NYS Claims Release EDI 3.1 FROI/SROI Change 02 Required

Revised 7/21/2023

The New York State Workers' Compensation Board (Board) is transitioning to the International Association of Industrial Accident Boards and Commissions (IAIABC) Claims Electronic Data Interchange Release 3.1 (Claims EDI R3.1) standard. This document provides a quick reference on the Data Element Severity Codes which New York is requiring to trigger the 02 Maintenance Type Code (MTC) in both the First Report of Injury (FROI) and Subsequent Report of Injury (SROI) for FROI/SROI-02 transactions.

Abbreviation key	
K	Required Change on FROI
Y	Required Change on FROI or SROI, but not both: This code only applies to Data Elements not contained on both FROI and SROI
YG	Required Change on SROI with Exception (see FROI-02 Exceptions Table in the *NYS R3.1 Element Requirements Table*)
I	Required Change on either FROI or SROI, but not both – Claim Administrator Determines
B	Restricted – IAIABC Defined No Change Allowed
N	No Change Allowed – Will Reject if Present
H	No Change Required – Will Not Reject if Present
JG	Required on SROI with Exception (See SROI-02 Exceptions Table in the *NYS R3.1 Element Requirements Table*)
J	Required change on SROI if accepted; otherwise, change on FROI

	FROI or SROI	DN#	**FROI** Data Element Name	Add	Update	Delete	Remove	MC Condition
1	Both	0005	Jurisdiction Claim Number	B	H	B	B	
2	Both	0006	Insurer FEIN	N	K	B	N	
3	FROI	0012	Claim Administrator City	N	Y	B	N	
4	FROI	0013	Claim Administrator State Code	N	Y	B	N	
5	Both	0014	Claim Administrator Postal Code	N	K	B	N	

FROI Data Element table (cont'd)

	FROI or SROI	DN#	FROI Data Element Name	Add	Update	Delete	Remove	MC Condition
6	Both	0015	Claim Administrator Claim Number (Key Match)	B	I	B	B	
7	Both	0016	Employer FEIN	I	I	B	I	
8	FROI	0028	Policy Number Identifier	Y	Y	B	YG	
9	FROI	0029	Policy Effective Date	Y	Y	B	YG	
10	Both	0031	Date of Injury	B	J	B	B	
11	FROI	0035	Nature of Injury Code	Y	Y	B	N	
12	FROI	0037	Cause of Injury Code	Y	Y	B	N	
13	FROI	0039	Initial Treatment Code	Y	Y	B	YG	
14	FROI	0040	Date Employer Had Knowledge of the Injury	Y	Y	B	N	
15	FROI	0041	Date Claim Administrator Had Knowledge of Injury	N	Y	B	N	
16	Both	0044	Employee First Name	N	I	B	B	
17	FROI	0048	Employee Mailing City	N	Y	B	N	
18	FROI	0049	Employee Mailing State Code	Y	Y	B	Y	
19	FROI	0050	Employee Mailing Postal Code	N	Y	B	N	
20	Both	0052	Employee Date of Birth	I	I	B	N	
21	Both	0056	Initial Date Disability Began	I	I	B	I	
22	Both	0057	Employee Date of Death	I	I	B	I	
23	FROI	0059	Manual Classification Code	N	Y	B	N	
24	Both (Diff)	0063	Wage Period Code	H	N	B	J	
25	Both	0064	Number of Days Worked Per Week	J	J	B	N	
26	Both	0065	Initial Date Last Day Worked	I	I	B	I	
27	Both	0066	Full Wages Paid for Date of Injury Indicator	I	I	B	I	
28	Both	0068	Initial RTW Date	I	I	B	I	

FROI Data Element table (cont'd)

