# 2007 Annual Report

# New York State Workers' Compensation Board

David A. Paterson, Governor Zachary S. Weiss, Chair

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#### Introduction

The Workers' Compensation Board (WCB) accumulates data on workplace incidents that are accepted workers' compensation claims using the US Department of Labor, Bureau of Labor Statistics (BLS) Occupational Injury & Illness Classification System (OIICS). This system includes coding structures to support gathering the Nature of Injury, Part of Body affected, Source of Injury or Illness, the workplace Event or Exposure and a Secondary Source of Injury or Illness. The hierarchical coding structures allow for the uniform acquisition of data depending on the level of detail available. The coding structure includes the categories "Not Elsewhere Classified" if there are specific details available but for which no precise matching code is available as well as an "Unspecified" category when the source material is not sufficiently detailed or specific to enable precise coding.

For Annual Report tables, the data is reported at the "Two Digit" level as identified in the classification system. Text labels, like the sources "Person – Injured or Ill Worker" or "Person – other than Injured or Ill worker" are reproduced directly from the classification system wherever space permits. Where necessary, the classification system labels are slightly abbreviated. For example, the Event "Caught in or compressed by equipment or objects" is shown as "Caught in or compressed by objects".

In addition to the hierarchical coding structure, this system includes a set of procedures for selecting facts relating to an occupational injury, illness or fatality as well as data edit rules. The WCB has developed systems to support the efficient and accurate gathering of uniform data using OIICS and has implemented a sophisticated software system that both enforces fact selection, coding rules and quality control.

Additional detailed information about the OIICS system is available from the BLS website at <a href="http://www.bls.gov/">http://www.bls.gov/</a> and <a href="http://www.bls.gov/">http://www.bls.gov/</a> iif/oshwc/cfar0014.txt.

The WCB codes cases for occupational injury/illness characteristics when the claim is "accepted" – that is, a finding by the WCB establishes that there is a claim for indemnity benefits that must be paid. Indemnity benefits are those payments to the injured worker in compensation for lost wages. The WCB does not have sufficient resources, nor is the source material sufficiently complete, to code claims for which only medical treatment is provided or for cases that receive no benefit (medical or indemnity) under workers' compensation.

Each year, the WCB indexes new claims and re-opens claims that have previously been fully resolved. A newly indexed claim is a case folder which has been assembled and assigned a case number by the WCB's Claims Operations Division. Anytime there is no further action for the WCB to take on a claim based on its current condition, the WCB "closes" the claim with a finding of "No Further Action" (NFA) being contemplated by the WCB at that time. The WCB will re-open a claim based on specific requests from one of the parties or from other information provided to the Board. A reopened case is any indexed claim which has been previously "closed" by the WCB for which a new issue requires resolution or a new finding is required by the WCB.

#### World Trade Center Cases as of September 11, 2007

As of September 11, 2007, the sixth anniversary of the terrorist attack on the World Trade Center, the Workers' Compensation Board had indexed 11,863 cases for WTC related claims. Of these indexed cases, 6,599 were for victims, 4,443 for rescue, recovery and cleanup workers, and the remaining 821 were for other workers or for workers who could not be classified due to insufficient or inconsistent information. More than four out of five cases involving victims had been indexed prior to September 11, 2002. Cases involving rescue, recovery and cleanup workers entered the workers' compensation system later, with more than three out of five cases being indexed more than two years after the attack.

Respiratory system diseases was the leading nature of injury classification for the 11,863 WTC claims, accounting for 45.9% of the claims overall and 84.0% of the claims involving rescue, recovery and cleanup workers. Out of the 6,599 victims, the nature of injury could not be classified in 2,303 (34.9%) claims due to insufficient or inconsistent information. About a third of the victims, 33.0%, suffered mental disorders or syndromes.

A total of 5,322 WTC claims have been accepted. These include 1,202 medical only cases, 1,385 temporary disability cases, 678 permanent disability cases and 2,057 claims for death benefits.

Among the 6,541 cases that have not been accepted, only 543 have been denied – that is, the claimant pursued the claim but did not prove that the conditions necessary to establish ANCR were met. As data on denied claims is incomplete, however, a manual review of some claims will be required to tally the total number of denied claims.

As of the sixth anniversary of the attack, 90.5% of the WTC related cases had been fully resolved.

### World Trade Center Cases: Index Date by Injured Worker Classification

Index Date	All Claims	Victims	Rescue, Recovery, Cleanup	Other and Unclassifiable
Prior to 9/11/02	6,777	5,389	1,086	302
9/11/02 to 9/11/03	1,122	417	614	91
9/11/03 to 9/11/04	2,300	529	1,551	220
9/11/04 to 9/11/05	295	85	186	24
9/11/05 to 9/11/06	407	87	290	30
9/11/06 and After	962	92	716	154
Total	11,863	6,599	4,443	821

Number of cases as of Sept. 11, 2007

### World Trade Center Cases: Nature of Injury by Injured Worker Classification

Nature of Injury	All Claims	Victims	Rescue, Recovery, Cleanup	Other and Unclassifiable
Respiratory system diseases	5,440	1,380	3,733	327
Nonclassifiable	2,865	2,303	318	244
Mental disorders or syndromes	2,423	2,177	109	137
Traumatic injuries to muscles, tendons, ligaments, joints, etc.	275	174	71	30
Traumatic injuries to bones, nerves, spinal cord	267	172	64	31
Multiple traumatic injuries and disorders	153	131	19	3
Other traumatic injuries and disorders	102	61	31	10
Surface wounds and bruises	98	66	20	12
Open wounds	46	24	19	3
Traumatic injuries and disorders, unspecified	36	25	6	5
Burns	31	23	8	0
Nervous system and sense organs diseases	31	11	15	5
Intracranial injuries	21	16	1	4
Multiple diseases, conditions, and disorders	19	17	1	1
Circulatory system diseases	17	5	9	3
Malignant neoplasms and tumors (cancers, carcinomas,				
sarcomas)	10	1	8	1
Digestive system diseases and disorders	7	3	3	1
Other	22	10	8	4
Total	11,863	6,599	4,443	821

Number of cases as of Sept. 11, 2007

### World Trade Center Cases: Case Type by Injured Worker Classification

Case Type	All Claims		Victims		Rescue, Recovery, Cleanup		Other and Unclassifiable	
	Cases	Percent	Cases	Percent	Cases	Percent	Cases	Percent
Claims Not Accepted	6,541	55.1%	2,397	36.3%	3,468	78.1%	676	82.3%
Pending Claims	869	7.3%	70	1.1%	657	14.8%	142	17.3%
NFA'd - Denied	543	4.6%	210	3.2%	275	6.2%	58	7.1%
NFA'd - Not Pursued*	5,129	43.2%	2,117	32.1%	2,536	57.1%	476	58.0%
Accepted Claims	5,322	44.9%	4,202	63.7%	975	21.9%	145	17.7%
Medical Only	1,202	10.1%	621	9.4%	539	12.1%	42	5.1%
Temporary Disability	1,385	11.7%	1,043	15.8%	270	6.1%	72	8.8%
PPD Schedule Loss	227	1.9%	153	2.3%	55	1.2%	19	2.3%
PPD Non-Schedule	420	3.5%	307	4.7%	102	2.3%	11	1.3%
Permanent Total Disability	31	0.3%	22	0.3%	8	0.2%	1	0.1%
Death	2,057	17.3%	2,056	31.2%	1	0.0%	0	0.0%
Total	11,863	100.0%	6,599	100.0%	4,443	100.0%	821	100.0%

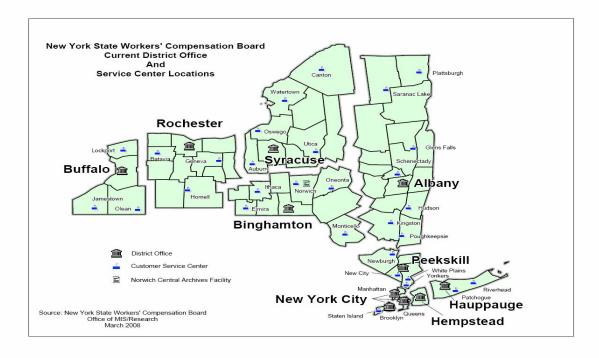
As of Sept. 11, 2007

Accepted claims are those claims for Workers' Compensation benefits having Accident (or Occupational Disease), Notice and Causal Relationship established.

