SROI and Controversy measurements, stakeholder input is encouraged when reviewing the Payor Compliance application as well as any missing suspension reports you may receive.



# Payor Compliance

## ■ Missing Suspension Report

| WCB Case ID | Claimant Name | Insurer<br>POI ID | Insurer Name          | Claim Admin<br>POI ID | Claim Admin Name | Claim Admin<br>Claim Num | Injury Date | Last Benefit<br>Through Date | Initial<br>RTW Date | Latest<br>RTW Date | Last<br>Month<br>Indicator |
|-------------|---------------|-------------------|-----------------------|-----------------------|------------------|--------------------------|-------------|------------------------------|---------------------|--------------------|----------------------------|
| XXXXXXXX    | Doe, John     | WXXXXXX           | ABC Insurance Company | TXXXXXX               | ABC TPA          | XXXXXXXXXXXX             | 02/17/2018  | 02/21/2018                   | 02/22/2018          |                    | Y                          |
| XXXXXXXX    | Doe, John     | WXXXXXX           | ABC Insurance Company | TXXXXXX               | ABC TPA          | XXXXXXXXXXXX             | 10/13/2018  | 10/21/2018                   | 10/22/2018          |                    | Y                          |
| XXXXXXXX    | Doe, John     | WXXXXXX           | ABC Insurance Company | TXXXXXX               | ABC TPA          | XXXXXXXXXXXX             | 02/20/2019  | 03/28/2019                   |                     |                    | Y                          |
| XXXXXXXX    | Doe, John     | WXXXXXX           | ABC Insurance Company | TXXXXXX               | ABC TPA          | XXXXXXXXXXXX             | 06/14/2018  | 07/09/2018                   | 07/10/2018          |                    | Y                          |
| XXXXXXXX    | Doe, John     | WXXXXXX           | ABC Insurance Company | TXXXXXX               | ABC TPA          | XXXXXXXXXXXX             | 03/18/2018  | 04/01/2018                   |                     |                    | Y                          |
| XXXXXXXX    | Doe, John     | WXXXXXX           | ABC Insurance Company | TXXXXXX               | ABC TPA          | XXXXXXXXXXXX             | 03/02/2018  | 05/16/2018                   |                     |                    | Y                          |
| XXXXXXXX    | Doe, John     | WXXXXXX           | ABC Insurance Company | TXXXXXX               | ABC TPA          | XXXXXXXXXXXX             | 05/07/2018  | 06/07/2018                   |                     |                    | Y                          |
| XXXXXXXX    | Doe, John     | WXXXXXX           | ABC Insurance Company | TXXXXXX               | ABC TPA          | XXXXXXXXXXXX             | 07/23/2018  | 08/05/2018                   |                     |                    | Y                          |
| XXXXXXXX    | Doe, John     | WXXXXXX           | ABC Insurance Company | TXXXXXX               | ABC TPA          | XXXXXXXXXXXX             | 09/11/2018  | 10/03/2018                   |                     |                    | Y                          |
| XXXXXXXX    | Doe, John     | WXXXXXX           | ABC Insurance Company | TXXXXXX               | ABC TPA          | XXXXXXXXXXXX             | 11/24/2018  | 12/03/2018                   | 12/03/2018          |                    | Y                          |
| XXXXXXXX    | Doe, John     | WXXXXXX           | ABC Insurance Company | TXXXXXX               | ABC TPA          | XXXXXXXXXXXX             | 11/01/2018  | 11/02/2018                   | 11/02/2018          |                    | Y                          |
| XXXXXXXX    | Doe, John     | WXXXXXX           | ABC Insurance Company | TXXXXXX               | ABC TPA          | XXXXXXXXXXXX             | 02/01/2019  | 05/05/2019                   |                     |                    | Y                          |
| XXXXXXXX    | Doe, John     | WXXXXXX           | ABC Insurance Company | TXXXXXX               | ABC TPA          | XXXXXXXXXXXX             | 01/05/2018  | 01/10/2018                   | 01/09/2018          |                    | Y                          |
| XXXXXXXX    | Doe, John     | WXXXXXX           | ABC Insurance Company | TXXXXXX               | ABC TPA          | XXXXXXXXXXXX             | 01/12/2019  | 01/26/2019                   |                     |                    | Y                          |
| XXXXXXXX    | Doe, John     | WXXXXXX           | ABC Insurance Company | TXXXXXX               | ABC TPA          | XXXXXXXXXXXX             | 06/03/2019  | 06/04/2019                   | 06/05/2019          |                    | Y                          |
| XXXXXXXX    | Doe, John     | WXXXXXX           | ABC Insurance Company | TXXXXXX               | ABC TPA          | XXXXXXXXXXXX             | 07/04/2019  | 07/09/2019                   | 07/09/2019          |                    | Y                          |
| XXXXXXXX    | Doe, John     | WXXXXXX           | ABC Insurance Company | TXXXXXX               | ABC TPA          | XXXXXXXXXXXX             | 08/25/2019  | 02/16/2020                   | 08/25/2019          |                    | Y                          |
| XXXXXXXX    | Doe, John     | WXXXXXX           | ABC Insurance Company | TXXXXXX               | ABC TPA          | XXXXXXXXXXXX             | 11/01/2019  | 11/18/2019                   | 11/02/2019          |                    | Y                          |
| XXXXXXXX    | Doe, John     | WXXXXXX           | ABC Insurance Company | TXXXXXX               | ABC TPA          | XXXXXXXXXXXX             | 11/25/2019  | 12/31/2019                   | 12/31/2019          |                    | Y                          |
| XXXXXXXX    | Doe, John     | WXXXXXX           | ABC Insurance Company | TXXXXXX               | ABC TPA          | XXXXXXXXXXXX             | 12/03/2019  | 12/04/2019                   | 12/05/2019          |                    | Y                          |
| XXXXXXXX    | Doe, John     | WXXXXXX           | ABC Insurance Company | TXXXXXX               | ABC TPA          | XXXXXXXXXXXX             | 02/20/2020  | 02/27/2020                   | 02/27/2020          |                    | Y                          |
| XXXXXXXX    | Doe, John     | WXXXXXX           | ABC Insurance Company | TXXXXXX               | ABC TPA          | XXXXXXXXXXXX             | 01/22/2020  | 01/24/2020                   | 01/25/2020          |                    | Y                          |