	FROI or SROI	DN#	FROI Data Element Name	Add	Update	Delete	Remove	MC Condition
29	Both	0015	Claim Administrator Claim Number	B	I	B	B	
30	Both	0187	Claim Administrator FEIN	N	K	B	N	
31	Both	0188	Claim Administrator Name	N	I	B	N	
32	FROI	0010	Claim Administrator Primary Address	N	Y	B	N	
33	FROI	0136	Claim Administrator Country Code	Y	Y	B	Y	
34	Both	0270	Employee ID Type Qualifier	B	I	B	B	
35	FROI	0042	Employee SSN	B	I	B	B	
36	Both	0154	Employee ID Assigned by Jurisdiction	B	I	B	B	
37	Both	0043	Employee Last Name	N	I	B	B	
38	FROI	0046	Employee Mailing Primary Address	N	Y	B	N	
39	FROI	0047	Employee Mailing Secondary Address	Y	Y	B	Y	
40	FROI	0155	Employee Mailing Country Code	Y	Y	B	Y	
41	FROI	0146	Death Result of Injury Code	K	K	B	K	
42	Both	0290	Type of Loss	K	K	B	K	
43	Both	0314	Insured FEIN	I	I	B	I	
44	FROI	0017	Insured Name	Y	Y	B	YG	
45	FROI	0184	Insured Type Code	Y	Y	B	N	
46	Both	0204	Work Week Type Code	J	J	B	J	
47	Both	0205	Work Days Scheduled Code	J	J	B	J	
48	FROI	0007	Insurer Name	N	Y	B	N	
49	FROI	0281	Initial Date Employer Had Knowledge of Date of Disability	I	I	B	I	
50	Both	0075	Agreement to Compensate Code	I	I	B	N	

FROI Data Element table (cont'd)

	FROI or SROI	DN#	FROI Data Element Name	Add	Update	Delete	Remove	MC Condition
51	FROI	0018	Employer Name	N	Y	B	N	
52	FROI	0072	Latest RTW/Status Date	I	I	B	I	
53	Both	0403	Initial RTW Type Code	I	I	B	I	
54	Both	0404	Initial RTW Physical Restrictions Indicator	I	I	B	I	
55	Both	0405	Initial RTW With Same Employer Indicator	I	I	B	I	
56	Both	0406	Latest RTW Type Code	I	I	B	I	
57	Both	0407	Latest RTW Physical Restrictions Indicator	I	I	B	I	
58	Both	0408	Latest RTW With Same Employer Indicator	I	I	B	I	
59	Both	0144	Current Date Disability Began	I	I	B	I	
60	Both	0145	Current Date Last Day Worked	I	I	B	I	
61	FROI	0165	Employer Mailing City	N	Y	B	N	
62	FROI	0166	Employer Mailing Country Code	Y	Y	B	Y	
63	FROI	0167	Employer Mailing Postal Code	N	Y	B	N	
64	FROI	0168	Employer Mailing Primary Address	N	Y	B	N	
65	FROI	0169	Employer Mailing Secondary Address	Y	Y	B	Y	
66	FROI	0170	Employer Mailing State Code	Y	Y	B	Y	
67	FROI	0060	Occupation Description	Y	Y	B	Y	
68	FROI	0199	Full Denial Effective Date	N	I	B	N	
69	Both	0138	Claim Administrator Claim Representative E-Mail Address	N	I	B	N	
70	Both	0140	Claim Administrator Claim Representative Name	N	I	B	N	
71	Both	0137	Claim Administrator Claim Representative Business Phone Number	N	I	B	N	
72	Both	0074	Claim Type Code	N	I	B	N	
73	Both	0273	Employer Paid Salary in Lieu of Compensation Indicator	I	I	B	N	

	FROI or SROI	DN#	FROI Data Element Name	Add	Update	Delete	Remove	MC Condition
ACCIDENT/INJURY DESCRIPTION NARRATIVES								
1	FROI	0038	Accident/Injury Description Narrative	N	Y	N	**B**	
PART OF BODY INJURED								
1	FROI	0036	Part of Body Injured Code	Y	Y	Y	**B**	**MC420**
MC420: Mandatory and must be greater than 00 when DN0412 (Change Data Element/Segment Number) equals 0420 and DN0413 (Change Reason Code) equals A (Added) or U (Updated), **not** D (Deleted). Must have at least one remaining occurrence when Change Reason Code equals D.								
2	FROI	0421	Part of Body Injured Location Code	Y	Y	Y	**B**	
3	FROI	0422	Part of Body Injured Fingers/Toes Location Code	Y	Y	Y	**B**	
FULL DENIAL REASON CODES								
1	Both	0198	Full Denial Reason Code	N	N	N	**B**	
FULL DENIAL REASON NARRATIVES								
1	Both	0197	Denial Reason Narrative	N	N	N	**B**	