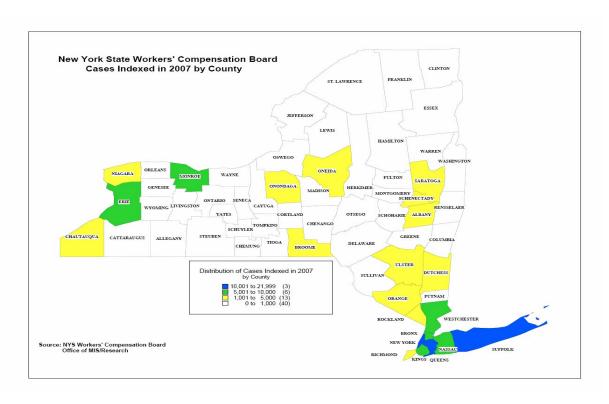
Percents may not add to totals due to rounding.

<sup>\*</sup>A manual review of some NFA'd claims is required to identify all denied claims.

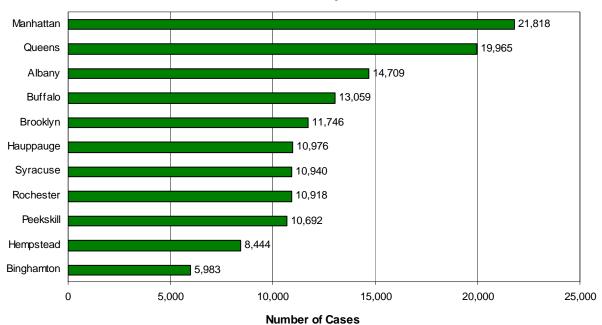
#### **District Offices and Service Center Locations**



### **Cases Indexed by County**



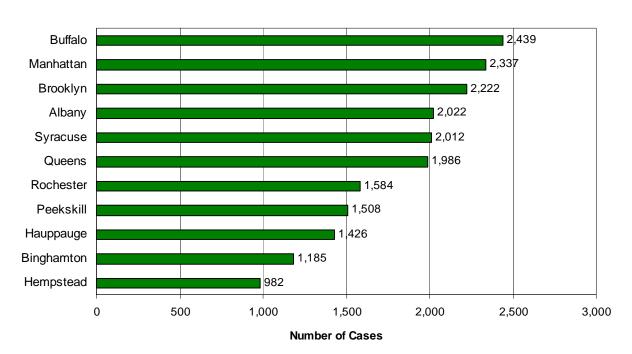




Cases Indexed and Cases Reopened in 2007 by District Office

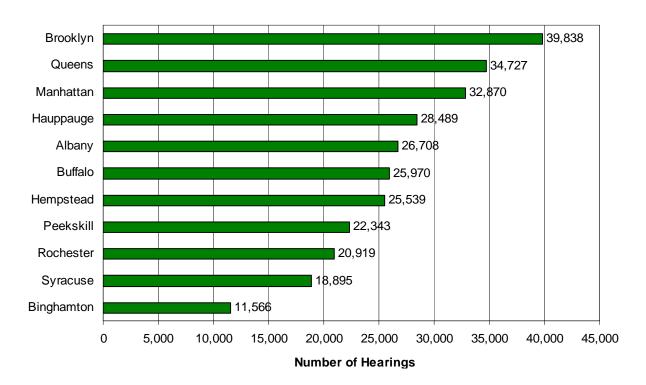
District Office	Cases Indexed	Cases Reopened
Manhattan	21,818	19,383
Queens	19,965	18,271
Albany	14,709	18,048
Buffalo	13,059	20,972
Brooklyn	11,746	12,023
Hauppauge	10,976	16,131
Syracuse	10,940	20,252
Rochester	10,918	16,390
Peekskill	10,692	17,180
Hempstead	8,444	14,632
Binghamton	5,983	8,661
Total	139,250	181,943

### Cases Controverted in 2007 by District Office



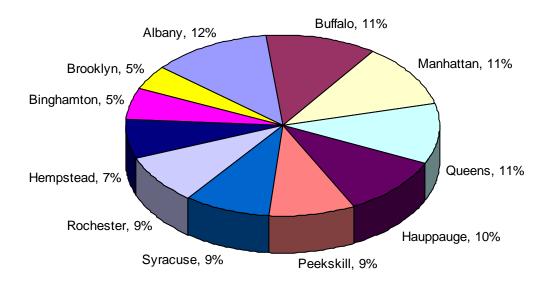
District Office	Number of Cases Controverted
Buffalo	2,439
Manhattan	2,337
Brooklyn	2,222
Albany	2,022
Syracuse	2,012
Queens	1,986
Rochester	1,584
Peekskill	1,508
Hauppauge	1,426
Binghamton	1,185
Hempstead	982
Total	19,703

### Hearings Held in 2007 by District Office



District Office	Number of Hearings
Brooklyn	39,838
Queens	34,727
Manhattan	32,870
Hauppauge	28,489
Albany	26,708
Buffalo	25,970
Hempstead	25,539
Peekskill	22,343
Rochester	20,919
Syracuse	18,895
Binghamton	11,566
Total	287,864

### Percentage of All Claims Accepted in 2007 by District Office



### Claims Accepted in 2007 by District Office

District Office	Claims Accepted
Albany	13,328
Buffalo	12,256
Manhattan	12,167
Queens	11,838
Hauppauge	11,137
Peekskill	9,658
Syracuse	9,581
Rochester	9,550
Hempstead	7,598
Binghamton	5,884
Brooklyn	4,903
Total	107,900