# Payor Compliance

## ■ Missing Suspension Report

- The missing suspension report will be sent monthly.
- The report will continue to be sent monthly once penalties are implemented.
- Once the penalties are implemented, should the same missing suspension appear on the report for multiple months, a manual penalty may be assessed for failure to file the required suspension.

# Payor Compliance

- The penalties that will be enforced are WCL §25(1)(d) and (3)(e)
- Suspension is not timely under §25(1)(d) when:
  - The Suspension received date is more than 16 days after the greater of the Benefit Payment Issue Date (DN0192), Benefit Period through Date (DN0089) or Suspension Effective Date (DN0193) the filing is late. The 16-day timeframe under §25(1)(d) applies regardless of whether payments are being made pursuant to §21(a) or not.
- Suspension is not timely under §25(3)(e) if Paying Pursuant to §21(a) when:
  - The Suspension received date is more than 5 days after the greater of the Benefit Payment Issue Date (DN0192), Benefit Period through Date (DN0089) or Suspension Effective Date (DN0193).



# Payor Compliance



- What claims are being measured?
  - The Board will be measuring all suspension filings in which the date of accident is on or after January 1, 2018, and a suspension filing is made on or after September 1, 2020
  - Similar to the implementation of the FROI, SROI, and Controversy measurements, the Board will be assessing penalties on a sliding scale based on the Carrier's compliance rate:
    - 1st Quarter 2021 – 70%
    - 2nd Quarter 2021 – 75%
    - 3rd Quarter 2021 – 80%
    - 4th Quarter 2021 – 85%
- Questions and comments should be emailed to [Monitoring@wcb.ny.gov](mailto:Monitoring@wcb.ny.gov)

# Q&A



## GET INVOLVED / STAY INFORMED

Visit the eClaims website at [wcb.ny.gov](http://wcb.ny.gov) for eClaims news, engagement opportunities and to register for email updates

For eClaims EDI R3.1 upgrade questions, email [eClaims31@wcb.ny.gov](mailto:eClaims31@wcb.ny.gov)

For eClaims EDI R3.0 questions, email [eClaims@wcb.ny.gov](mailto:eClaims@wcb.ny.gov)

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# Thank You