Note for MTC-02: If there is a Reportable Change Code of YG on the NYS R3.1 Element Requirements Table, then there is an entry here that indicates when an MTC-02 should be triggered. This table does not communicate the edits. The edits are provided on the FROI Conditional Requirements table in the NYS R3.1 Element Requirements Table and/or the Population Restrictions table in the NYS R3.1 Edit Matrix Table (*Edit Matrix:* Population Restrictions).

	Req. Code	Change Reason Code	DN#	FROI Data Element Name	Exceptions	IAIABC Note
1	YG	R	0017	Insured Name	Cannot be removed on FROI if any SROI MTC has been accepted.	
2	YG	R	0028	Policy Number Identifier	Cannot be removed on FROI if any SROI MTC has been accepted.	
3	YG	R	0029	Policy Effective Date	Cannot be removed on FROI if any SROI MTC has been accepted.	
4	YG	R	0039	Initial Treatment Code	Cannot be removed on FROI if any SROI MTC has been accepted.	

	FROI or SROI	DN#	**SROI** Data Element Name	Add	Update	Delete	Remove	MC Condition
1	Both	0006	Insurer FEIN	B	K	B	B	
2	Both	0014	Claim Administrator Postal Code	B	K	B	B	
3	Both	0056	Initial Date Disability Began	I	I	B	I	
4	Both	0072	Latest RTW/Status Date	I	I	B	I	
5	Both	0057	Employee Date of Death	I	I	B	I	
6	Both (Diff)	0063	Wage Period Code	H	N	B	J	
7	Both	0064	Number of Days Worked Per Week	K	K	B	N	
8	Both	0031	Date of Injury	B	J	B	B	
9	Both	0015	Claim Administrator Claim Number	B	I	B	B	
10	Both	0074	Claim Type Code	N	I	B	N	
11	Both	0075	Agreement to Compensate Code	I	I	B	N	
12	Both	0015	Claim Administrator Claim Number	B	I	B	B	
13	Both	0187	Claim Administrator FEIN	B	K	B	B	
14	Both	0188	Claim Administrator Name	N	I	B	N	
15	Both	0140	Claim Administrator Claim Representative Name	N	I	B	N	
16	Both	0137	Claim Administrator Claim Representative Business Phone Number	N	I	B	N	
17	Both	0138	Claim Administrator Claim Representative E-mail Address	N	I	B	N	
18	Both	0270	Employee ID Type Qualifier	B	I	B	B	
19	Both	0042	Employee SSN	B	I	B	B	
20	Both	0154	Employee ID Assigned by Jurisdiction	B	I	B	B	
21	Both	0043	Employee Last Name	B	I	B	B	
22	Both	0044	Employee First Name	B	I	B	B	

SROI Data Element table (cont'd)