#### Claims Accepted in 2007 by Claim Type and Month

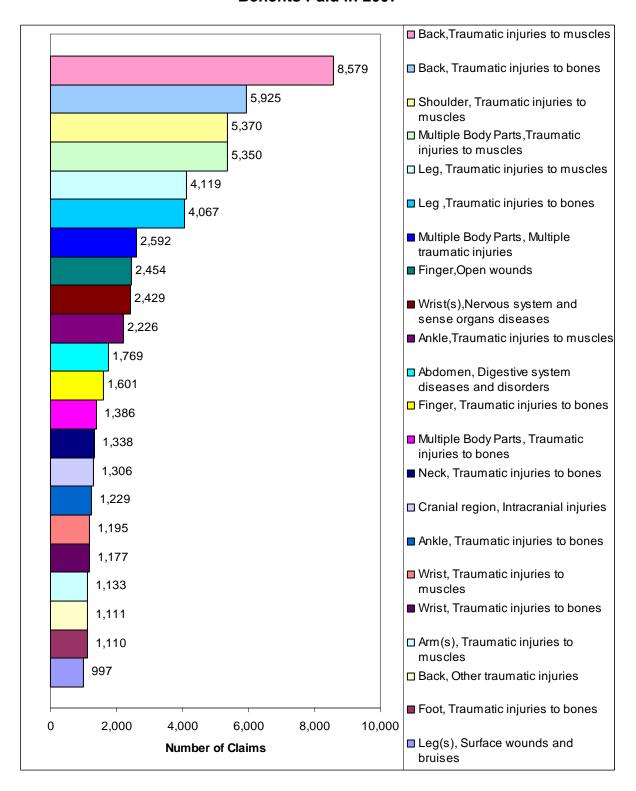
Month Accepted	Total Claims Accepted	WCL Claims (a)	VFBL Claims	VAWBL Claims
January	9,597	9,534	58	5
February	8,193	8,128	57	8
March	9,870	9,795	67	8
April	8,609	8,539	66	4
May	9,897	9,812	76	9
June	9,553	9,486	64	3
July	9,315	9,256	54	5
August	9,117	9,048	61	8
September	8,060	7,990	62	8
October	9,182	9,121	56	5
November	8,357	8,289	62	6
December	8,150	8,094	46	10
Total	107,900	107,092	729	79

- (a) Claims under the Workers' Compensation Law
- (b) Claims under the Volunteer Firefighters' Benefit Law
- (c) Claims under the Volunteer Ambulance Workers' Benefit Law

Claims Accepted in 2007: Claims for which there was a finding made by the Board during calendar year 2007 that (1) the claimant sustained an injury arising out of and in the course of employment; (2) timely notice thereof was given to the employer; and (3) there is a causal relationship between the work injury and a consequent disability.

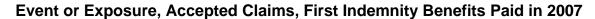
(The claims accepted data for 2007 includes some previously established claims for which a Board finding during calendar year 2007 amended or reaffirmed the claim's status. This occurs when a claim has awards which pre-date the WCB's current Claims Information System and the data which previously accepted the claim is not available electronically. Based on other indications including the claim's original indexing, it is estimated that these affirmations account for less than 5% of the total accepted claims reported in a year).

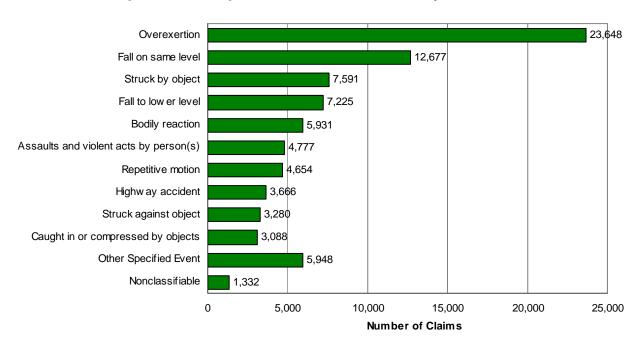
### Most Frequently Occurring Injury Types, Accepted Claims, First Indemnity Benefits Paid in 2007



### Part of Body Injured, Accepted Claims, First Indemnity Benefits Paid in 2007

PART OF BODY AREA Body Sub-Area	All Claims	Male Workers	Female Workers	Sex Not Indicated
HEAD	3,847	2,822	938	87
NECK	2,467	1,290	1,132	45
UPPER EXTREMITIES	17,940	10,998	6,488	454
Finger	5,633	4,110	1,349	174
Wrist	5,479	2,518	2,858	103
Hand	1,785	1,300	425	60
Arm	3,064	2,008	988	68
Multiple Upper Ex.	1,969	1,058	862	49
All Other	10	4	6	0
TRUNK	28,666	18,483	9,509	674
Back	16,178	9,884	5,912	382
Shoulder	7,216	4,636	2,403	177
Abdomen	1,949	1,745	173	31
Chest	1,459	1,131	298	30
Pelvic Region	833	508	297	28
Multiple Trunk Locations	1,010	570	414	26
All Other	21	9	12	0
LOWER EXTREMITIES	18,356	11,756	6,132	468
Leg	10,598	7,030	3,349	219
Ankle	3,745	2,203	1,420	122
Foot	2,085	1,316	699	70
Toe	619	435	164	20
Multiple Lower Ex.	1,301	767	497	37
All Other	8	5	3	0
BODY SYSTEMS	605	325	262	18
MULTIPLE BODY AREAS	11,545	6,174	5,018	353
OTHER OR UNSPECIFIED	391	258	120	13
Total	83,817	52,106	29,599	2,112

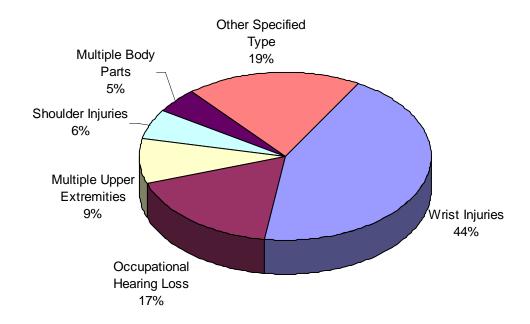




### Sex of Worker and Event or Exposure, Accepted Claims, First Indemnity Benefits Paid in 2007

Event or Exposure	All Claims	Male Workers	Female Workers	Sex Not Indicated
Overexertion	23,648	15,093	8,035	520
Fall on same level	12,677	5,583	6,765	329
Struck by object	7,591	5,359	1,991	241
Fall to lower level	7,225	5,271	1,720	234
Bodily reaction	5,931	3,953	1,866	112
Assaults and violent acts by				
person(s)	4,777	2,410	2,262	105
Repetitive motion	4,654	1,784	2,804	66
Highway accident	3,666	2,561	964	141
Struck against object	3,280	2,227	951	102
Caught in or compressed by				
objects	3,088	2,413	598	77
Other Specified Event	5,948	4,538	1,281	129
Nonclassifiable	1,332	914	362	56
TOTAL	83,817	52,106	29,599	2,112

### Occupational Disease or Exposure Injuries, Accepted Claims, First Indemnity Benefits Paid in 2007

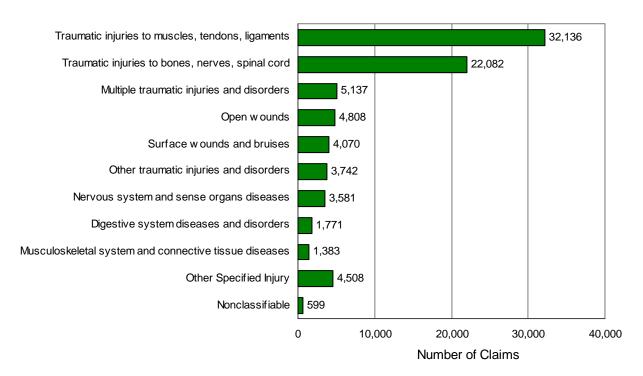


# Sex of Worker and Occupational Disease or Exposure, Accepted Claims, First Indemnity Benefits Paid in 2007

Type of Occupational Disease or Exposure	Accept ed Claims	Male Worker s	Female Worker s	Sex Not Indicate d
Wrist Injuries* Occupational Hearing	2,116	757	1,338	21
Loss Multiple Upper	840	800	35	5
Extremities	418	131	283	4
Shoulder Injuries	280	135	143	2
Multiple Body Parts	237	85	146	6
Other Specified Type	933	532	391	10
Total	4,824	2,440	2,336	48

<sup>\*</sup>According to the classification system some exposures, (i.e. Carpal Tunnel) are ascribed to specific body parts.