	FROI or SROI	DN#	SROI Data Element Name	Add	Update	Delete	Remove	MC Condition
23	Both	0052	Employee Date of Birth	I	I	B	N	
24	SROI	0201	Anticipated Wage Loss Indicator	Y	Y	B	N	
25	Both	0146	Death Result of Injury Code	K	K	B	K	
26	Both	0314	Insured FEIN	I	I	B	I	
27	Both	0016	Employer FEIN	I	I	B	I	
28	Both	0281	Initial Date Employer Had Knowledge of Date of Disability	I	I	B	I	
29	Both	0145	Current Date Last Day Worked	I	I	B	I	
30	Both	0144	Current Date Disability Began	I	I	B	I	
31	Both	0065	Initial Date Last Day Worked	I	I	B	I	
32	SROI	0193	Suspension Effective Date	B	YG	B	N	
33	Both	0199	Full Denial Effective Date	B	I	B	N	
34	Both	0196	Denial Rescission Date	JG	H	B	N	
35	SROI	0294	Partial Denial Code	B	Y	B	N	
36	SROI	0134	Calculated Weekly Compensation Amount	N	Y	B	N	
37	Both	0290	Type of Loss Code	K	K	B	K	
38	Both	0068	Initial RTW Date	I	I	B	I	
39	Both	0066	Full Wages Paid for Date of Injury Indicator	I	I	B	I	
40	Both	0273	Employer Paid Salary in Lieu of Compensation Indicator	I	I	B	N	
41	Both	0204	Work Week Type Code	J	J	B	J	
42	Both	0205	Work Days Scheduled Code	J	J	B	J	
43	Both	0403	Initial RTW Type Code	I	I	B	I	
44	Both	0404	Initial RTW Physical Restrictions Indicator	I	I	B	I	
45	Both	0405	Initial RTW With Same Employer Indicator	I	I	B	I	
46	Both	0406	Latest RTW Type Code	I	I	B	I	
47	Both	0407	Latest RTW Physical Restrictions Indicator	I	I	B	I	

SROI Data Element table (cont'd)

	FROI or SROI	DN#	SROI Data Element Name	Add	Update	Delete	Remove	MC Condition
48	Both	0408	Latest RTW With Same Employer Indicator	I	I	B	I	
49	SROI	0423	Acquired Claim Last Known Indemnity Through Date	Y	Y	B	N	
50	SROI	0433	Overpayment Amount - Current	Y	Y	B	B	
51	SROI	0418	Suspension Reason Code - Full	B	YG	B	B	
52	SROI	0436	Partial Denial Effective Date	B	Y	B	N	
53	SROI	0439	Benefit Change Reason Code	N	Y	B	N	

	FROI or SROI	DN#	SROI Data Element Name	Add	Update	Delete	Remove	MC Condition
			BENEFITS					
1	SROI	0085	Benefit Type Code	YG	Y	N	B	MC288a
MC288a — A (Add), U (Update): Mandatory and must be greater than 00 when DN0412 (Change Data Element/Segment Number) equals DN0288 and DN0413 (Change Reason Code) equals A (Added) or U (Updated).								
2	SROI	0002	Maintenance Type Code	B	B	B	B	
3	SROI	0174	Gross Weekly Amount	N	N	N	B	
4	SROI	0175	Gross Weekly Amount Effective Date	H	H	N	B	MC288a
5	SROI	0087	Net Weekly Amount	N	N	N	B	
6	SROI	0211	Net Weekly Amount Effective Date	H	H	N	B	MC288a
7	SROI	0088	Benefit Period Start Date	YG	Y	N	B	MC288a
8	SROI	0089	Benefit Period Through Date	YG	Y	N	B	MC288a
9	SROI	0090	Benefit Type Claim Weeks	YG	YG	N	B	MC288a
10	SROI	0091	Benefit Type Claim Days	YG	YG	N	B	MC288a
11	SROI	0086	Benefit Type Amount Paid	YG	YG	N	B	MC288a
12	SROI	0192	Benefit Payment Issue Date	YG	Y	N	B	MC288a

	FROI or SROI	DN#	SROI Data Element Name	Add	Update	Delete	Remove	MC Condition
PAYMENTS								
1	SROI	0222	Benefit Type Code	B	YG	B	B	**MC283**

MC283: Mandatory and must be greater than 00 when DN0412 (Change Data Element/Segment Number) equals 0283 and DN0413 (Change Reason Code) is U (Updated).

	FROI or SROI	DN#	SROI Data Element Name	Add	Update	Delete	Remove	MC Condition
2	SROI	0217	Payee	B	YG	B	B	**MC283**
3	SROI	0218	Payment Amount	B	YG	B	B	**MC283**
4	SROI	0219	Payment Covers Period Start Date	B	YG	B	B	**MC283**
5	SROI	0220	Payment Covers Period Through Date	B	YG	B	B	**MC283**
6	SROI	0195	Payment Issue Date	B	YG	B	B	**MC283**
BENEFIT ACR Segment contains Adjustments, Credits or Redistributions								
1	SROI	0092	Benefit Adjustment Code	B	YG	B	B	
2	SROI	0094	Benefit Adjustment Start Date	B	Y	B	B	
3	SROI	0125	Benefit Adjustment End Date	B	Y	B	B	
4	SROI	0093	Benefit Adjustment Weekly Amount	B	B	B	B	
5	SROI	0409	Weekly Income Amount For Offset	B	N	B	B	
1	SROI	0126	Benefit Credit Code	B	YG	B	B	
2	SROI	0127	Benefit Credit Start Date	B	Y	B	B	
3	SROI	0128	Benefit Credit End Date	B	Y	B	B	
4	SROI	0129	Benefit Credit Weekly Amount	B	B	B	B	
5	SROI	0409	Weekly Income Amount For Offset	B	N	B	B	
1	SROI	0130	Benefit Redistribution Code	Y	Y	Y	B	
2	SROI	0131	Benefit Redistribution Start Date	Y	Y	Y	B	
3	SROI	0132	Benefit Redistribution End Date	Y	Y	Y	B	
4	SROI	0133	Benefit Redistribution Weekly Amount	Y	Y	Y	B	

	FROI or SROI	DN#	SROI Data Element Name	Add	Update	Delete	Remove	MC Condition
			FULL DENIAL REASON CODES					
1	Both	0198	Full Denial Reason Code	N	N	JG	B	
			FULL DENIAL REASON NARRATIVES					
1	Both	0197	Denial Reason Narrative	N	N	JG	B	

Note for MTC-02: If there is a Reportable Change Code of YG on the *NYS R3.1 Element Requirements Table*, then there is an entry here that indicates when an MTC-02 should be triggered. This table does not communicate the edits. The edits are provided on the SROI Conditional Requirements table in the *NYS R3.1 Element Requirements Table* and/or the Population Restrictions table in the *NYS R3.1 Edit Matrix Table* (*Edit Matrix:* Population Restrictions).

Req. Code	Change Reason Code	DN#	SROI Data Element Name	Exceptions	IAIABC Note
1	YG	A	0085	Benefit Type Code	SROI-02 Change may be sent to report a waiting period paid for a different Benefit Type from the Benefit Type previously reported on the IP (Initial Payment), EP (Employer Paid), or AP (Acquired Payment). This will be indicated as an A (Add) in the Change Variable Segment for the Benefit Segment because a new Benefit Segment occurrence was added. A new DN0085 (Benefit Type Code) was added and the DN0089 (Benefit Period Through Date) of the new DN0085 (Benefit Type Code) was prior to the DN0088 (Benefit Period Start Date of the Initial Payment) (IP, EP or AP). See DN0085 (Benefit Type Code) Error 059 in *Edit Matrix:* Population Restrictions.	Refer to Code Usage Limitations in 02 Change Processing Rules in Section 4 of the *IAIABC EDI Release 3.1 Implementation Guide*.
2	YG	A	0086	Benefit Type Amount Paid	SROI-02 Change may be sent to report a waiting period paid for a different Benefit Type from the Benefit Type previously reported on the IP (Initial Payment), EP (Employer Paid), or AP (Acquired Payment). This will be indicated as an A (Add) in the Change Variable Segment for the Benefit Segment because a new Benefit Segment occurrence was added. A new DN0085 (Benefit Type Code) was added and the DN0089 (Benefit Period Through Date) of the new DN0085 (Benefit Type Code) was prior to the DN0088 (Benefit Period Start Date of the Initial Payment) (IP, EP or AP). See DN0085 (Benefit Type Code) Error 059 in *Edit Matrix:* Population Restrictions.	Refer to Code Usage Limitations in 02 Change Processing Rules in Section 4 of the *IAIABC EDI Release 3.1 Implementation Guide*.