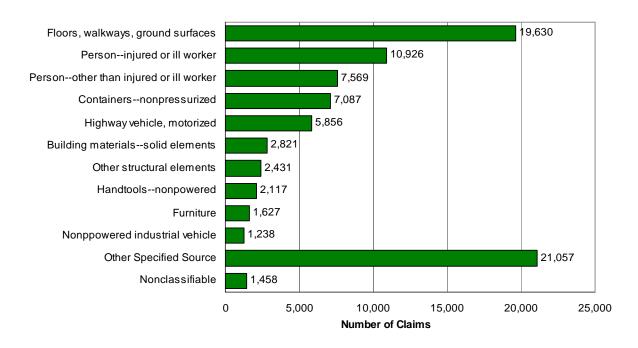
### Nature of Injury, Accepted Claims, First Indemnity Benefits Paid in 2007



### Sex of Worker and Nature of Injury, Accepted Claims, First Indemnity Benefits Paid in 2007

Nature of Injury	AII Claims	Male Workers	Female Workers	Sex Not Indicated
Traumatic injuries to muscles, tendons,				
ligaments	32,136	19,077	12,273	786
Traumatic injuries to bones, nerves, spinal				
cord	22,082	14,326	7,161	595
Multiple traumatic injuries and disorders	5,137	3,110	1,889	138
Open wounds	4,808	3,817	830	161
Surface wounds and bruises	4,070	2,203	1,753	114
Other traumatic injuries and disorders	3,742	2,213	1,439	90
Nervous system and sense organs diseases	3,581	1,898	1,635	48
Digestive system diseases and disorders	1,771	1,625	117	29
Musculoskeletal system and connective		·		
tissue diseases	1,383	580	783	20
Other Specified Injury	4,508	2,863	1,536	109
Nonclassifiable	599	394	183	22
Total	83,817	52,106	29,599	2,112

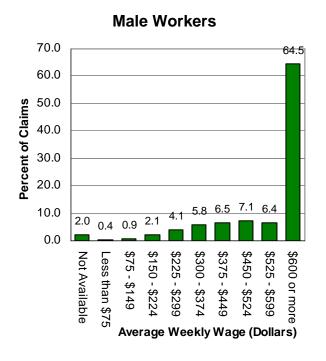
### Source Producing Injury, Accepted Claims, First Indemnity Benefits Paid in 2007

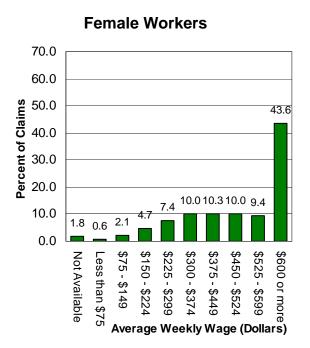


Sex of Worker and Injury Source, Accepted Claims, First Indemnity Benefits Paid in 2007

Source of Injury	All Claims	Male Worker s	Female Worker s	Sex Not Indicate d
Floors, walkways, ground				
surfaces	19,630	10,716	8,358	556
Personinjured or ill worker	10,926	5,969	4,774	183
Personother than injured or ill				
worker	7,569	2,406	4,976	187
Containersnonpressurized	7,087	4,531	2,382	174
Highway vehicle, motorized	5,856	4,264	1,407	185
Building materialssolid				
elements	2,821	2,533	224	64
Other structural elements	2,431	1,489	872	70
Handtoolsnonpowered	2,117	1,693	361	63
Furniture	1,627	836	749	42
Nonpowered industrial vehicle	1,238	850	352	36
Other Specified Source	21,057	15,827	4,740	490
Nonclassifiable	1,458	992	404	62
Total	83,817	52,106	29,599	2,112

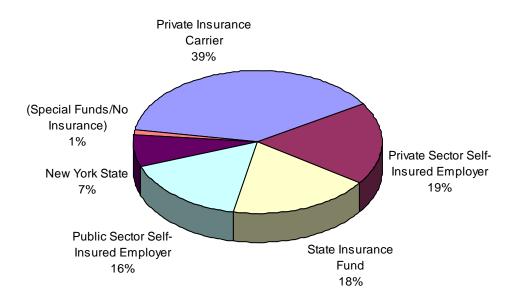
### Sex of Worker and Average Weekly Wage, Accepted Claims, First Indemnity Benefits Paid in 2007





Average Weekly Wage	All Claimants	Male Workers	Female Workers	Sex Not Indicated
Not Available	1,608	1,063	523	22
Less than \$75	360	183	171	6
\$75 - \$149	1,106	464	620	22
\$150 - \$224	2,556	1,095	1,405	56
\$225 - \$299	4,447	2,138	2,205	104
\$300 - \$374	6,165	3,043	2,958	164
\$375 - \$449	6,617	3,410	3,055	152
\$450 - \$524	6,845	3,723	2,967	155
\$525 - \$599	6,319	3,357	2,782	180
\$600 or more	47,794	33,630	12,913	1,251
Total	83,817	52,106	29,599	2,112

### Claim Liability for Claims Accepted in 2007



Type of Liability Coverage	Number of Claims
Private Insurance Carrier	41,275
Private Sector Self-Insured Employer	20,020
State Insurance Fund	19,922
Public Sector Self-Insured Employer	17,589
New York State	7,786
(Special Funds/No Insurance)	1,308
Total	107,900

#### **Industry Coding in 2007**

In 2007, the Board continued to acquire data on the industrial classification of the employers for all accepted claims having indemnity benefits first paid to the injured worker in 2007. The method used to determine the industrial classification leverages new data systems in place at the Board. When employer records from claims can be matched with employer records for insurance compliance, the North American Industrial Classification System (NAICS) code can be identified or translated from an available Standard Industrial Classification (SIC) code. Once coded, multiple claims by workers from the same enterprise can be coded automatically. This provides the Board with an ability to identify the industrial classification code of the enterprise with a highly standardized process producing consistent results.

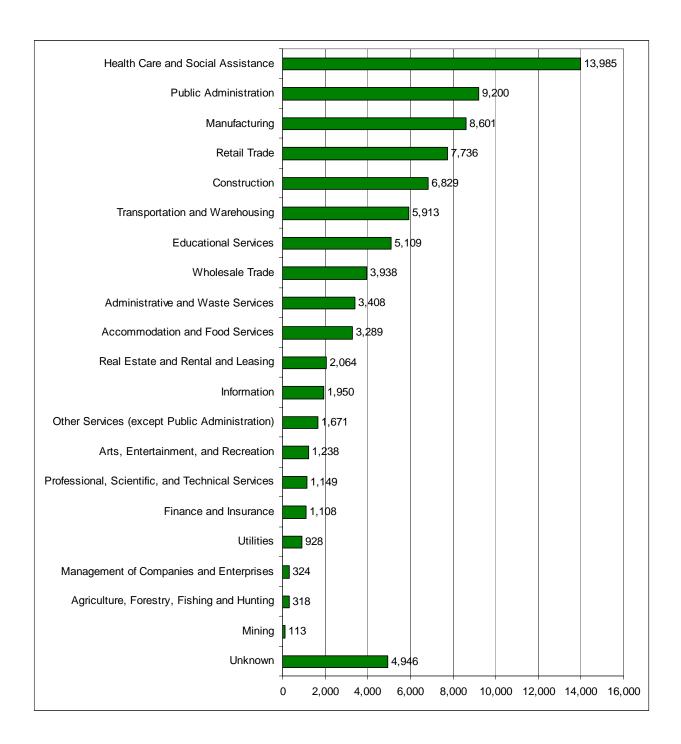
The North American Industrial Classification System (NAICS), like the Standard Industrial Classification (SIC) system before it, is based on the assignment of classification codes to establishments, which are described as generally being a single physical location where business is conducted or services provided. The concept of establishment stands in contrast to the enterprise. A single enterprise might control multiple establishments of differing industries. Enterprises that are comprised of multiple disparate establishments are common. For example, a retail furniture store chain might have a trucking division or a large warehousing operation. Coding at the enterprise level, all workers would be classified in the Retail Trade Sector (NAICS Code 44) even if they are employed in the trucking division (NAICS Code 48). While not providing the same grain of detail as coding at the establishment level, identifying the industrial classification at the enterprise level is based on the data used to determine the employer's compliance with providing workers' compensation coverage.