SROI-02 Exceptions table (cont'd)

	Req. Code	Change Reason Code	DN#	SROI Data Element Name	Exceptions	IAIABC Note
3	YG	U	0086	Benefit Type Amount Paid	SROI-02 Change may be sent if change to financials occurs after the last Suspension (SX).	Refer to Code Usage Limitations in 02 Change Processing Rules in Section 4 of the *IAIABC EDI Release 3.1 Implementation Guide*.
4	YG	A	0088	Benefit Period Start Date	SROI-02 Change may be sent to report a waiting period paid for a different Benefit Type from the Benefit Type previously reported on the IP (Initial Payment), EP (Employer Paid), or AP (Acquired Payment). This will be indicated as an A (Add) in the Change Variable Segment for the Benefit Segment because a new Benefit Segment occurrence was added. A new DN0085 (Benefit Type Code) was added and the DN0089 (Benefit Period Through Date) of the new DN0085 (Benefit Type Code) was prior to the DN0088 (Benefit Period Start Date of the Initial Payment) (IP, EP or AP). See DN0085 (Benefit Type Code) Error 059 in *Edit Matrix:* Population Restrictions.	Refer to Code Usage Limitations in 02 Change Processing Rules in Section 4 of the *IAIABC EDI Release 3.1 Implementation Guide*.
5	YG	A	0089	Benefit Period Through Date	SROI-02 Change may be sent to report a waiting period paid for a different Benefit Type from the Benefit Type previously reported on the IP (Initial Payment), EP (Employer Paid), or AP (Acquired Payment). This will be indicated as an A (Add) in the Change Variable Segment for the Benefit Segment because a new Benefit Segment occurrence was added. A new DN0085 (Benefit Type Code) was added and the DN0089 (Benefit Period Through Date) of the new DN0085 (Benefit Type Code) was prior to the DN0088 (Benefit Period Start Date of the Initial Payment) (IP, EP or AP). See DN0085 (Benefit Type Code) Error 059 in *Edit Matrix:* Population Restrictions.	Refer to Code Usage Limitations in 02 Change Processing Rules in Section 4 of the *IAIABC EDI Release 3.1 Implementation Guide*.
6	YG	A	0090	Benefit Type Claim Weeks	SROI-02 Change may be sent to report a waiting period paid for a different Benefit Type from the Benefit Type previously reported on the IP (Initial Payment), EP (Employer Paid), or AP (Acquired Payment). This will be indicated as an A (Add) in the Change Variable Segment for the Benefit Segment because a new Benefit Segment occurrence was added. A new DN0085 (Benefit Type Code) was added and the DN0089 (Benefit Period Through Date) of the new DN0085 (Benefit Type Code) was prior to the DN0088 (Benefit Period Start Date of the Initial Payment) (IP, EP or AP). See DN0085 (Benefit Type Code) Error 059 in *Edit Matrix:* Population Restrictions.	Refer to Code Usage Limitations in 02 Change Processing Rules in Section 4 of the *IAIABC EDI Release 3.1 Implementation Guide*.

SROI-02 Exceptions table (cont'd)