Category labels are taken directly from NAICS 2002. This classification system includes 1170 industries organized in 20 sectors. Detailed information about NAICS is available from the US Census Bureau website: http://www.census.gov/epcd/www/naics.html

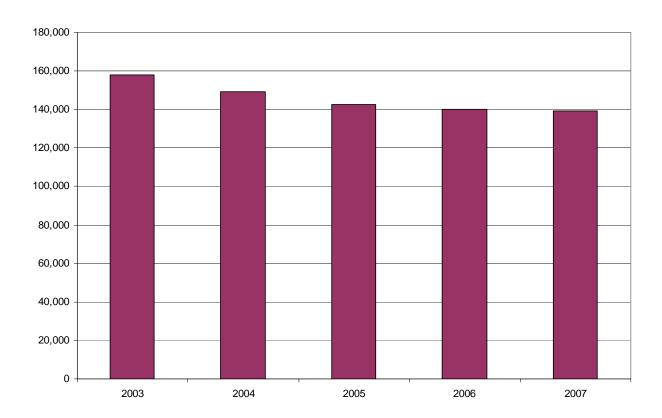
### Industry Sector and Percentage, Accepted Claims, First Indemnity Benefits Paid in 2007

Industry Sector	Claims	Percent
Health Care and Social Assistance	13,985	16.7
Public Administration	9,200	11.0
Manufacturing	8,601	10.3
Retail Trade	7,736	9.2
Construction	6,829	8.1
Transportation and Warehousing	5,913	7.1
Educational Services	5,109	6.1
Wholesale Trade	3,938	4.7
Administrative and Waste Services	3,408	4.1
Accommodation and Food Services	3,289	3.9
Real Estate and Rental and Leasing	2,064	2.5
Information	1,950	2.3
Other Services (except Public Administration)	1,671	2.0
Arts, Entertainment, and Recreation	1,238	1.5
Professional, Scientific, and Technical Services	1,149	1.4
Finance and Insurance	1,108	1.3
Utilities	928	1.1
Management of Companies and Enterprises	324	0.4
Agriculture, Forestry, Fishing and Hunting	318	0.4
Mining	113	0.1
Unknown	4,946	5.9
Total	83,817	100

### Industry Sector, Accepted Claims, First Indemnity Benefits Paid in 2007



#### **Cases Indexed: 5 Year Trend**

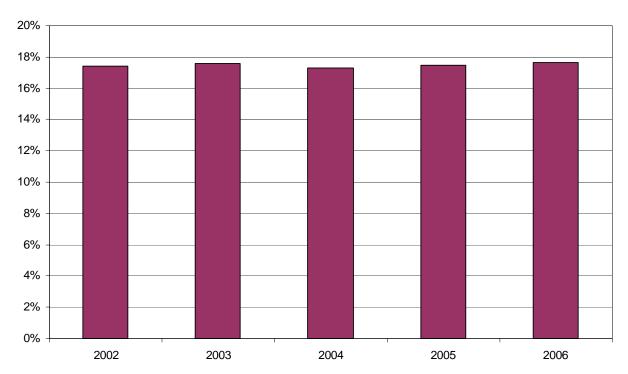


### Cases Indexed and Cases Reopened: 5 Year Trend

Calendar Year	Total Indexed Claims	Total Reopened Claims
2003*	157,352	166,721
2004	149,034	172,812
2005	142,611	177,480
2006	140,109	182,028
2007	139,250	181,943

<sup>\*</sup> An adjustment has been made since the 2003 NYS WCB Annual Report to exclude 459 9/11 WTC volunteer claims and to add one missed claim.

#### **Percent of Cases Controverted: 5 Year Trend**



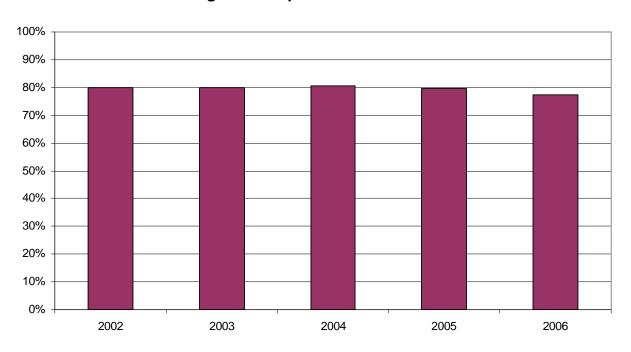
**Number of Cases Controverted: 5 Year Trend** 

Index Year	Total Indexed Claims	ADR Claims at Indexing	Canceled Claims*	Workers Comp Claims	Number of Claims Controverted	Percent of Claims Controverted
2002	164,402	6,960	4,510	152,932	26,631	17%
2003**	157,352	5,244	3,982	148,126	26,059	18%
2004	149,034	4,638	3,921	140,475	24,280	17%
2005	142,611	4,169	3,461	134,981	23,575	17%
2006	140,109	3,373	3,489	133,247	23,530	18%

An accurate count of the number of Index Year 2007 cases that will be controverted is not yet available. \* The Canceled Claims includes other claim indicators, which are subject to change.

<sup>\*\*</sup> An adjustment has been made since the 2003 NYS WCB Annual report to exclude 459 9/11 WTC Volunteer Claims and to add one missed claim.

### Percentage of Accepted Claims: 5 Year Trend



#### Percentage of Accepted Claims: 5 Year Trend

Index Year	Total Indexed Claims	ADR Claims at Indexing	Cancelled Claims *	Workers Comp Claims	Accepted Claims	Percent of Claims Accepted
2002	164,402	6,960	4,510	152,932	122,428	80%
2003**	157,352	5,244	3,982	148,126	118,360	80%
2004	149,034	4,638	3,921	140,475	113,141	81%
2005	142,611	4,169	3,461	134,981	107,530	80%
2006	140,109	3,373	3,489	133,247	103,005	77%

An accurate count of the number of Index Year 2007 cases that will be accepted is not yet available.

\* Cancelled Claims includes other adjustments for data anomalies and status changes

<sup>\*\*</sup> An adjustment has been made since the 2003 NYS WCB Annual Report to exclude 459 9/11 WTC volunteer claims and to add one missed claim.

# Accepted Claims with First Indemnity Benefits Paid Part of Body Injured by Year Accepted, 2004 – 2007

(Number of cases)

Part of Body		Year Ac	cepted	
Part of Body	2004	2005	2006	2007
HEAD	4,540	4,309	3,756	3,847
NECK	2,600	2,558	2,529	2,467
UPPER EXTREMITIES	20,337	19,262	18,695	17,940
Finger	6,360	6,064	5,887	5,633
Wrist	6,702	6,120	5,831	5,479
Hand	1,944	1,857	1,813	1,785
Arm	3,550	3,342	3,148	3,064
Multiple Upper Ex.	1,739	1,849	2,006	1,969
All Other	42	30	10	10
TRUNK	34,054	32,130	30,386	28,666
Back	20,452	19,013	17,406	16,178
Shoulder	7,427	7,304	7,194	7,216
Abdomen	2,448	2,295	2,244	1,949
Chest	1,804	1,601	1,522	1,459
Pelvic Region	985	934	913	833
Multiple Trunk Locations	927	945	1,034	1,010
All Other	11	38	73	21
LOWER EXTREMITIES	19,512	18,625	18,846	18,356
Leg	11,366	10,818	10,859	10,598
Ankle	4,275	4,043	3,916	3,745
Foot	1,945	1,937	2,081	2,085
Toe	666	638	721	619
Multiple Lower Ex.	1,232	1,172	1,265	1,301
All Other	28	17	4	8
BODY SYSTEMS	813	766	616	605
MULTIPLE BODY AREAS	12,596	12,019	11,596	11,545
OTHER OR UNSPECIFIED	793	531	373	391
Total	95,245	90,200	86,797	83,817

# Accepted Claims with First Indemnity Benefits Paid Part of Body Injured by Year Accepted, 2004 – 2007

(Percentage distribution)

Part of Body		Year Ac	cepted	
Fait of Body	2004	2005	2006	2007
HEAD	4.8	4.8	4.3	4.6
NECK	2.7	2.8	2.9	2.9
UPPER EXTREMITIES	21.4	21.4	21.5	21.4
Finger	6.7	6.7	6.8	6.7
Wrist	7.0	6.8	6.7	6.5
Hand	2.0	2.1	2.1	2.1
Arm	3.7	3.7	3.6	3.7
Multiple Upper Ex.	1.8	2.0	2.3	2.3
All Other	0.0	0.0	0.0	0.0
TRUNK	35.8	35.6	35.0	34.2
Back	21.5	21.1	20.1	19.3
Shoulder	7.8	8.1	8.3	8.6
Abdomen	2.6	2.5	2.6	2.3
Chest	1.9	1.8	1.8	1.7
Pelvic Region	1.0	1.0	1.1	1.0
Multiple Trunk Locations	1.0	1.0	1.2	1.2
All Other	0.0	0.0	0.1	0.0
LOWER EXTREMITIES	20.5	20.6	21.7	21.9
Leg	11.9	12.0	12.5	12.6
Ankle	4.5	4.5	4.5	4.5
Foot	2.0	2.1	2.4	2.5
Toe	0.7	0.7	0.8	0.7
Multiple Lower Ex.	1.3	1.3	1.5	1.6
All Other	0.0	0.0	0.0	0.0
BODY SYSTEMS	0.9	0.8	0.7	0.7
MULTIPLE BODY AREAS	13.2	13.3	13.4	13.8
OTHER OR UNSPECIFIED	0.8	0.6	0.4	0.5
Total	100.0	100.0	100.0	100.0

Note: Percents may not add to totals due to rounding.

# Accepted Claims with First Indemnity Benefits Paid Event or Exposure by Year Accepted, 2004 – 2007

(Number of cases)

Event or Exposure	Year Accepted				
·	2004	2005	2006	2007	
Overexertion	27,196	26,467	24,708	23,648	
Fall on same level	14,607	13,562	13,019	12,677	
Struck by object	8,733	8,237	8,074	7,591	
Fall to lower level	7,434	6,915	7,319	7,225	
Bodily reaction	7,197	6,341	6,034	5,931	
Assaults and violent acts by person(s)	4,475	4,510	4,539	4,777	
Repetitive motion	5,102	5,077	5,072	4,654	
Highway accident	4,234	4,079	3,905	3,666	
Struck against object	4,249	3,886	3,695	3,280	
Caught in or compressed by equipment or objects	3,141	3,085	3,019	3,088	
Other specified event	6,559	6,492	6,002	5,948	
Nonclassifiable	2,318	1,549	1,411	1,332	
Total	95,245	90,200	86,797	83,817	

### Accepted Claims with First Indemnity Benefits Paid Event or Exposure by Year Accepted, 2004 – 2007

(Percentage distribution)

Event or Exposure		Year Ac	cepted	
·	2004	2005	2006	2007
Overexertion	28.6	29.3	28.5	28.2
Fall on same level	15.3	15.0	15.0	15.1
Struck by object	9.2	9.1	9.3	9.1
Fall to lower level	7.8	7.7	8.4	8.6
Bodily reaction	7.6	7.0	7.0	7.1
Assaults and violent acts by person(s)	4.7	5.0	5.2	5.7
Repetitive motion	5.4	5.6	5.8	5.6
Highway accident	4.4	4.5	4.5	4.4
Struck against object	4.5	4.3	4.3	3.9
Caught in or compressed by equipment or objects	3.3	3.4	3.5	3.7
Other specified event	6.9	7.2	6.9	7.1
Nonclassifiable	2.4	1.7	1.6	1.6
Total	100.0	100.0	100.0	100.0

Note: Percents may not add to totals due to rounding.

# Accepted Claims with First Indemnity Benefits Paid Nature of Injury by Year Accepted, 2004 – 2007

(Number of cases)

Nature of Injury		Year Ac	cepted	
Nature or injury	2004	2005	2006	2007
Traumatic Injuries to muscles, tendons, ligaments	45,118	39,158	32,687	32,136
Traumatic injuries to bones, nerves, spinal cord	22,034	21,337	22,443	22,082
Multiple traumatic injuries and disorders	2,725	3,389	5,643	5,137
Open wounds	5,665	5,447	5,043	4,808
Surface wounds and bruises	4,977	4,248	4,181	4,070
Nervous system and sense organs diseases	4,208	3,854	3,576	3,581
Digestive system diseases and disorders	2,297	2,138	2,070	1,771
Musculoskeletal system and connective tissue diseases	1,701	1,763	1,626	1,383
Other specified injury	5,244	8,061	8,936	8,250
Nonclassifiable	1,276	805	592	599
Total	95,245	90,200	86,797	83,817

# Accepted Claims with First Indemnity Benefits Paid Nature of Injury by Year Accepted, 2004 – 2007

(Percent distribution)

Nature of Injury		Year Ac	cepted	
Nature or injury	2004	2005	2006	2007
Traumatic Injuries to muscles, tendons, ligaments	47.4	43.4	37.7	38.3
Traumatic injuries to bones, nerves, spinal cord	23.1	23.7	25.9	26.3
Multiple traumatic injuries and disorders	2.9	3.8	6.5	6.1
Open wounds	5.9	6.0	5.8	5.7
Surface wounds and bruises	5.2	4.7	4.8	4.9
Nervous system and sense organs diseases	4.4	4.3	4.1	4.3
Digestive system diseases and disorders	2.4	2.4	2.4	2.1
Musculoskeletal system and connective tissue diseases	1.8	2.0	1.9	1.7
Other specified injury	5.5	8.9	10.3	9.8
Nonclassifiable	1.3	0.9	0.7	0.7
Total	100.0	100.0	100.0	100.0

Note: Percents may not add to totals due to rounding.