	Req. Code	Change Reason Code	DN#	SROI Data Element Name	Exceptions	IAIABC Note
7	YG	U	0090	Benefit Type Claim Weeks	SROI-02 Change may be sent if change to financials occurs after the last Suspension (SX).	Refer to Code Usage Limitations in 02 Change Processing Rules in Section 4 of the *IAIABC EDI Release 3.1 Implementation Guide*.
8	YG	A	0091	Benefit Type Claim Days	SROI-02 Change may be sent to report a waiting period paid for a different Benefit Type from the Benefit Type previously reported on the IP (Initial Payment), EP (Employer Paid), or AP (Acquired Payment). This will be indicated as an A (Add) in the Change Variable Segment for the Benefit Segment because a new Benefit Segment occurrence was added. A new DN0085 (Benefit Type Code) was added and the DN0089 (Benefit Period Through Date) of the new DN0085 (Benefit Type Code) was prior to the DN0088 (Benefit Period Start Date of the Initial Payment) (IP, EP or AP). See DN0085 (Benefit Type Code) Error 059 in *Edit Matrix:* Population Restrictions.	Refer to Code Usage Limitations in 02 Change Processing Rules in Section 4 of the *IAIABC EDI Release 3.1 Implementation Guide*.
9	YG	U	0091	Benefit Type Claim Days	SROI-02 Change may be sent if change to financials occurs after the last Suspension (SX).	
10	YG	U	0092	Benefit Adjustment Code	SROI-02 Change may be sent when the alpha portion of the DN0092 (Benefit Adjustment Code) changes but the related Benefit Type portion of the Code does not change. For example, a Benefit Adjustment Code of A050 is reported and later it is determined that the correct Benefit Adjustment Code is B050. An 02 Change is reported to communicate the Update in the alpha portion of the code; however, the Benefit Type portion of the Benefit Adjustment/Credit Code remains the same.	Refer to Code Usage Limitations in 02 Change Processing Rules in Section 4 of the *IAIABC EDI Release 3.1 Implementation Guide*, see 7.d.iii.
11	YG	U	0126	Benefit Credit Code	SROI-02 Change may be sent when the alpha portion of the DN0126 (Benefit Credit Code) changes but the related Benefit Type portion of the Code does not change. For example, using random non-specific code examples, a Benefit Credit Code of P220 is reported and later it is determined that the correct Benefit Credit Code is M220. An 02 Change is reported to communicate the Update in the alpha portion of the code; however, the Benefit Type portion of the Benefit Credit Code remains the same.	Refer to Code Usage Limitations in 02 Change Processing Rules in Section 4 of the *IAIABC EDI Release 3.1 Implementation Guide*, see 7.d.iii.

SROI-02 Exceptions table (cont'd)

	Req. Code	Change Reason Code	DN#	SROI Data Element Name	Exceptions	IAIABC Note
12	YG	A	0192	Benefit Payment Issue Date	SROI-02 Change may be sent to report a waiting period paid for a different Benefit Type from the Benefit Type previously reported on the IP (Initial Payment), EP (Employer Paid), or AP (Acquired Payment). This will be indicated as an A (Add) in the Change Variable Segment for the Benefit Segment because a new Benefit Segment occurrence was added. A new DN0085 Benefit Type Code was added and the DN0089 (Benefit Period Through Date) of the new DN0085 (Benefit Type Code) was prior to the DN0088 (Benefit Period Start Date of the Initial Payment) (IP, EP or AP). See DN0085 (Benefit Type Code) Error 059 in *Edit Matrix:* Population Restrictions.	Refer to Code Usage Limitations in 02 Change Processing Rules in Section 4 of the *IAIABC EDI Release 3.1 Implementation Guide*.
13	YG	U	0193	Suspension Effective Date	SROI-02 Change to be filed when Suspension Effective Date has changed and the latest accepted SROI report on the claim is an SX (ignoring 02s or SA).	
14	YG	U	0195	Payment Issue Date	SROI-02 Change may be sent if data in the Payment Segment is updated related to the last reported payment. See DN0283 (Number of Payments) Error 064 in *Edit Matrix:* Population Restrictions.	Refer to Code Usage Limitations in 02 Change Processing Rules in Section 4 of the *IAIABC EDI Release 3.1 Implementation Guide*, see 7.c.
15	JG	A	0196	Denial Rescission Date	Can only be filed when denial is still in effect, until the claim is accepted as stated in R3.1 FAQ. To accept a claim after your SROI-04, you need to submit a SROI that indicates what event has occurred that shows acceptance or a SROI-02. If sending a SROI-02, for cases with a date of accident prior to 1/1/2019, you will need to send DN0196 (Denial Rescission Date). For cases with a date of accident on or after 1/1/2019, the Agreement to Compensate Code shows acceptance of a claim.	
16	JG	D	0197	Denial Reason Narrative	Allow delete if either DN0075 (Agreement to Compensate) changes from Without Liability to With Liability (for cases with dates of accident on or after 1/1/2019) or DN0196 (Denial Rescission Date) is being added (for cases with dates of accident prior to 1/1/2019) and no benefit segments have been accepted to date.	Refer to Code Usage Limitations in 02 Change Processing Rules in Section 4 of the *IAIABC EDI Release 3.1 Implementation Guide*.