# Accepted Claims with First Indemnity Benefits Paid Source of Injury by Year Accepted, 2004 – 2007

(Number of cases)

Source of Injury		Year Accepted				
	2004	2005	2006	2007		
Floors, walkways, ground surfaces	21,585	19,739	19,945	19,630		
Person injured or ill worker	12,390	11,728	11,344	10,926		
Person other than injured or ill worker	8,952	8,483	7,882	7,569		
Containers nonpressurized	8,319	7,987	7,727	7,087		
Highway vehicle, motorized	6,536	6,550	6,164	5,856		
Building materials solid elements	3,105	2,877	2,766	2,821		
Other structural elements	2,578	2,518	2,392	2,431		
Handtools nonpowered	2,364	2,207	2,169	2,117		
Furniture	1,861	1,718	1,647	1,627		
Nonpowered industrial vehicles	1,374	1,263	1,227	1,238		
Other specified source	23,548	23,384	21,973	21,057		
Nonclassifiable	2,633	1,746	1,561	1,458		
Total	95,245	90,200	86,797	83,817		

# Accepted Claims with First Indemnity Benefits Paid Source of Injury by Year Accepted, 2004 – 2007

(Percentage distribution)

Source of Injury		Year Ac	cepted	
Source of injury	2004	2005	2006	2007
Floors, walkways, ground surfaces	22.7	21.9	23.0	23.4
Person injured or ill worker	13.0	13.0	13.1	13.0
Person other than injured or ill worker	9.4	9.4	9.1	9.0
Containers nonpressurized	8.7	8.9	8.9	8.5
Highway vehicle, motorized	6.9	7.3	7.1	7.0
Building materials solid elements	3.3	3.2	3.2	3.4
Other structural elements	2.7	2.8	2.8	2.9
Handtools nonpowered	2.5	2.4	2.5	2.5
Furniture	2.0	1.9	1.9	1.9
Nonpowered industrial vehicles	1.4	1.4	1.4	1.5
Other specified source	24.7	25.9	25.3	25.1
Nonclassifiable	2.8	1.9	1.8	1.7
Total	100.0	100.0	100.0	100.0

Note: Percents may not add to totals due to rounding.

### WCB Process Analysis, Table 1: Claims Indexed, by Year (As of 3/19/08)

Index Year (A)	Indexed Claims (B)	ADR Claims at Indexing (C)	Cancelled Claims (D)	Workers' Compensation Caims (E)
2000	171,397	6,173	4,399	160,825
2001	172,053	7,221	4,850	159,982
2002	164,372	6,930	4,510	152,932
2003*	157,353	5,245	3,982	148,126
2004	149,034	4,638	3,921	140,475
2005	142,611	4,169	3,461	134,981
2006	140,109	3,373	3,489	133,247
2007	139,250	3,630	3,052	132,568
Total	1,236,179	41,379	31,664	1,163,136

<sup>\*157,811</sup> indexed claims previously reported included World Trade Center Volunteer claims.

- A Year of Indexing
- B Total claims indexed includes workers' compensation claims, volunteer firefighter claims, and volunteer ambulance worker claims as reported in the NYS WCB Annual Report.
- C Total Claims identified as Alternative Dispute Resolution (ADR) claims at the time of indexing
- D Total Claims Cancelled or adjusted from the total population, includes:
  - Cancelled Claims At least 95% of (D) claims are due to cancellation
  - Claims that changed to ADR after indexing
  - Claims that had a data anomaly (115 claims over 8 years)
  - Claims that changed to World Trade Center Volunteer "NW" after indexing
- E Claims requiring Workers' Compensation Board involvement. Alternative Dispute Resolution (ADR), Cancelled Claims, and data anomalies (115 claims over 8 years) are not included as Workers' Compensation (WC) claims. This is a dynamic population of claims and can change over time.

# WCB Process Analysis, Table 2: Claims Pending, by Percentage (As of 3/19/08)

Index Year	Indexed Claims	WC Claims*	Resolved & Closed for at Least 12 Months	Resolved & Closed Within Last 12 Months	Total Resolved	Total Pending	Percentage of WC Claims* Pending
2000	171,397	160,825	151,433	5,348	156,781	4,044	2.5%
2001	172,053	159,982	149,851	6,258	156,109	3,873	2.4%
2002	164,372	152,932	140,477	7,854	148,331	4,601	3.0%
2003**	157,353	148,126	134,369	10,388	144,757	3,369	2.3%
2004	149,034	140,475	121,636	14,262	135,898	4,577	3.3%
2005	142,611	134,981	105,583	22,485	128,068	6,913	5.1%
2006	140,109	133,247	74,046	46,719	120,765	12,482	9.4%
2007	139,250	132,568	912	84,080	84,992	47,576	35.9%
Total	1,236,179	1,163,136	878,307	197,394	1,075,701	87,435	7.5%

<sup>\*</sup> Claims requiring Workers' Compensation Board involvement. Alternative Dispute Resolution (ADR), Cancelled Claims, and data anomalies (115 claims over 8 years) are not included as Workers' Compensation (WC) claims. This is a dynamic population of claims and can change over time.

<sup>\*\*157,811</sup> indexed claims previously reported included World Trade Center Volunteer claims.

# WCB Process Analysis, Table 3: WC Claims Resolved with One NFA\* Finding, by Percentage

(As of 3/19/08)

Index Year	Indexed Claims	WC Claims*	Resolved With One NFA** Finding	Resolved With Two NFA** Findings	Resolved With More Than Two NFA** Findings	Total Resolved	Percentage Resolved With One NFA Finding
2000	171,397	160,825	106,734	25,152	24,895	156,781	68.1%
2001	172,053	159,982	100,788	27,033	28,288	156,109	64.6%
2002	164,372	152,932	94,817	25,510	28,004	148,331	63.9%
2003***	157,353	148,126	93,229	24,782	26,746	144,757	64.4%
2004	149,034	140,475	88,269	24,397	23,232	135,898	65.0%
2005	142,611	134,981	85,934	24,159	17,975	128,068	67.1%
2006	140,109	133,247	89,619	22,134	9,012	120,765	74.2%
2007	139,250	132,568	77,133	6,971	888	84,992	90.8%
Total	1,236,179	1,163,136	736,523	180,138	159,040	1,075,701	68.5%

<sup>\*</sup> Claims requiring Workers' Compensation Board involvement. Alternative Dispute Resolution (ADR), Cancelled Claims, and data anomalies (115 claims over 8 years) are not included as Workers' Compensation (WC) claims. This is a dynamic population of claims and can change over time.

<sup>\*\*</sup> NFA - "No Further Action" is the finding issued by the WCB when there are presently no remaining unresolved issues in a claim. The WCB reports the NFA event as one resolution even though multiple issues may have been resolved.

<sup>\*\*\* 157,811</sup> indexed claims previously reported included World Trade Center Volunteer claims.

# WCB Process Analysis, Table 4: Resolved WC Claims, by Process $(As\ of\ 3/19/08)$

Index Year	Indexed Claims	WC Claims*	Resolved By Claims Examining	Resolved By Conciliation Agreement	Resolved By Hearing	Resolved By Waiver Agreement	Total Resolved
2000	171,397	160,825	37,670	26,310	86,236	6,565	156,781
2001	172,053	159,982	41,823	26,082	81,932	6,272	156,109
2002	164,372	152,932	41,410	25,150	76,945	4,826	148,331
2003**	157,353	148,126	43,391	25,071	72,493	3,802	144,757
2004	149,034	140,475	44,054	23,658	65,747	2,439	135,898
2005	142,611	134,981	44,309	22,676	59,710	1,373	128,068
2006	140,109	133,247	44,938	23,463	51,839	525	120,765
2007	139,250	132,568	45,776	14,457	24,663	96	84,992
Total	1,236,179	1,163,136	343,371	186,867	519,565	25,898	1,075,701
Percentage			31.9%	17.4%	48.3%	2.4%	100%

<sup>\*</sup> Claims requiring Workers' Compensation Board involvement. Alternative Dispute Resolution (ADR), Cancelled Claims, and data anomalies (115 claims over 8 years) are not included as Workers' Compensation (WC) claims. This is a dynamic population of claims and can change over time.

<sup>\*\* 157,811</sup> indexed claims previously reported included World Trade Center Volunteer claims.

# WCB Process Analysis, Table 5a: WC Claims Resolved With One NFA Finding, by Process

(As of 3/19/08)

			Res	Resolved With One NFA** Finding By The WCB				
Index Year	Indexed Claims	WC Claims*	By Claims Examining	By Conciliation	By Hearing	By Waiver Agreement	Total Resolved	
2000	171,397	160,825	36,360	23,081	46,833	460	106,734	
2001	172,053	159,982	40,076	21,803	38,544	365	100,788	
2002	164,372	152,932	40,004	20,485	34,021	307	94,817	
2003***	157,353	148,126	41,873	20,244	30,812	300	93,229	
2004	149,034	140,475	42,442	18,302	27,374	151	88,269	
2005	142,611	134,981	42,620	16,862	26,311	141	85,934	
2006	140,109	133,247	42,901	18,317	28,337	64	89,619	
2007	139,250	132,568	44,665	12,983	19,451	34	77,133	
Total	1,236,179	1,163,136	330,941	152,077	251,683	1,822	736,523	
Percentage			44.9%	20.6%	34.2%	0.2%	100%	

<sup>\*</sup> Claims requiring Workers' Compensation Board involvement. Alternative Dispute Resolution (ADR), Cancelled Claims, and data anomalies (115 claims over 8 years) are not included as Workers' Compensation (WC) claims. This is a dynamic population of claims and can change over time.

<sup>\*\*</sup> NFA - "No Further Action" is the finding issued by the WCB when there are presently no remaining unresolved issues in a claim. The WCB reports the NFA event as one resolution even through multiple issues may have been resolved.

<sup>\*\*\* 157,811</sup> indexed claims previously reported included World Trade Center Volunteer claims.

# WCB Process Analysis, Table 5b: WC Claims Resolved With Two NFA Findings, by Process

(As of 3/19/08)

			Reso	olved With Two	NFA** Find	ings By The V	VCB
Index Year	Indexed Claims	WC Claims*	By Claims Examining	By Conciliation	By Hearing	By Waiver Agreement	Total Resolved
2000	171,397	160,825	1,227	2,765	19,344	1,816	25,152
2001	172,053	159,982	1,593	3,602	20,360	1,478	27,033
2002	164,372	152,932	1,329	3,885	19,247	1,049	25,510
2003***	157,353	148,126	1,410	4,015	18,596	761	24,782
2004	149,034	140,475	1,496	4,383	17,969	549	24,397
2005	142,611	134,981	1,586	4,780	17,382	411	24,159
2006	140,109	133,247	1,922	4,462	15,500	250	22,134
2007	139,250	132,568	1,066	1,371	4,483	51	6,971
Total	1,236,179	1,163,136	11,629	29,263	132,881	6,365	180,138
Percentage			6.5%	16.2%	73.8%	3.5%	100%

<sup>\*</sup> Claims requiring Workers' Compensation Board involvement. Alternative Dispute Resolution (ADR), Cancelled Claims, and data anomalies (115 claims over 8 years) are not included as Workers' Compensation (WC) claims. This is a dynamic population of claims and can change over time.

<sup>\*\*</sup> NFA - "No Further Action" is the finding issued by the WCB when there are presently no remaining unresolved issues in a claim. The WCB reports the NFA event as one resolution even through multiple issues may have been resolved.

<sup>\*\*\* 157,811</sup> indexed claims previously reported included World Trade Center Volunteer claims.

# WCB Process Analysis, Table 5c: WC Claims Resolved With More Than Two NFA Findings, by Process

(As of 3/19/08)

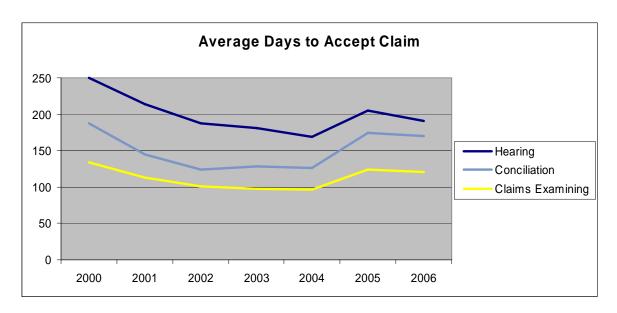
			Resolved	With More Tha	n Two NFA*	Findings By	The WCB
Index Year	Indexed Claims	WC Claims*	By Claims Examining	By Conciliation	By Hearing	By Waiver Agreement	Total Resolved
2000	171,397	160,825	83	464	20,059	4,289	24,895
2001	172,053	159,982	154	677	23,028	4,429	28,288
2002	164,372	152,932	77	780	23,677	3,470	28,004
2003***	157,353	148,126	108	812	23,085	2,741	26,746
2004	149,034	140,475	116	973	20,404	1,739	23,232
2005	142,611	134,981	103	1,034	16,017	821	17,975
2006	140,109	133,247	115	684	8,002	211	9,012
2007	139,250	132,568	45	103	729	11	888
Total	1,236,179	1,163,136	801	5,527	135,001	17,711	159,040
Percentage			0.5%	3.5%	84.9%	11.1%	100%

<sup>\*</sup> Claims requiring Workers' Compensation Board involvement. Alternative Dispute Resolution (ADR), Cancelled Claims, and data anomalies (115 claims over 8 years) are not included as Workers' Compensation (WC) claims. This is a dynamic population of claims and can change over time.

<sup>\*\*</sup> NFA - "No Further Action" is the finding issued by the WCB when there are presently no remaining unresolved issues in a claim. The WCB reports the NFA event as one resolution even through multiple issues may have been resolved.

<sup>\*\*\* 157,811</sup> indexed claims previously reported included World Trade Center Volunteer claims.

WCB Process Analysis, Table 6: Average Interval from Indexing to Acceptance  $(As\ of\ 3/19/08)$ 



	By Claims Examining		By Conciliation		By Hearing		Total Accepted	
Index Year**	Claims	Avg*	Claims	Avg*	Claims	Avg*	Claims	Avg*
2000	30,967	134	25,762	187	75,570	250	132,299	211
2001	33,133	113	25,968	145	71,231	214	130,332	175
2002	32,192	101	25,524	124	65,929	188	123,645	152
2003	33,418	98	24,453	128	61,465	181	119,336	147
2004	34,385	97	23,226	126	56,120	169	113,731	138
2005	34,589	124	22,095	174	51,227	205	107,911	173
2006	34,675	121	23,442	170	44,471	191	102,588	163
Total	233,359	112	170,470	150	426,013	202	829,842	166
Pct	28.1%		20.5%		51.3%		100.0%	

<sup>\*</sup> Average number of days from indexing to the claim being accepted. Accepted claims are those claims for Workers' Compensation benefits having Accident (or Occupational Disease), Notice and Causal Relationship established.

<sup>\*\*</sup> Claims indexed in 2007 require additional time to be included in analysis.