SROI-02 Exceptions table (cont'd)

	Req. Code	Change Reason Code	DN#	SROI Data Element Name	Exceptions	IAIABC Note
17	JG	D	0198	Full Denial Reason Code	Allow delete if either DN0075 (Agreement to Compensate) changes from Without Liability to With Liability (for cases with dates of accident on or after 1/1/2019) or DN0196 (Denial Rescission Date) is being added (for cases with dates of accident prior to 1/1/2019) and no benefit segments have been accepted to date.	Refer to Code Usage Limitations in 02 Change Processing Rules in Section 4 of the *IAIABC EDI Release 3.1 Implementation Guide*.
18	YG	U	0217	Payee	SROI-02 Change may be sent if data in the Payment Segment is updated related to the last reported payment. See DN0283 (Number of Payments Error 064 in *Edit Matrix:* Population Restrictions.	Refer to Code Usage Limitations in 02 Change Processing Rules in Section 4 of the *IAIABC EDI Release 3.1 Implementation Guide*, see 7.c.
19	YG	U	0218	Payment Amount	SROI-02 Change may be sent if data in the Payment Segment is updated related to the last reported payment. See DN0283 (Number of Payments Error 064 in *Edit Matrix:* Population Restrictions.	Refer to Code Usage Limitations in 02 Change Processing Rules in Section 4 of the *IAIABC EDI Release 3.1 Implementation Guide*, see 7.c.
20	YG	U	0219	Payment Covers Period Start Date	SROI-02 Change may be sent if data in the Payment Segment is updated related to the last reported payment. See DN0283 (Number of Payments Error 064 in *Edit Matrix:* Population Restrictions.	Refer to Code Usage Limitations in 02 Change Processing Rules in Section 4 of the *IAIABC EDI Release 3.1 Implementation Guide*, See 7.c.
21	YG	U	0220	Payment Covers Period Through Date	SROI-02 Change may be sent if data in the Payment Segment is updated related to the last reported payment. See DN0283 (Number of Payments Error 064 in *Edit Matrix:* Population Restrictions.	Refer to Code Usage Limitations in 02 Change Processing Rules in Section 4 of the *IAIABC EDI Release 3.1 Implementation Guide*, See 7.c.

SROI-02 Exceptions table (cont'd)

	Req. Code	Change Reason Code	DN#	SROI Data Element Name	Exceptions	IAIABC Note
22	YG	U	0222	Payment Reason Code	SROI-02 Change may be sent if data in the Payment Segment is updated related to the last reported payment. See DN0283 (Number of Payments Error 064 in *Edit Matrix:* Population Restrictions.	Refer to Code Usage Limitations in 02 Change Processing Rules in Section 4 of the *IAIABC EDI Release 3.1 Implementation Guide*, See 7.c.
23	YG	U	0418	Suspension Reason Code - Full	SROI-02 Change to be filed when Suspension Reason Code - Full has changed and the latest accepted SROI report on the claim is an SX (ignoring 02s or SA).	
24	NA	A	Varies	Varies by DN0412 (Change Data Element/Segment Number) in the Change Data Elements segment for Match Data elements	SROI-02 may be sent and for specific DN that has changed; must be valid per DN0413 (Change Reason Code).	Refer to Code Usage Limitations in 02 Change Processing Rules in Section 4 of the *IAIABC EDI Release 3.1 Implementation Guide*.
25	NA	A, U, R, D	Varies	Varies by DN0412 (Change Data Element/Segment Number) in the Change Data Elements segment	SROI-02 may be sent and for specific DN that has changed; must be valid per DN0413 (Change Reason Code).	Refer to Code Usage Limitations in 02 Change Processing Rules in Section 4 of the *IAIABC EDI Release 3.1 Implementation Guide*.

For more information, see the **NYS FROI-SROI 02 Transaction Processing in Claims EDI R3.1** document.

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PAGE 15 OF 15

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WCB-Claims-EDI3.1-change02-chart-v6 7-23